

2010 Annual Report



Steven L. Beshear
Governor

J. Michael Brown
Justice & Public Safety Cabinet Secretary

LaDonna Thompson
Commissioner

Letter From The Commissioner



*Commissioner
LaDonna Thompson*

Our primary mission at the Kentucky Department of Corrections is “To protect the citizens of the Commonwealth and to provide a safe, secure and humane environment for staff and offenders in carrying out the mandates of the legislative and judicial processes; and, to provide opportunities for offenders to acquire skills which facilitate non-criminal behavior.”

Within the Department of Corrections, we have been diligently working to embrace re-entry throughout our agency, and our efforts are starting to show some real results. The 24-month recidivism rate is now at a 10-year low: 29.5 percent. I am very pleased to see this drop in recidivism. I believe our re-entry efforts and increased efforts in substance abuse treatment programs played a substantial role in reducing the recidivism rate.

Due to on-going budgetary concerns, we are continually asked to do “more” with “less”, and DOC employees have not let me down. They have always been willing to do whatever necessary to get the job done. Their dedication to duty never ceases to amaze me. We pride ourselves on promoting public safety through service, integrity and professionalism while carrying out our sworn duty to protect the citizens of this great Commonwealth.

We have worked diligently throughout 2010 and look forward to tackling the challenges that lie ahead in 2011.

Sincerely,

A handwritten signature in black ink that reads "LaDonna Thompson". The signature is written in a cursive, flowing style.

LaDonna Thompson
Commissioner

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Office of the Commissioner

Commissioner

LaDonna Thompson

Commissioner's Staff

Executive Secretary

Mary Ann Sullivan

Executive Staff Advisor/ ACA Coordinator / Ombudsman

John Dunn

Director of Communications

Lisa Lamb

Personnel Director

Stephanie Appel

Internal Affairs

Jon Collett

General Counsel

Brenn Combs

Deputy Commissioners

Office of Support Services

Kimberly Potter-Blair

Office of Community Services and Local Facilities

Rodney Ballard

Office of Adult Institutions

James Erwin

Thompson to the Commissioner's post of the Department.

Thompson had spent the previous two and half years as a Deputy Commissioner for DOC and began her career as one of the original correctional officers at the Eastern Kentucky Correctional Complex when it first opened. She also worked as a correctional officer at the Kentucky State Reformatory and Luther Lockett Correctional Complex in La-Grange. Upon transferring to the Department's Central Office in 1991, Thompson worked in several areas including Offender Records, VINE and Classification. She quickly began moving up the ladder into management positions.

In 1999, she was promoted to Branch Manager of the Classification Branch and during her stint there led the validation study of DOC's inmate classification system. She has also served as a visiting staff member for the National Institute of Corrections to develop curriculum and provide classification training to other state correctional agencies. In 2004, Thompson was promoted to the position of Assistant Director of Operations where her primary duty was inmate population management.

In August 2005, Thompson was promoted to the position of Deputy Commissioner of Support Services.

During her career in Corrections, Thompson has received numerous awards, including three honors for distinguished service. In



Secretary J. Michael Brown presented Commissioner LaDonna Thompson with an embroidered jacket in recognition of over 20 years of service to the Department of Corrections.

2005 she was a member of the inaugural class of the Commissioner's Executive Leadership Program, and in 2006 she was selected to attend the National Institute of Corrections Executive Leadership for Women training.

Thompson is a graduate of the Leadership Kentucky class of 2007, and is a 2007 recipient of the "Breaking the Glass Ceiling" Award presented by the National Center for Women and Policing.

A graduate of Morehead State University, Thompson received her degree in psychology and sociology.

The Commissioner's Staff

Executive Staff Advisor / ACA Coordinator / Ombudsman

John Dunn serves as an executive staff assistant, ACA coordinator and the Ombudsman for the Department of Corrections and oversees the grievance process throughout the state.

Commissioner

LaDonna Thompson

In January 2008, Governor Steve Beshear and Justice & Public Safety Cabinet Secretary J. Michael Brown appointed the first female state Corrections chief in Kentucky by naming LaDonna

Office of the Commissioner



*John Dunn
Executive Staff Advisor / ACA
Coordinator / Ombudsman*

Dunn began his Corrections career in April 1993. His first DOC position was at Northpoint Training Center as a Correctional Officer. In 1999, he was promoted to classification and treatment officer I at Northpoint, and two years later was promoted to an administrative specialist III position at the prison. In 2004, he was promoted to a classification and treatment officer II at Frankfort Career and Development Center. In January 2006 Dunn was promoted to program administrator at Central Office supervising the home incarceration and re-entry programs, a position he held until September that year when he was named as Ombudsman. Dunn also served 21 years in the National Guard and retired in February 2006 after achieving the rank of major.

Dunn is a 1990 graduate of the University of Kentucky where he received his bachelor's degree in history. He is a member of the American Correctional Association, Correctional Accreditation Managers' Association and the National Guard Association.

Director of Communications

Lisa Lamb serves as the Department of Corrections' Director of Communications. In this position, she oversees the Office of Victim Services. A native of Harlan, Lamb brought nearly 20 years of experience working with the media to her position with the DOC. In her current role, she serves as the Department's spokesperson, handling all media calls and requests for information and interviews. She also serves as a member of the Commissioner's Executive Staff.



*Lisa Lamb
Director of Communications*

She was the 2003 recipient of the "Jennifer Schaaf Award," presented by the Society of Professional Journalists (SPJ) to an outstanding government communicator. Lamb came to corrections after six years with the Kentucky Press Association where she worked as the News Bureau Director, Member Services Director and as Director of the Kentucky High School Journalism Association. She spent nearly 10 years with the Harlan Daily Enterprise and just prior to joining KPA,

was the newspaper's executive editor.

She is a graduate of Southeast Community College. In addition, she attended Eastern Kentucky University and the University of Kentucky, majoring in journalism.

Personnel Director

Stephanie Appel serves as the Director of Personnel Services for the Department of Corrections.

Appel has worked in the Personnel Division for eleven years. During this time, she has seen the implementation of new personnel systems, assisted with the opening of Little Sandy Correctional Complex, has helped streamline personnel processes and has regionalized the personnel services in Oldham and Shelby Counties.



*Stephanie Appel, Director
Division of Personnel Services*

Appel has played a key agency liaison role for the Justice Cabinet throughout the Kentucky Human Resource Information System (KHRIS) process and contin-

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ues to participate as the Agency Implementation Lead for the Justice Cabinet. She also serves as the Cabinet representative at the HR Consortium Meetings with the Personnel Cabinet Secretary. Appel also serves as the Lead Negotiator for Union Negotiations with AFSCME Unit D, AFSCME Unit G and United Auto Workers (UAW) Unit A.

Appel is a long-time member of the International Public Management Association for Human Resources (IPMA-HR) and has held many elected offices, both in the Commonwealth of Kentucky as well as for Southern Region of IPMA-HR. IN 2010 she served as the Southern Region's President-Elect. Appel also obtained the IPMA-CP designation, declaring her a 'Certified Professional' in Human Resources and Public Management, and is currently the only active state government employee to earn this designation. Additionally, Ms. Appel is a member of the Society for Human Resources Management (SHRM) and the American Correctional Association (ACA).

Internal Affairs

Jon Collett serves as the Internal Affairs Supervisor for the Department of Corrections.

Collett began his career with the Kentucky Department of Corrections in 2000 at Frankfort Career Development Center (FCDC). During his time at FCDC he conducted numerous investigations with the Governmental Services Program.

Collett was promoted to Internal Affairs investigator in 2004. In 2008 he was again promoted to Internal Affairs Supervisor.

Collett attended Morehead State University and has completed numerous investigation courses. He is also a graduate of the Commissioner's first Executive Leadership Program, which began in May 2005. Collett now resides in Midway with his wife, Shannon, and their daughter Mackenzie.



Jon Collett
Internal Affairs Supervisor

Deputy Commissioners

Office of Support Services

As Deputy Commissioner of Support Services, Kimberly Potter-Blair is responsible for the Administrative Services Division, Classification and Population Division, Corrections Training Division, Office of Parole and Victim Services, Information and Technology Branch, Offender Information Services Branch and the Re-entry Branch.



Kimberly Potter-Blair
Deputy Commissioner
Office of Support Services

Potter-Blair began her career with the Department of Corrections in 1998 as a Corrections Officer at Blackburn Correctional Complex. She was promoted to caseworker at Blackburn before transferring to the Division of Probation & Parole in District 9 in 1999. After supervising a caseload of offenders for approximately four years, she was promoted to Assistant District Supervisor for District 9 in 2003, and then to District Supervisor in 2005.

While working in District 9, which encompasses Fayette County, she was instrumental in the efficient flow of court work in the Fayette Circuit Court system. She was also active in helping to create the Fayette County Re-Entry Program for offenders on probation and parole, as well as coordinating efforts with the CJ-DATS research project with the University of Kentucky.

During her career, Potter-Blair has been a member of the Kentucky State Parole Officers' As-

Office of the Commissioner

sociation and the Kentucky Council on Crime and Delinquency, where she previously served as President of the Bluegrass Chapter of KCCD. She is also a graduate of the inaugural Commissioner's Executive Leadership Program, which began in May 2005.

Office of Community Services and Local Facilities



Rodney Ballard
Deputy Commissioner
Offices of Community Services
and Local Facilities

As Deputy Commissioner of Community Services, Rodney Ballard oversees the Division of Probation and Parole and the Division of Local Facilities.

Ballard has over 30 years of experience in the field of law enforcement and corrections. He most recently served as Chief Deputy at the Kenton County Detention Center. Ballard began his career as a police officer, first serving in Taylor Mill and Kenton County. In 1982 he joined the Kentucky State Police for what would be a decorated 17-year career that included stints

as a member of the agency's Special Response Team, detective, and member of the Executive Security detail. Ballard received numerous awards and commendations during his career and he is a guest instructor in the field of criminal justice for several organizations including the FBI, KSP, Office of the Attorney General and Kentucky Jailers' Association.

In 1999 Ballard was promoted to Major and named the Commander of Internal Affairs and Training at the Kenton County Detention Center. Soon afterward, he was promoted to Chief Deputy at the facility, a position in which he oversaw the facility's \$1.5 million renovation. He was second in command at the detention center, a jail that houses an average of 500 inmates, has 95 employees and a budget of more than \$7 million.

Ballard is a graduate of Northern Kentucky University where he received his bachelor's degree in criminal justice.

Office of Adult Institutions

As Deputy Commissioner of Adult Institutions, Jim Erwin oversees the Operations and Program Services Division, Correctional Industries Division, Medical Services Division, Mental Health Division, the Office of Research and Grants, as well as overseeing Kentucky's 13 state-run institutions.

Erwin began his career in August of 1985 as a Correctional Officer with Jefferson County.



James Erwin
Deputy Commissioner
Office of Adult Institutions

In 1987 he began work with the state of Kentucky as a Classification and Treatment Officer at the Kentucky State Reformatory (KSR). Erwin continued his career at KSR as a Unit Administrator until 1996 when he went to work for the Ohio Department of Corrections as an inspector of institutional services. He continued his career in Ohio as a Unit Management Administrator, Deputy Warden, acting Warden and Warden at several Ohio Prisons, until returning to Kentucky as Director in September 2007.

Erwin is a 1984 graduate of Centre College in Danville and received his masters in Administrative Justice from the University of Louisville in 1987. He is a member of several professional organizations including the American Correctional Association, North American Association of Wardens and Superintendents, Ohio Wardens and Superintendents Association and International Association of Reentry.

Division of Personnel Services

Director of Personnel Services Stephanie Appel

Personnel Branch Managers
Bobbie Underwood
Amanda Coulter

Payroll Branch Manager
Leslie Tindall

Recruitment and Staff Development Branch Manager
Teresa Harris



*Stephanie Appel, Director
Division of Personnel Services*

Overview

The Division of Personnel Services is comprised of three branches: Personnel Management Branch, Payroll Branch and the Recruitment and Staff Development Branch. Additionally, the Division has oversight of the Regional Personnel Office which serves the Oldham and Shelby County Institutions. Personnel Services has a total of 19 full-time staff and serves approximately 4,000 employees in the administration of personnel, payroll and recruitment programs.

2010 Annual Report

The mission of the Division of Personnel Services is three-fold: Serve as a catalyst to effective and efficient personnel, payroll and recruitment services within the Department of Corrections, protect the integrity of the Merit System through the proper enforcement and application of the Statutes and Regulations and lastly to review global personnel and management strategies to establish positive change for the betterment of the Department.

In 2010, the Personnel Division prepared for the implementation of the Kentucky Human Resources Information System (KHRIS). This is a statewide collaborative effort to transform the way we deliver human resource services by modernizing and standardizing key business processes in personnel, payroll and benefits while significantly improving the efficiency and effectiveness of the HR administrative processes. In 2010, the Division of Personnel had three staff selected to serve as the lead trainers for all of State Government in the areas of Personnel and Payroll in preparation for KHRIS. The Division continues to be recognized by other state agencies for the pro-active approach to training staff and currently serves as a template for other agencies to follow as they begin their implementation process. In addition, employees within the Division continued to assist other agencies within the Justice Cabinet by providing lead support on issues such as furlough implementation, Block 50 reporting, Cabinet Security Access, petitioned posi-

tions, reduction of personnel positions and completed re-organizations for KCTCS employees and Division of Parole and Victim Services.

Personnel Management Branch

Bobbie Underwood and Amanda Coulter oversee the operation of the Personnel Management Branch. They provide direct technical assistance to Department managers and personnel liaisons, interpret personnel issues/programs for DOC staff, and represent the Department at meetings related to Human Resources including the Career Opportunities System (COS) User Group, Personnel Council, and KY Human Resource Information System (KHRIS) meetings. The Personnel Branch regularly trains new HR appointees in the Department on personnel systems and general practices.



Amanda Coulter and Bobbie Underwood, Personnel Branch

The staff of the Personnel Branch is continuously trying to improve processes to be the most effective administrators possible. In 2010, over 16,000 personnel actions and nearly 600 job postings were processed for the DOC. This branch is also responsible for an-

Division of Personnel Services

nually auditing nearly 3,500 evaluations to ensure compliance with state regulations. This is a monumental task that requires several months to complete. In addition to these functions, they were selected as KHRIS Core Trainers and will be training HR professionals across state government on the new personnel system. Amanda and Bobbie also serve as the Training Co-Leads for the Justice Cabinet to ensure agency wide preparedness for KHRIS Go-Live.

Additionally in 2010, they represented the DOC as elected board members for the International Public Management Association for Human Resources – KY Chapter (IPMA-HR) and assisted with bringing a Southern Region Conference to the Louisville area. This conference will take place in the spring of 2011 and will bring 250 to 300 HR professionals to the Bluegrass.

Recruitment and Staff Development Branch

In 2010, under the guidance of Teresa Harris, the Recruitment and Staff Development Branch partnered with other agencies to promote the “one employer” concept by ensuring recruiting, hiring and exit processes are consistent across state government. Additionally, the branch continued to develop and expand the internship program with many colleges and universities and assigned 59 interns to the Department, to include working with the Division of Mental Health to develop the Psychologist internship program.



Teresa Harris, Janet White and Edie Hodgins, Recruitment and Staff Development Branch

The Recruitment and Staff Development Branch continued to oversee the drug testing policy. In 2010, a new drug testing company was hired and the Branch was very involved in working to ensure a successful implementation as well as to process over 1,600 drug testing results.

Additionally, the Recruitment and Staff Development Branch continued to work diligently to expand all areas of recruitment and retention. The Branch partnered with Work Now Kentucky, a federally funded program which places dislocated workers in the state in order to increase their skill sets. Furthermore, in order to attract veterans, the Branch has taken the initiative of distributing the weekly job announcements to the Kentucky National Guard as well as posting them on Facebook and Twitter. Veterans are also advised being employed as a Correctional Officer allows them to qualify for additional money through the G.I. bill.

Payroll Branch

The Payroll Branch manager is Leslie Tindall. This Branch includes HR Administrators Rhonda Sears, Amy Ganschow as

well as HR Specialist Annette Sewell. They are responsible for employee pay and benefits administration including monitoring and processing pay, retirement, workers compensation, FML, Life and Health Insurance, W-2 information, unemployment benefits and Department Safety.

During 2010, not only did the staff of the Payroll Branch successfully upgrade the KRONOS time-keeping system, but they have been working with the Public Auditors office to ensure the integrity of the system remains secure. Due to staffing shortages within the Human Resources Offices in the Justice Cabinet, the payroll branch has been called upon to complete tasks for the Department of Public Advocacy in order to maintain payroll and benefits.

In addition to these functions, Leslie Tindall was selected to serve as the KHRIS Core Trainer to train HR Professionals across state government on the new Personnel/Payroll System. Tindall also serves as the co-lead for the Employee Self-Service and Management Self Service for the DOC’s secondary users.



Rhonda Sears, Leslie Tindall and Amy Ganschow, Payroll Branch

Communications Office

Director of Communications
Lisa Lamb

Public Information Officer
Todd Henson

Administrative Specialist
Julie Bowles

Vine Program Administrator
Kristin Smith

Victim Advocate
Tammy Lou Johnson

Victim Advocate
Alexis Williams



Lisa Lamb
Director of Communications

Communications Office

Overview

The Communications Office functions as the voice of the Department of Corrections. They are responsible for getting the DOC's message out to the public. Lisa Lamb serves as the Director of the Communications Office and

Todd Henson serves as the Public Information Officer. Together they respond to all media requests, be it a simple inquiry or more in depth requests involving interviews, inmates or tours of facilities.

The Communications Office also prepares press releases on newsworthy events that happen within the department, prepare talking points and presentations for the commissioner and her staff, prepare and publish the quarterly newsletter "Inside Corrections", prepare and host the Department of Corrections' Annual Awards Luncheon, handle all Central Office open records requests, as well as prepare and publish the DOC Annual Report.

In addition to directing all the communications aspects of the department, Lisa Lamb also oversees the Office of Victim Services.

Highlights

The Communications Office stayed very busy during 2010 as there was a great deal of media attention focused on the department during this period.

As we moved into 2010, we were still dealing with the aftermath of both the August 2009 Northpoint Training Center Riot and the removal of all female inmates from the Otter Creek Correctional Center following several sexual abuse allegations. In addition to these incidents, there were numerous other events that occurred, including the Western Kentucky Correctional Complex conversion



Todd Henson
Public Information Officer

from an all-male facility to an all-female facility, the scheduled execution of Gregory Wilson and the state audit of the department's food contractor, ARAMARK.

As mentioned above, the Communications Office also prepared and hosted the DOC's 2010 Awards Luncheon, which took place in conjunction with KCCD's annual conference in Lexington.

In December, the Communications Office hosted a training session in Frankfort for all Public Information Officers (PIO) at the state and private institutions. The training was also made available to jailers and their staff who interact with the media. This was a refresher course for the more experienced PIO's as well as basic training for newly appointed PIO's. The training session, titled "Working with the Media: It Doesn't Have to Hurt", consisted of classroom training, guest speakers and some hands-on writing exercises involving press releases.

Office of Victim Services

Office of Victim Services



Kristin Smith
Program Administrator

Overview

The Office of Victim Services is the arm of Corrections that handles requests for information from victims pertaining to their offenders.

OVS staff provides a broad range of information-related services such as: explanation of inmate release dates and release type; restitution information; service referrals; and victim rights services. OVS also manages the Kentucky VINE line which now encompasses three fully functioning victim notification services: Offender status, Court Hearings, and Protective Orders.

OVS staff also travels throughout the state to promote and train other criminal justice professionals and victim service agencies regarding the KY VINE Services and OVS functions.

Highlights

During 2010, OVS began its Project Safety statewide trainings in partnership with the Mary Byron

Project and Appriss, Inc. These trainings offered a look into the dynamics of Domestic Violence; a Kentucky Department of Corrections informational session; the KY VINE services: Offender status, Court Hearings, and Protective Orders; NPLex, and JusticeXchange.

A total of twenty-one trainings were completed. These trainings were offered at no cost to professional agencies such as: Probation and Parole, Drug Court, Department of Community Based Services, Circuit Clerks, County Attorneys, Commonwealth Attorneys, Victim Advocates, law enforcement, Domestic Violence Shelters, Rape Crisis Shelters, and Mental Health/Substance Abuse agencies.



Tammy Lou Johnson
Victim Advocate

In the June/July issue of *Courts Today* magazine, Program Administrator Kristin Smith had an article featured to highlight Kentucky's VINE Court Hearing Notification system.

During 2010 OVS presented to Mountain Comprehensive Care (Paintsville), Probation and Pa-



Alexis Williams
Victim Advocate

role Supervisors (Pineville), Kentucky Department of Corrections Re-entry training (LaGrange), Parents of Murdered Children (Grayson), Women's Federation Club (Barbourville), Circuit Clerk's College (Lexington), Jailer's Conference (Louisville), Commonwealth's Attorney Conference (Lexington), Adanta (Somerset), AppalRed (Prestonsburg), Jailer's Training (Lexington), and KY Sheriff's Association (Bowling Green).

In addition to presenting, OVS exhibited the KY VINE Services at the following: Kentucky Association of Chiefs of Police (Bowling Green), Sheriff's Conference (Bowling Green), Adanta Focusing on Treatment and Care Conference (Somerset), Circuit Clerk's College (Lexington), Kentucky Association of Sexual Assault Programs (Lexington), and AppalRed (Prestonsburg).

The staff of OVS was presented with Commissioner's Awards at the Department's annual Awards Luncheon held in Lexington, Kentucky for the efforts of the Project Safety 2010 trainings.

Office of Victim Services

Kentucky VINE Services Statistics

Month	Site Searches			Phone Calls			
	Phone*	VINEWatch*	VINELink*	Total*	In*	Out	Total
Jan, 10	42,954	7,645	76,241	126,840	35,540	52,492	88,032
Feb, 10	34,268	6,524	67,096	107,888	28,943	48,436	77,379
Mar, 10	40,563	8,283	74,466	123,312	34,408	54,316	88,724
Apr, 10	40,419	7,235	70,966	118,620	34,184	67,415	101,599
May, 10	39,501	6,565	73,888	119,954	33,545	60,212	93,757
Jun, 10	40,190	7,293	75,804	123,287	33,755	61,572	95,327
Jul, 10	40,134	6,970	70,103	117,207	32,855	58,238	91,093
Aug, 10	39,257	6,997	71,039	117,293	32,241	47,054	79,295
Sep, 10	35,225	6,288	73,999	115,512	29,290	49,822	79,112
Oct, 10	35,882	5,990	72,639	114,511	29,320	61,618	90,938
Nov, 10	35,767	5,986	74,503	116,256	28,997	51,781	80,778
Dec, 10	33,786	6,176	72,958	112,920	27,339	70,026	97,365
Totals	457,946	81,952	873,702	1,413,600	380,417	682,982	1,063,399

Month	Phone Events			Email Events			New Registrations
	Confirmed			Delivered			
	Yes	No	Total	Yes	No	Total	Total
Jan, 10	2,498	1,990	4,488	4,955	280	5,235	3,905
Feb, 10	2,464	1,850	4,314	4,826	297	5,123	3,273
Mar, 10	2,761	1,918	4,679	5,236	341	5,577	3,804
Apr, 10	3,034	2,334	5,368	5,398	360	5,758	3,863
May, 10	2,865	2,321	5,186	5,063	351	5,414	3,758
Jun, 10	2,862	2,280	5,142	5,377	336	5,713	3,892
Jul, 10	3,186	2,863	6,049	7,647	118	7,765	3,425
Aug, 10	2,542	1,943	4,485	4,844	97	4,941	3,705
Sep, 10	2,747	2,090	4,837	5,499	96	5,595	3,756
Oct, 10	3,112	2,612	5,724	6,108	101	6,209	3,790
Nov, 10	2,663	2,192	4,855	5,485	119	5,604	4,451
Dec, 10	3,517	2,740	6,257	6,472	129	6,601	3,451
Totals	34,251	27,133	61,384	66,910	2,625	69,535	45,073

Office of Support Services

Deputy Commissioner Kimberly Potter-Blair

Executive Secretary

Sharon Brassfield

Information and Technology

Branch Manager

Craig Thatcher

Offender Information Services

Branch Manager

John Hall

Re-Entry Branch

Branch Manager

Wendy Hayden

Administrative Services Division

Director

Steve Castle

Fiscal Management Branch

Assistant Director

Hilarye Dailey

Contract Management Branch

Manager

Eric Buckley

Classification/Population

Assistant Director

Paula Holden

Division of Parole and Victim

Services

Division of Corrections Training

Director

Chris Kley Meyer



*Kimberly Potter-Blair
Deputy Commissioner
Office of Support Services*

infrastructure that are driven by the business needs of the department. This includes the management and oversight of network infrastructure, offender management systems, and custom applications/reporting.

The Branch includes two sections: Applications and Networking. The Applications department is tasked with supporting our Kentucky Offender Management System, Corrections Website, and other custom applications. The Networking department is tasked with maintaining the Wide Area



*Craig Thatcher, Branch Manager
Information and Technology
Branch*

Network, PC/Server maintenance, and phone systems.

The Information and Technology Branch is in a constant state of change delivering solutions and services to our end users by utilizing available resources and taking advantage of shared resources from other state agencies while impacting the end-user as little as possible. One of the most significant shared resources put in us in 2010 was the backup infrastructure put in place. The new infrastructure has allowed us to get off-site backups from all remote server locations to provide safe secure restoration. This allows us to ensure all backups are being done consistently and that we are not reliant on multiple solutions that operate differently. By moving to these shared services we are able to offer better back-up and recovery services, reduce power costs, and reduced maintenance costs for unneeded infrastructure.

In 2010 we made an infrastructure change to consolidate the management of security patches and software installation for all machines managed by the department. This has allowed us to push major security patches and new software to thousands of machines in just one to two days. This also helps to standardize workstation setups by providing consistency from machine to machine.

Moving forward we are committed to providing the best service possible to our end users and helping them solve their business needs.

Information and Technology Branch

The Information and Technology branch is charged with supporting and maintaining the systems and

Office of Support Services



*John Hall, Branch Manager
Offender Information Services*

Offender Information Services Branch

The Offender Information Services Branch is charged with the responsibility of calculating the sentences of the entire inmate population in accordance with all court orders, laws, policies and regulations pertaining to inmate sentence calculations as well as maintaining an inmate file on all offenders currently in the custody of the Department. An average of 750 inmates are released each month by shock probation, parole or upon completion of their sentence and this office serves as the final line of defense in ensuring that each of those offenders are being released on the exact day they are eligible for release. Additionally, Offender Information Services is responsible for fielding questions from the court sys-



Ashley Sullivan and Melissa Harrod, OIS Administrators

tem, law enforcement agencies and the general public; responding to correspondence from the inmate population; and processing open records requests for documents contained in inmate files. This tremendous responsibility is shared among the 26 staff members of the Branch which include a Branch Manager, two administrators, four supervisors, thirteen Offender Information Specialists and six office support staff.

Offender Information Services is divided into four sections which are each responsible for various duties that contribute to ensuring that every inmate's sentence calculation is completed accurately and that all inmates are considered for parole at the appropriate time.



*Initial Calculation Section,
Seated: Briney King, Standing:
Robert Belen and Donna Inman*

The first of the four sections is "Initial Calculations". These staff members are responsible for verifying the sentence calculations for offenders newly committed to the custody of the Department of Corrections. During 2010, the initial Calculation Section staff have reduced their backlog of Pending Cases from 1,834 as of

December 31, 2009 to 253 as of December 31, 2010, all while processing nearly 1000 new inmates each month.



*Parole Board/File Management
Section, Left to Right: Judy Mar-
shall-Hall, Drusilla Brownlee,
Jade Casey, Adrienne Marlowe,
Tom Miller, Kate Monhiemer*

After verifying the parole eligibility for all inmates considered for parole, the "Parole Board/File Management" section oversees the release on parole of approximately 400 inmates each month. In addition, staff from this section maintains the electronic inmate files for every offender who is currently incarcerated by scanning and uploading more than 2500 documents each month.

The third section within the Branch is the "Jail Management" section. This section serves as the institutional records office for all state inmates housed in the county jails and community service centers. They perform all of



*Jail Mgmt. Section, Left to Right:
Jessica Barrett, Val Woodson,
Traci Wilson, Carol Metts*

Office of Support Services

the routine records processes for each of approximately 6000 inmates housed in County Jails and Halfway Houses. This includes calculating additional sentences, lodging and releasing detainees and approving releases by shock probation or upon completion of sentence.



Good Time Section, Left to Right: Heather McManis, Kort Thompson, Kristen Proctor

The fourth section handles what is referred to as “Good Time”, which equates to time an inmate can earn that is then deducted from his or her prison sentence. As a result of disciplinary action, previously awarded good time may be forfeited. Staff in this section process between 6,000 and 7,000 actions a month, including awards of meritorious good time, educational good time, program credits, good time loss, good time restoration, and work for time credit. This section is also responsible for reviewing the sentence calculations and approving the release of every inmate who is released from an institution.

Re-entry / Home Incarceration Branch

Overview

The Re-entry/Home Incarceration Branch strives to increase public

safety and reduce recidivism by enhancing the resources available to returning offenders/inmates and building a seamless re-entry process.

We’ve funded additional services in 2010 through grant funding obtained in 2009; our goal is to reduce recidivism by fifty percent (50%) over the next five (5) years.

One of the earlier re-entry measures began with the Home Incarceration Program (HIP). HIP allows Class C and D inmates with non-violent and non-sexual crimes the opportunity to serve the last 180 days of their sentence incarcerated in their homes. This program provides them an opportunity to obtain a job, re-establish family ties and re-integrate back into society, all while remaining incarcerated via electronic monitoring.

In 2010, 953 offenders transferred to HIP compared to 894 in 2009. Also, the number of successful HIP completions slightly increased from 697 in 2009 to 719 successful completions to date in 2010. Of those transferred to home incarceration in 2010, 223 of them were still serving on the program on January 1, 2011; therefore it is unknown at this time the total number of successful completions for 2010.

In December 2010, the Department signed a contract with a new vendor, Leimac Contracting LLS, and transferred approximately 250 inmates over to the new system. We are very excited about the changes established



Wendy Hayden, Branch Manager Re-Entry / Home Incarceration

through the new vendor and contract.

Highlights

The Branch has nearly doubled in personnel over the past year. Through an available grant, we were able to hire seven new re-entry coordinators at the institutions along with four positions in Jefferson County Probation and Parole. The seven institutional coordinators are located at Kentucky State Reformatory (two positions), Kentucky Correctional Institution for Women, Eastern Kentucky Correctional Complex, Little Sandy Correctional Complex and Western Kentucky Correctional Complex. Blackburn Correctional Complex and Frankfort Career Development Center will share the remaining position.

In April 2010, grant funded institutional coordinators and pre-release coordinators throughout all the institutions came to the Central Region Training Center for a three day training to better prepare them to assist returning

Office of Support Services

offenders. They learned about Social Security Insurance and Social Security Disability Insurance paperwork, Veterans benefits and resources, Interstate Compact, Housing through Kentucky Housing Corporation, state contracted halfway houses and community service centers, Department of Protection and Advocacy resources, etc. Extensive training was provided that should increase the chances of offenders obtaining these services if eligible. These types of resources could determine the success or failure of a returning offender.

In December 2010, the four new coordinator positions were hired for Probation and Parole Districts 16, 17, 18, and 19 in Jefferson County to maintain a caseload of moderate to high risk offenders. These offenders will remain on the specialized caseload for 30 to 180 days for more intensive supervision and referral of services. Their progress determines their time on the intensive supervision caseload.

Also this year, the Department was able to purchase a new assessment tool to determine the risk level of an offender. The "Level of Services Case Management Inventory" (LS/CMI) assesses an offender's risk of recidivating, identifies their criminogenic needs, and highlights their strengths. This is the first tool of its kind to be utilized by the Department and it will help guide staff in making program referrals, determining who should fill available programming slots, and help motivate offenders upon their return.



Pictured Left to Right: Mavis McCowan, Wendy Hayden, Cheryl Million, Melissa Moore and Tanya Stevens

This year the Department trained approximately 450 staff on the LS/CMI, and ultimately plans to train 750. During its initial phase Probation and Parole has been tasked with completing an assessment on all new probationers or parolees within the first fourteen days of their assignment to the officer's caseload and Adult Institutions will perform assessments on those convicted out of Jefferson County that are within five (5) years of release. Priority is given to those with the least amount of time to serve.

The Re-entry/HIP Branch also started a blog and bulletin board for updates in 2010. You can gain access to both through the Department's intranet at <http://intranet.corrections.ky.gov>. To access the blog click on the re-entry link and for the bulletin board go to the LS/CMI Offender Risk Assessment. Both are useful tools to get updates on re-entry or assistance in administering the LS/CMI.

Grant funding also allowed for the Department to partner with KentuckianaWorks for employment services. Statistics show

that the largest determining factor for male offenders returning to the community is stable employment. KentuckianaWorks contracts through Goodwill in Jefferson County to work with moderate to high risk offenders in need of employment. Services offered include screening, training, and referrals for job placement.

DOC continued work in 2010 in the Governor's Re-entry Task Force. The Executive Order was established by Governor Beshear in 2009 to bring state agencies and community partners together to collaboratively combat recidivism rates while establishing a safer Commonwealth. Both the Executive and Steering Committees worked hard in 2010. The top three re-entry barriers identified were employment, housing, and medical/mental health/substance abuse. Prior to the closing of 2010, the Steering Committee met to identify the root causes of these barriers. The goal is to begin finding solutions to the root causes. In 2011 the Committee will make recommendations to the Executive Committee which may include some legislative changes to address these top three barriers.

The Re-entry / Home Incarceration Branch will continue its mission in 2011 to build resources and work collaboratively within the Department, while partnering state agencies and the community to create a seamless reentry process. DOC strives to increase public safety by better preparing offenders to get a *Second Chance to Make a First Impression*.

Division of Administrative Services



*Steve Castle, Director
Administrative Services Division*

Overview

The Division of Administrative Services is responsible for an array of resource and operation supportive functions including contract management, budget, accounting, procurement, property and insurance, financial analysis, and auditing.

The Division's Fiscal Branch is responsible for budgeting, accounting, financial analysis, auditing, and procurement functions for the Department. For FY 2010, the procurement section managed and administered over forty contracts for the Department, including the Jail Substance Abuse Program contracts. The Department's \$466 million dollar budget is managed through the Director's Office in conjunction with the Fiscal Branch. In



*Hilarye Dailey, Asst. Director
Fiscal Branch*

addition, Fiscal Branch and Administrative Services staff process and oversee thousands of payments through the state's accounting system, eMARS. Annual institutional cash and inmate account audits, as well as special financial investigations, are handled through the Fiscal Branch.



*Eric Buckley, Branch Manager
Contract Management*

The Contract Management Branch has the daunting responsibility of managing and monitoring all private prison and halfway house contracts in the Commonwealth. These contracts provide the Department with over 2,100 inmate beds.



*Brian McGuire,
Property Officer Supervisor*

The Department's property, assets and insurance are handled through the Property Section. This substantial and important task is performed by a staff of

two. Once again, property insurance policies were reviewed and updated to ensure that all institutions were covered with replacement costs.

Highlights

The Division of Administrative Services successfully implemented the FY10 Budget and budget reductions while actively ensuring funds were available to sustain the operational requirements of the Department.

The Division fully executed the Department's FY10 \$466,437,500 budget with no known prior year claims pending. Administrative Services continued to provide resource support related to the Northpoint Riot in areas of insurance, property, claim filing and collection.

The Corrections Management appropriation absorbed a budget reduction while still funding all operational requirements. Brian McGuire and Victoria Callahan completed inventory reviews and property insurance updates. On behalf of the Department, the Division in collaboration with Northpoint Fiscal Staff filed claims and collected \$9,875,667.21 in insurance proceeds from the NTC riot.

The Community Services and Local Facilities appropriation successfully funded all operational requirements for FY10. Staff ensured the Department was able to make full payment to the County Jails just as it had in FY09. Jail Substance Abuse contracts were continued, enabling

Division of Administrative Services

the Department to further advance substance initiatives and services to the inmate population. Under this appropriation, the fiscal staff deposited drug testing receipts in the amount of \$1,400,909.

The Adult Institutions appropriation sustained operational mandates while funding numerous rate increases such as hazardous duty retirement contributions, employee insurance, worker's compensation, technology charges and rising utility costs. The Division assisted the Department's Research and Grants staff in implementing two technology grants – one for handheld radios and one for security camera upgrades. These two American Recovery and Reinvestment Act (ARRA) grants enhanced the security and safety of the institu-

tions and enabled the Department to utilize General Funds to maintain operations.

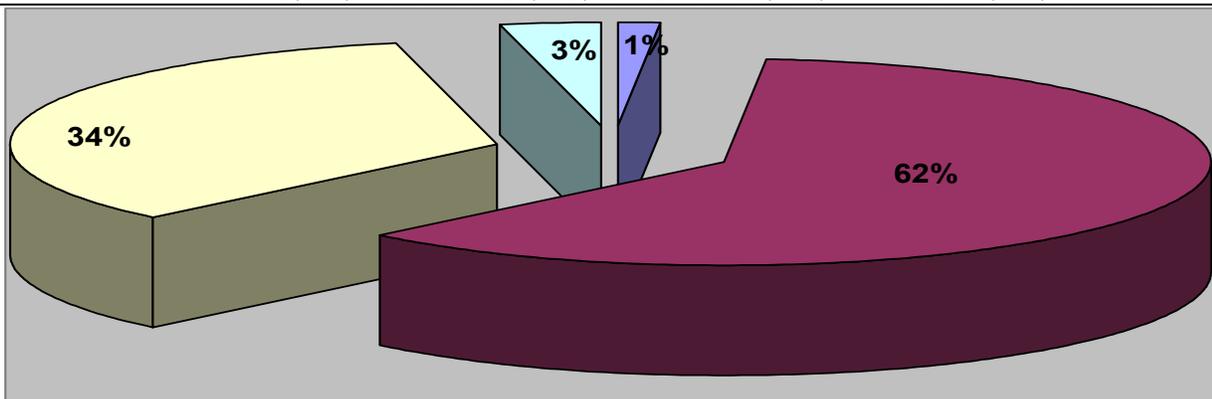
The Contract Management Branch was instrumental in responding to informational requests regarding the Otter Creek Correctional Complex investigation. These staff members spent numerous hours reviewing and issuing reports, investigating complaints, interviewing inmates and staff, and providing recommendations to Department leadership. In addition, staff assisted with the conversion of Otter Creek Correctional Complex to an all-male facility and Western Kentucky Correctional Complex to an all-female facility.

The combined efforts of the Fiscal Branch, Payroll Branch, Property Section and eleven (11) insti-

tutional Business and Payroll offices resulted in a \$535,521 reimbursement from FEMA and insurance proceeds. The Department anticipates receiving additional reimbursement sometime during 2011.

An abbreviated Fiscal Manager's meeting, held in October 2010, provided Fiscal Managers with information on new procedures and policies. Topics included procurement, accounting structure, procurement card administration, inmate canteen and inmate accounts. Fiscal Managers also attended the Kentucky Public Procurement Association annual conference directly following the Fiscal Manager's Meeting. A full-scale Fiscal Manager's meeting is planned during 2011.

2010 Budget	CORRECTIONS MANAGEMENT	ADULT INSTITUTIONS	COMMUNITY SERVICES	LOCAL JAIL ALLOTMENT	TOTAL
PERSONNEL	\$ 5,762,940.74	\$ 178,836,459.88	\$ 34,614,971.62	\$ 29,745.47	\$ 219,244,117.71
OPERATING	\$ 917,972.69	\$ 41,590,181.76	\$ 4,404,328.17	\$ 447,000.00	\$ 47,359,482.62
CARE & SUPPORT		\$ 64,968,798.61	\$ 118,214,827.92	\$ 14,156,516.00	\$ 197,340,142.53
CAPITAL OUTLAY		\$ 2,493,754.44			\$ 2,493,754.44
TOTAL	\$ 6,680,913.43	\$ 287,889,194.69	\$ 157,234,127.71	\$ 14,633,261.47	\$ 466,437,497.30



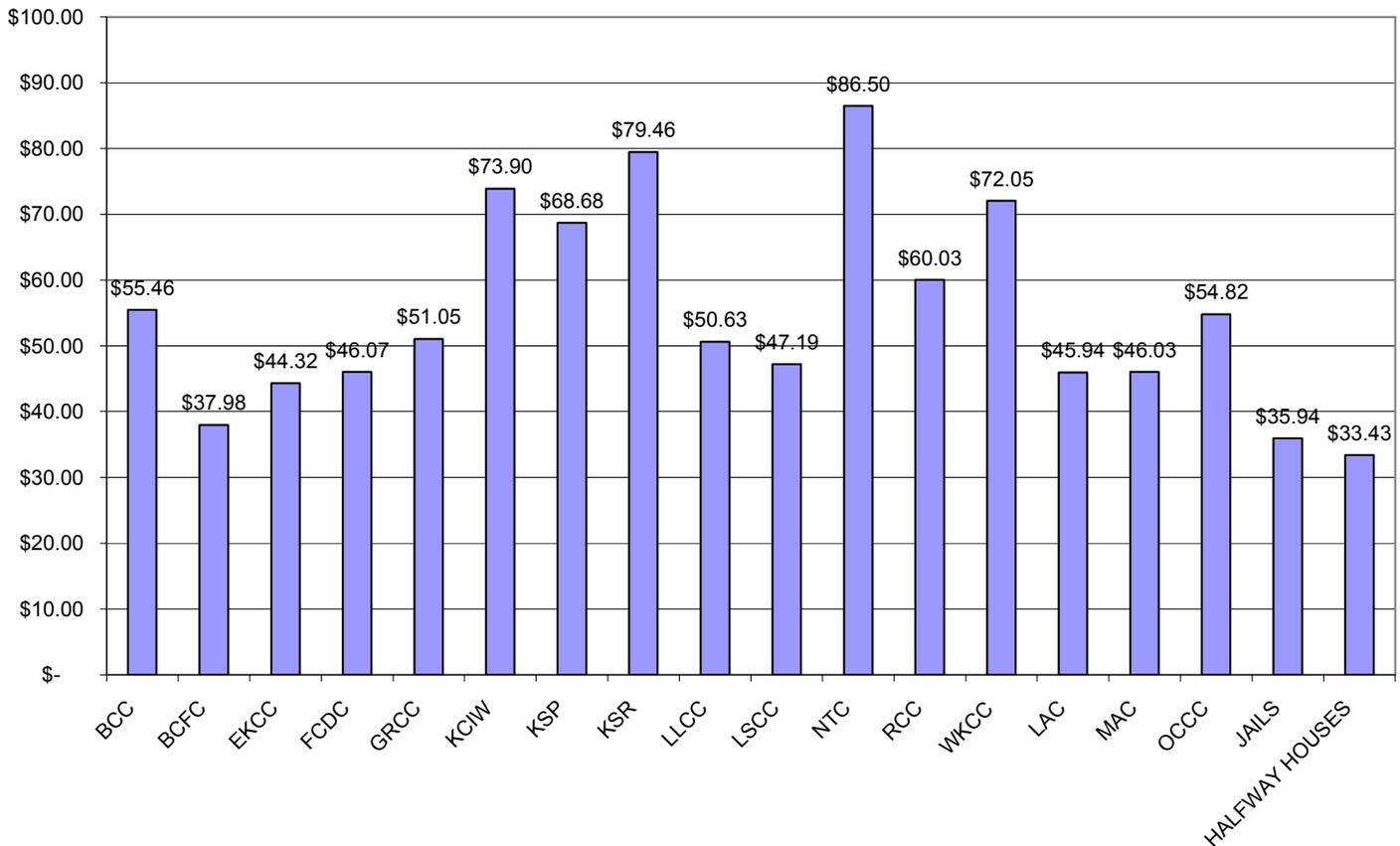
■ CORRECTIONS MANAGEMENT ■ ADULT INSTITUTIONS □ COMMUNITY SERVICES □ LOCAL JAIL ALLOTMENT

Division of Administrative Services

FISCAL YEAR 2010 COST TO INCARCERATE

	TOTAL FY10	ADP	DAILY COST	FY09	DIFFERENCE
BCC	\$ 11,578,939	572	\$ 55.46	\$ 58.23	(\$2.77)
BCFC	\$ 4,103,359	296	\$ 37.98	\$ 39.16	(\$1.18)
EKCC	\$ 27,613,798	1,707	\$ 44.32	\$ 43.84	\$0.48
FCDC	\$ 3,396,741	202	\$ 46.07	\$ 46.34	(\$0.27)
GRCC	\$ 18,036,986	968	\$ 51.05	\$ 48.87	\$2.18
KCIW	\$ 18,476,848	685	\$ 73.90	\$ 77.99	(\$4.09)
KSP	\$ 22,160,289	884	\$ 68.68	\$ 68.13	\$0.55
KSR	\$ 56,062,606	1,933	\$ 79.46	\$ 71.64	\$7.82
LLCC	\$ 20,013,786	1,083	\$ 50.63	\$ 50.63	\$0.00
LSCC	\$ 17,431,042	1,012	\$ 47.19	\$ 47.52	(\$0.33)
NTC	\$ 19,859,103	629	\$ 86.50	\$ 45.74	\$40.76
RCC	\$ 21,472,731	980	\$ 60.03	\$ 56.91	\$3.12
WKCC	\$ 14,043,266	534	\$ 72.05	\$ 56.78	\$15.27
LAC	\$ 3,353,620	200	\$ 45.94	\$ 58.04	(\$12.10)
MAC	\$ 13,726,376	817	\$ 46.03	\$ 40.02	\$6.01
OCCC	\$ 8,083,757	404	\$ 54.82	\$ 53.60	\$1.22
	\$ 279,413,245	12,906	\$ 59.32	\$ 54.74	\$ 4.58
JAILS	\$ 98,844,884	7,535	\$ 35.94	\$ 36.26	\$ (0.32)
HALFWAY HOUSES	\$ 10,981,755	900	\$ 33.43	\$ 32.60	\$ 0.83
	\$ 109,826,639	8,435	\$ 34.69	\$ 34.43	\$ 0.26

FY2010 COST TO INCARCERATE



Division of Classification/Population Management

Director

Paula F. Holden

Program Administrators

Brad Adams
Kieryn Fannin
Amanda Scott
Jennifer Tracy
Lisa Teague

Administrative Specialists

Tonya Raisor
Annyette Fleming



*Paula Holden, Assistant Director
Classification/Population*

Overview

The Division of Classification and Population Management is responsible for overseeing classification actions in all state institutions, contract facilities, and full-service county jails. The Division is responsible for providing an objective based classification manual and annual training to all classification staff and implementing and updating policies to ensure compliance with changing statutes and system changes. Division staff reviews all inmate transfer requests, furlough requests, jail disciplinary reports, jail detainers, and security priority admission requests. The Division also monitors the population of all state institutions, contract facilities and county jails. When

necessary, movement is directed by division staff in order to alleviate overcrowding in county jails. The Division Director oversees the operations of the Assessment Centers.

Inmate custody levels are determined through an objective based risk instrument that was developed with the assistance of the National Institute of Corrections (NIC). A validation and reliability study was conducted in 2009 and the classification manual is currently being revised to reflect recommended changes.

In 2010, division staff reviewed 10,999 transfers, completed 4,119 custody reviews and coordinated 1,596 moves out of overcrowded jails.

Highlights

In March 2010, inmate movement began in order to remove all female inmates from the Otter Creek Correctional Center and complete the conversion of Western Kentucky Correctional Complex to an all-female facility. This conversion involved moving approximately 700 male inmates out of WKCC and 600 females out of OCCC. Temporary beds were added at Roederer Correctional Complex, Kentucky State Reformatory and Kentucky Correctional Institution for Women in order to accommodate these inmates. Division staff reviewed all transfer authorizations of inmates involved in the conversion. All movement between facilities was also coordinated by division staff.

In June 2010, Division staff reviewed approximately 250 transfer authorizations in order to transfer all state inmates out of Lee Adjustment Center.

In August 2010, with the completion of the WKCC conversion, all female CC inmates were transferred from county jails to DOC institutions. Division staff coordinated movement of the CC females.

In November 2010 DOC began transferring Class D inmates with secure custody, who met the guidelines outlined in the 2010 special session budget bill, to county jails. These inmates included those who were convicted of only Class D non-violent, non-sexual felony offenses who had greater than a 5 year sentence but less than 5 years remaining to serve. The Population Management Division and Local Facilities Division worked together to identify five (5) county jails to pilot the placement of these inmates. The Divisions continue to work together to monitor progress and make changes as needed.



Standing Left to Right: Jennifer Tracy, Amanda Scott, Tonya Raisor, Brad Adams, Lisa Teague and Annyette Fleming. Seated is Paula Holden. Not pictured is Kieryn Fannin.

Division of Parole and Victim Services

Division of Parole and Victim Services

Internal Policy Analyst IV
Melissa Clark

Executive Staff Advisor
Mesha Rogers

Administrative Specialist III
Gregg Stratton

Administrative Law Judges
Nancy Barber
Eric Bates

Contract Administrative Law Judges
Don Jones
Kimberly Morris



Mesha Rogers
Executive Staff Advisor

ceived annually. There is also a dedicated toll-free line for victims. Victim impact hearings provide an educational opportunity for both victims and Parole Board members. They help to personalize each crime and allow the Board to better understand the effects of the crime on the victims and their families. Information gleaned from victims at hearings or in their victim impact statements is confidential. Victim impact hearings may be open or closed to the public, depending on the wishes of the victim. When a hearing is closed, only the Parole Board has access to the victim's testimony.

The Support Services section handles incoming calls and correspondence, performs administrative duties during parole hearings, enters the Parole Board's decisions and maintains the parole eligibility lists. Approximately 25,000 pieces of incoming mail is received annually. These are processed, scanned into the Kentucky Offender Management System (KOMS), and some require further response or action. Support Services processed approximately 1000 reconsideration requests in 2010. They also perform administrative duties such

as maintaining the parole eligibility list, arranging the Board's monthly hearing schedule, handling their travel requests, processing "back to board" requests and completing the minutes for all weekly meetings conducted by the Board. They also record parole board decisions in KOMS and audio tape parole hearings.



Melissa Clark
Internal Policy Analyst

The Risk Assessment section is responsible for compiling Parole Guidelines Risk Assessments for all hearings conducted by the Board with the exception of parole violation hearings. The Parole Guidelines Risk Assessment is an objective tool used by the Parole Board when making release decisions. These guidelines contain an offense severity index along with a risk assessment score based on static and dynamic risk factors that provide the Board with information as to the inmate's likelihood of success under parole supervision. However, parole remains discretionary. These guidelines enhance the Board's credibility and accountability and make the parole decision-making process more consistent.

The Revocation Section consists of an administrative staff as well

Overview

The Division of Parole and Victim Services is responsible for completing all administrative duties of the Parole Board as determined by policies, statutes and regulations. The division was created in August 2010 by the Governor's executive order reorganizing the staff from the Office of the Parole Board and putting them under the Department of Corrections. The division consists of four sections: Victim Services, Support Services, Risk Assessment, and Revocation.

Victim Services is responsible for victim and prosecutor notification as required by statute. Over 4000 letters are sent out annually requesting their input in the parole process. Approximately 2000 victim impact statements are re-

Division of Parole and Victim Services



Gregg Stratton
Administrative Specialist III

as the Administrative Law Judges. The administrative staff issue and process parole revocation warrants. They also prepare parole violator packets and perform administrative duties for final revocation hearings. Administrative Law Judges conduct preliminary revocation hearings, which are proceedings to determine if there is probable cause to believe that a parolee has violated the terms and conditions of his parole supervision.

The hearing is initiated by serving a Notice of Preliminary Hearing upon the parolee and the filing of the notice with revocation staff. Alleged violations may either be technical in nature (i.e. failure to report, absconding, use of alcohol, etc.) or substantive (new misdemeanor or felony convictions). Once served with the Notice of Preliminary Hearing, the parolee may waive the Hearing by admitting to the alleged violations or request to proceed with the preliminary hearing. If the hearing is waived, the parolee is transported to a location to have a final hearing with the parole board. If the parolee chooses to have a full hearing, the date, time and location of the hearing is set.

The Preliminary Hearing is conducted by an Administrative Law Judge under the authority of KRS 439.341 and 501 KAR 1:040. Probation and Parole is typically represented by the supervising officer. The parolee may be represented by counsel, if he/she so chooses. Probation and Parole presents evidence and testimony in an attempt to show that the offender violated the terms and conditions of supervision. After presentation of Probation and Parole's case, the defense may put on testimony and evidence to disprove the affirmative case and/or mitigating information. At the close of the defense's case, the Administrative Law Judge determines whether there is probable cause to believe that the offender violated his/her conditions of release.

If the Administrative Law Judge makes a finding of no probable cause, the case is dismissed and the offender is returned to supervision. If the Administrative Law Judge finds probable cause, the offender is scheduled for a final hearing with the Parole Board.

In some specific cases, the Administrative Law Judge may grant leniency even after a determination of probable cause.

In 2011, pursuant to 501 KAR 1:070E (an Emergency Regulation signed into effect in 2010) and Jones v. Commonwealth, 2007-SC-000922, Administrative Law Judges will begin conducting Preliminary Revocation Hearings for Sex Offenders on Conditional Discharge.

Continuance Sine Die

A Continuance Sine Die (CSD) is a grant of leniency initiated by a parole officer who does not want to pursue revocation, but alleges that a parolee did violate the conditions of his/her parole supervision. In such situations, a CSD form is completed by the parole officer and parolee. In the CSD form, the alleged violations are listed. Additionally, the parolee admits to the alleged violations and the parole officer gives reasons leniency is appropriate and outlines suggested sanctions.

These completed forms are then submitted to an Administrative Law Judge for review. These recommendations of leniency are either granted or the matter is set for a Preliminary Revocation Hearing.



Nancy Barber and Eric Bates,
Administrative Law Judges

In 2010, the Office of Parole and Victims Services employed two full-time Administrative Law Judges: Nancy Barber and Eric A. Bates. Additionally, contract Administrative Law Judges Don Jones and Kimberly Morris conducted Preliminary Revocation Hearings in specific territories in the far eastern and far western regions of the state, respectively.

Division of Corrections Training

Director

Chris Kleymeyer

Regional Branch Managers

Central Region

Steve Faulkner

Eastern Region

Richard Fulks

Western Region

Donnie Youngblood



*Chris Kleymeyer, Director
Division of Corrections Training*

Corrections Training Division

The Division of Corrections Training (DCT) is charged with planning, coordinating and conducting training for three diverse populations of correctional professionals within the Commonwealth of Kentucky.

The DCT develops the majority of the new employee and continuing education curriculum for Correctional Officers and staff of Kentucky's thirteen adult Kentucky institutions, Probation and Parole Officers and staff of the

nineteen Probation and Parole Districts and annual training for elected Jailers and their staff within the one hundred twenty counties of Kentucky.

Training Methodology for Correctional Officers

Currently, a new corrections officer will complete the Corrections Officer Basic Course (COBC) by attending New Employee Orientation (NEO) training at the institution in which they were hired.

The Institutional Training Coordinator (ITC) oversees the NEO instruction and the Phase I portion of the COBC. The Phase I portion of COBC is equivalent to 40 hours of classroom training delivered by Computer Based Training (CBT) within the institution's computer lab. After completing the NEO and Phase I of COBC at the hiring institution, the trainee will complete Phase II of the COBC at one of the three training centers. Upon completing Phase II of COBC, the new correctional officer returns to their hiring institution for the remainder of their eight month probation period. Upon return to



*New Employee Orientation
(NEO) training*



*Corrections Officer Basic Course
(COBC) Training*

their institution the newly trained correctional officer will receive an additional 40 hours of Officer Common Core and Officer In-Service training annually.

Training Methodology for Probation and Parole Officers

Currently, a new probation and parole officer completes the Probation and Parole Officer Basic Course (P&POBC) by attending their New Employee Orientation at the Probation and Parole Office in which they were hired.

The Assistant District Supervisor oversees the NEO and Phase I of the P&POBC. The Phase I portion of the P&POBC is equivalent to 40 hours of classroom instruction delivered by CBT on the new probation and parole officer's assigned laptop computer. After completing the NEO and Phase I of the P&POBC, the new officer completes Phase II of the P&POBC at the Central Region Training Center. Upon completing Phase II of the P&POBC, the new officer returns to their assigned probation and parole office for the remainder of their six month probation period. Upon return to the district, the newly

Division of Corrections Training



DCT CERT Training

trained probation and parole officer will receive an additional 40 hours of Probation and Parole In-Service training annually.

Elected County Jailers and their Deputies

Currently, Kentucky Revised Statutes require the Department of Corrections to provide, at no cost to the jailers, a minimum of 40 hours of annual training for elected jailers and 16 hours of annual training for their staff.

The DCT develops an annual curriculum in cooperation with a curriculum committee appointed by the Kentucky Jailers' Association. The DCT provides the deputy jailer curriculum to county jails for delivery by members of the jailer's staff, who have been trained by the DCT, to act as adjunct instructors.

DCT staff from the three regional training centers also conduct numerous iterations of the Annual Deputy Jailer Training throughout the Commonwealth at locations requested by the Kentucky jailers.

The deputy jailer CBT curriculum is available for any jail staff that needs the training in addition

too, or in lieu of, the classroom training. The elected/appointed jailers meet the majority of their 40 hour annual training requirement by attending one or both of the annual Kentucky Jailers' Association Conferences.

Regional Branches

During 2010 the Department continued to progress on an initiative to establish the three regional training centers on institutional grounds and conduct training on a regional basis. While implementing regionalization, the Department realized an initial annual savings of fixed costs in excess of \$288,429.16.

Prior to the regionalization initiative, all departmental training occurred at the Louisville Corrections Training Center. During the last year of operation, the Louisville facility was billing the Department \$177,753.12 for annual rental, \$9,356.04 for utilities and \$4,320.00 for janitorial/maintenance services. The Department also incurred an annual cost of \$97,000.00 for dormitory space at the University of Louisville. Trainees would also typically incur \$375.00 in meal costs while attending the Correctional Officers Basic Course at the Louisville Corrections Training Center in addition to their mileage and overtime costs associated with their travel.

After implementation of regionalization, the Department was able to provide meals to the trainees within the correctional facilities, which are adjacent to the regional training centers, at a cost



A cold day on the firing range at Eastern Region Training Center

of \$0.826 per meal. Our typical meal cost, per trainee, while attending the Correctional Officers Basic Course, is currently \$37.17, a savings of \$337.83 in meal costs per trainee.

Our efforts to regionalize training have resulted in the elimination of real property rental costs, the associated maintenance and janitorial costs for rental properties, reduced meal costs and significantly reduced the number of departmental employees who require overnight accommodations to attend training. Since January 2005, the DCT has constructed four buildings with a total of 27,298 square feet of space for training (an increase of 9,990 square feet more than the 17,308 square feet in the old Louisville facility) at a cost of \$1,452,857.09. Three of the four buildings were constructed primarily with inmate labor on institutional grounds. The ERTC building was commercially built on institutional grounds. As of January 2010, the fixed costs savings of \$1,730,574.90 exceeds the amount of money spent on the construction of the four new buildings.

Office of Adult Institutions

Deputy Commissioner James Erwin

Administrative Assistant
Kim Marcozzi

Operations and Program Services
Branch Managers
Gunvant Shah
Martha Slemph
Susan Williams

Correctional Industries
Director
Tom Cannady

Medical Services Division
Director
Dr. Scott A. Haas

Mental Health Division
Director
Kevin Pangburn

Office of Research and Grants
Ruth Thompson
Brigid Adams



James Erwin
Deputy Commissioner
Office of Adult Institutions

agement Branch (CCMB) manages construction and maintenance activity and oversees funds allotted for capital construction and equipment purchases appropriated by the General Assembly for the Department of Correction's 13 penal institutions.

The staff consists of seven employees, including a registered engineer, a registered architect, three project managers, an internal policy analyst and an administrative assistant. Each member of this staff plays a vital role in overseeing the inception of each project all the way through to completion.

The CCMB began FY10 with 75 projects with allotments totaling \$126,380,065.00 and ended FY10 with 85 projects with allotments totaling \$121,843,485.00. These totals also represent projects carried forward from previous bienniums that are currently still active. The FY08-10 appropriations

totaled \$5,500,000.00 which includes both investment income and bond funds appropriated by the General Assembly. In FY10, the CCMB opened 27 new projects and closed 11.

In addition to maintenance activities, this Branch is responsible for designing small construction projects and provides assistance on OSHA & environmental matters, operational guidance on water and sewage plants and troubleshoots engineering and maintenance problems.

CCMB also prepares, coordinates, and submits the 6-year Capital Plan and the biennial Capital Budget Request for the Department. These requests are facilitated through the effective communication and coordinated efforts with the Finance and Administration Cabinet, Facilities Management and the Governor's Office for Policy and Management.

The CCMB has made a major effort to utilize the available resources of the Department, especially in the area of inmate labor.



Gunvant Shah
Capital Construction Branch

Operations and Program Services

The Division of Operations/Program Services is responsible for providing operational and programming support to the state's 13 adult correctional facilities. Additionally, the Division is responsible for capital construction, inmate education and vocational programs and inmate food services.

Capital Construction

The Capital Construction Man-

Operations and Program Services

In FY2010, \$326,000.00 was saved using inmate labor and \$92,000 was saved by using in-house designs. The Department has been able to effectively stretch the Miscellaneous Maintenance budget, enabling the completion of many needed projects that would not have possible utilizing conventional contract methods

Education and Vocational Programs



Martha Slemp, Educational and Vocational Programs Branch

House Bill 164, which became effective July 1, 2010, transitioned Corrections Education from the Kentucky Community & Technical College System (KCTCS) to the Kentucky Department of Corrections. It permits DOC to center the Vocational and Technical trades, as well as other programs, on “industry-recognized certifications.” It also eliminates the requirement of additional fees for General Education Classes and Coursework.

The Corrections Education Center aims to service the educational needs of the offender popu-

lation by providing both traditional and non-traditional vocational/technical programs. The Department has embraced the transition with open arms, and steady progress continues. Each Correctional Education Center developed a Comprehensive Education Plan (CEP), as well as a PowerPoint presentation emphasizing the key goals and objectives.

The Department, in conjunction with KCTCS, completed the 2010 school year with the following academic and vocational education accomplishments. The academic opportunities include literacy essentials, adult basic education, and GED preparatory classes and testing. A total of 341 GED’s and 142 vocational/technical diplomas were issued among the 13 state-operated adult facilities. In addition, there were 715 offenders enrolled in the evening college program (reflects dual enrollments).

The DOC, in partnership with KCTCS and Kentucky Adult Education (KYAE), put an emphasis on the Kentucky Employability Certificate (KEC). Among Kentucky’s 13 adult institutions, the Correctional Education Centers awarded 397 KEC’s in FY 2010. This is a 56% increase from FY 2009.

DOC maintained a Corrections Education partnership with Eastern Kentucky University’s Training Resource Center (EKU/TRC). The EKU/TRC’s educational enhancement programs included the Microsoft Office program at seven adult correctional facilities

and Cabling programs (introduction, copper-based and fiber-optic) at five adult correctional facilities. At the close of FY 2010, an additional 420 “industry-recognized certifications” were awarded to offenders.

Food Services



*Susan Williams
Food Service Branch*

The goal of the Food Service Department is to provide each inmate a wholesome and nutritious diet within a set budgetary allowance. Meals are prepared and served under strict sanitary conditions according to regulations set by the Kentucky Department for Health Services as well as the American Corrections Association standards including food service and sanitation standards.

The Department maintains its partnership with Aramark Correctional Services (ACS) who operates institutional food service in all 13 state-run institutions. ACS provides expertise in food service operations. Their implementation and volume buying power combine to create efficient and quality maintained food ser-

Operations and Program Services

vice operations. The DOC oversees the operations to ensure all regulations are met and policies are followed.

During 2010, the food service operations were audited by the State Auditors Office. As a result of this audit and its finding, additional measures were put in place to ensure ACS complies with the terms of the contract. These included but are not limited to a more extensive institutional monthly report as well as a comprehensive central office monthly and quarterly report.

Each institution follows a "Master Menu" planned by ACS dietitians in accordance with Recommended Daily Allowances as recognized by the National Academy of Science and approved by the Department dietitian. Annual resident inmate surveys are conducted and the results of this survey, along with input from institutional personnel, are taken into consideration when planning the Master Menu.

Menu items are prepared for the main line with limited or no salt, limited seasonings, reduced frying with vegetable oils, and restricted fat or meat based seasonings. It is the goal of the Department to improve diet through healthy cooking on the main line. The long-term benefit being reduced medical costs for the inmate population.

Food Service also feeds one meal per shift to staff while on duty. The Department feels while this benefits staff morale; it also provides additional security in the

dining rooms during the meal period.

Aramark conducts institutional inmate food service training programs in all ACS operated facilities. The In-2-Work (I2W) provides inmates with food service training and practical work experience. There is also a certified food safety and sanitation course called Serv-Safe. Throughout the course, inmates learn not only practical knowledge and its application but are taught interview skills, how to present themselves professionally and how to maintain a job. Inmates receive a nationally recognized certificate upon completion of this course.

Program Administrators



Jeff Hulker
Program Administrator

Jeff Hulker, working as the Law Enforcement Liaison, represents the Kentucky Department of Corrections (KDOC) at the Kentucky Intelligence Fusion Center located in Frankfort. He also represents KDOC on the local Joint Terrorism Task Force (JTTF). The Law Enforcement Liaison establishes, develops, and maintains relationships with law en-

forcement agencies in order to exchange information to promote the ability to safeguard our homeland and to prevent, deter, and investigate criminal activity. Law enforcement authorities are realizing the abundant amount of information that correction authorities possess on offenders.

He also acts as the Security Threat Group Manager. The activities of Security Threat Groups/Gangs (STG) continue to increase throughout the country. Increase in activity also continues to be seen in correctional facilities. The operational objectives for monitoring STG's are aimed at addressing this issue. The three objectives of STG management are to protect the public and communities from predatory STG members, provide a safe correctional environment for staff and offenders, and effectively identify, monitor and control the incarcerations of STG members by anticipating questionable activities and potential situations before such incidents occur. The STG Program Administrator acts as a liaison to federal, state, and community agencies by providing information regarding the identification and monitoring of activities of STG inmates.

The Department continues to promote communication throughout our institutions regarding STG activities and issues. Policy mandates a Security Threat Group Committee at each institution. The Security Threat Group Committee is to meet monthly and is comprised of the warden, deputy wardens, shift captains, internal affairs, mail room staff, medical

Operations and Program Services

staff, chaplain, STG coordinator and others that are deemed appropriate. The meeting gives opportunities for staff to discuss STG trends and patterns along with any other STG issue.

At the end of 2010, KDOC had 1,608 validated STG inmates housed in adult institutions. The largest groups were: Folk, Hate Groups, and People Nation groups.

Hulker also manages the Prison Rape Elimination Act (PREA) program. PREA supports the elimination of sexual assault and rape within correctional institutions. Additionally, PREA mandates national data collection efforts, provides funding through grant monies, and created a national commission to develop standards and accountability measures.

PREA applies to all federal, state, and local prisons, jails, police lockups, private facilities and residential facilities. PREA establishes a zero tolerance standard for incidents of inmate sexual assault and rape. It makes prevention of inmate sexual assault and rape a top priority at each correctional facility. Failure to comply with approved standards may result in reductions of all federal funding. On July 15, 2010 staff sexual misconduct became a Class D felony in Kentucky.

The Central Office PREA Program Administrator maintains accurate and up to date information on all sexual related incidents. The PREA Program Administrator works closely with

PREA Program Coordinators and Internal Affairs Officers at each of the institutions. Each incident is closely monitored from the reporting date to the final conclusion. An annual report is submitted to the Bureau of Justice Statistics by the PREA Program Administrator.



*Mary Godfrey
Program Administrator*

Mary Godfrey serves as the Department's Hostage Negotiation Team Administrator. In October 2009 the Hostage Negotiation Team (HNT) was administratively separated from CERT. Both were divided into four (4) Regional Teams. The 2010 HNT goal was to provide basic training for all team members as well as provide uniform quarterly training in each Region. Active Listening Skills training was presented in January 2010. Basic HNT was provided for 72 team members by the FBI in February, 2010. Quarterly training continued in each of the Regions. The Annual Strategic Planning Meeting was held in December 2010 to provide an update of accomplishments and to identify and prioritize training for 2011.

Godfrey also deals with issues involving religion. Several tours of institutions were provided for the Gospel Missionary Church in Louisville. This Church has recently initiated a transportation ministry to DOC institutions for families of inmates. The Islamic Imam contract expired in 2010. This contract is in the process of being revised and is under consideration for 2011. A master list of institutional volunteers, to include banned volunteers, was started in 2010 and will be available for all Chaplains and designated Institutional staff.

Policies and Procedures



*Debbie Kays
Policies and Procedures*

A total of 19 revised policies and 2 new policies went through the LRC approval process in 2010. New policies created were: (1) CPP 3.14, Employee Time and Attendance were created to provide guidance and direction for time and attendance issues at the institutions. This new policy also incorporates new direction for breaks and lunch periods. (2) CPP 2.7, Control of Cash Items was created to provide direction/guidelines at DOC entities.

Kentucky Correctional Industries

Director

Tom Cannady

Assistant Director

Charles Wilkerson

Operations Branch

Neil Hille

Fiscal Branch

Roger Korby

Sales / Marketing Branch

Yvonne Board

Farms Branch

Truman Tipton



*Tom Cannady, Director
Kentucky Correctional Industries*

Overview

Kentucky Correctional Industries (KCI) comprises 23 industries and four farms operating at 11 locations within Kentucky’s prison system. It has been producing quality goods and services for government agencies, private businesses and the citizens of the Commonwealth for over 50 years. KCI Currently employs approximately 770 inmate workers within those industries and 30 to 100 inmate workers on the farms as seasonal needs dictate.

Our products and services are designed and manufactured by skilled inmate craftsmen. Motivated men and women are voluntarily employed in jobs that develop marketable skills, responsibility and a positive work ethic. The job opportunities provided through KCI are highly sought after by our inmate population due to their relatively high pay and learning opportunities. KCI strives to provide a work environment that matches, as closely as possible, what would be available on the “outside”. There is an interview process, pay increases based upon performance evaluations, a time clock to punch in and out and opportunities to work in a team environment. As a result the KCI products and services offered are of high quality and competitively priced. Consequently, our prisons are more effectively managed, society is delivered significantly less repeat offenders, and the tax dollars needed from the citizens of the Commonwealth are greatly reduced.

Highlights

Our newest member at KCI, C.A. Wilkerson, was hired as our Assistant Director. C.A.’s sales background, knowledge of the Franklin County community and his vast network of state government contacts are tremendous assets that he intends to utilize for promoting KCI’s mission of training and employing inmate labor. He is currently acting as liaison with Xerox and Louisville Metro Government in a newly formed partnership. He will also be prospecting for potential in-



*Charles Wilkerson, Asst. Director
Kentucky Correctional Industries*

dustries for the under utilized facilities at BCC and WKCC, which currently have no KCI industry.

KCI continues to evaluate the profitability and demand for particular products and services. As a result of these evaluations, we have determined the need to discontinue sign production at our BCC facility and are consolidating custom wood production from EKCC to LSCC. The LSCC building was designed as a custom wood shop and as such lends itself to being more productive in that area. EKCC will focus its attentions on wood refurbishing and upholstery, two areas where



*Neil Hille, Operations Branch
Kentucky Correctional Industries*

Kentucky Correctional Industries

they have excelled in the past. The Correctrest line of mattress and box spring production was discontinued in early summer. Institution and dormitory mattresses are still produced but we are discussing the possibilities of shifting that industry to BCC to free up space at LSCC and give a more productive industry to Blackburn.

A third recycling plant, a joint venture with Global Polymers, was opened at KSP, giving that facility a second industry to compliment the garment plant. While operating such an industry in a maximum security setting presented some new challenges that had to be met, this gave us the opportunity to employ an additional 50-70 inmates at there. Global Polymers continues to invest in the correctional industries model by purchasing and installing equipment that allows us to process more products and add revenue streams.



*Roger Korby, Fiscal Branch
Kentucky Correctional Industries*

Our accounts payable are currently up to date. Two years ago we were faced with \$1.25 million owed to vendors and were feeling the negative affects when at-

tempting to purchase supplies and materials. We are in the beginning stages of acquiring a business software package to manage inventory, provide accurate reporting and track sales and customer purchases.



*Yvonne Board, Sales/Marketing,
Kentucky Correctional Industries*

While sales are still not at levels necessary to maintain self sufficiency, we are making progress by changing our sales model from order processing to a customer service orientation and by focusing on clients outside of state government. The showroom facility in Frankfort was given a facelift with bright paint, fresh product placement and new furniture lines with an eye toward freshening our image to meet customer demands. New lighting is on the way and will add the extra highlight needed to promote the new furniture and fabrics. KCI's web site has been overhauled into a more user friendly tool. The basics are now in place and we are beginning the task of updating the web site with current product information.

We have embarked upon a partnership with Louisville Metro Government and the Xerox Cor-

poration to become the sole source printer for LMG. Xerox is leasing KCI the equipment, personnel and curriculum in a program called "Corrections to Career". Inmates are trained and certified by Xerox to operate digital print equipment that gives them the opportunity and skill sets to apply for a high demand job upon their release. The revenue stream from Louisville should sustain the equipment lease and the equipment will give KCI the ability to produce print digitally. This opens doors with customers we previously would have had to turn away.



*Truman Tipton, Farms Branch
Kentucky Correctional Industries*

In spite of the worst drought conditions since 1983, the farm operations managed another break-even year (less product but higher prices). A successful transition of male to female inmate farm workers was achieved at WKCC. WKCC is also starting a small scale blackberry operation that will create another labor intensive agriculture operation that will employ more inmates. Herd cross breeding programs were established at NTC and BCC in efforts to increase revenue from those cattle operations.

Medical Services Division



*Dr. Scott A. Haas, Director
Medical Division*

Medical Services Division

The office of the Division of Medical services provides administrative oversight and direction for all aspects of inmate health care, including pharmacy operations, medical, psychiatric, dental and nursing services, post-hospitalization and end of life care. In addition to ensuring that all inmates receive health screenings, physical exams, primary care, specialty care referrals and emergency service, the Division also provides for chronic care clinics designed to continuously monitor and treat inmates on a regularly scheduled basis with the following chronic care conditions: Asthma, Diabetes, Epilepsy, Hepatitis C, High Cholesterol, High Blood Pressure and HIV infection.

Chronic care services focus on prevention in order to prevent deterioration of the illness and hopefully reduce the need for higher levels of care. All care is provided in accordance with state and federal law, state administrative regulations, American Correctional Association (ACA)

standards and the standard of care for the practice of correctional medicine. In addition, a peer review process is in place in which the practice and documentation of health care by each provider is bi-annually reviewed and reported in writing by another provider within the Department of Corrections (DOC).

Hospitalization services are provided by a number of Kentucky hospitals through an established network of providers. The Kentucky Corrections Health Services Network (KCHSN) is a system providing negotiated rates with hospitals and specialty providers across the state. This network is the result of a collaborative effort between the Department of Corrections and the University of Kentucky. Network services provided to the Department include quality assurance, utilization management, utilization review, claims processing, pre-authorization and research.

The implementation of the KCHSN has resulted in consistent savings of nearly 40% for all secondary care services compared to the usual and customary rates charged by hospitals and specialists. In addition, claims processing and verification of bills presented by secondary care providers has resulted in the saving of millions of dollars over the years by eliminating payments for errant, duplicate and non-authorized charges.

Pharmacy services are provided through a contract with Diamond Pharmacy in Indianapolis, PA. Through regularly scheduled

pharmacy and therapeutics committee meetings and aggressive formulary management by the Division director, pharmacy cost control has been more successful in Kentucky than most every other correctional system across the country. Kentucky's correctional formulary management model has been presented numerous times to the American Correctional Association and has been a recent topic of discussion for the ACA's Coalition of Correctional Health Administrators.

The Medical Division has successfully implemented a secure Electronic Health Record in all of its correctional facilities. The system is web-based, allowing HIPAA compliant access to all inmate medical records from anywhere in the world where internet access is available. DOC medical providers are now able to obtain the latest clinical information on their patients whenever they receive an after hours call from an institution requiring medical assistance.

This office also coordinates all movement of county jail inmates with catastrophic medical conditions, or who are pregnant in accordance with the provisions of HB 191. From 2008-2010 there have been over 600 pregnant inmates brought into the Kentucky Department of Corrections from county jails resulting in over 250 deliveries. In addition the Division has provided high level specialty care to a number of county inmates suffering from catastrophic medical conditions associated with trauma, malignancy and rare genetic disorders.

Mental Health Division



*Kevin Pangburn, Director
Mental Health Division*

Overview

The Division of Mental Health provides administrative and clinical oversight for all mental health, sex offender and substance abuse services to the inmate population. Services may also be provided to offenders supervised on probation and parole. All inmates receive a comprehensive mental health appraisal upon admission to the DOC. This evaluation process assists in determining necessary clinical programs and services.

Substance Abuse Services

Mental Health Services has received Alcohol and Other Drug Entity Licensure by the Office of Inspector General. This allows those individuals who have successfully completed the SAP programs to obtain their previously suspended drivers license by presenting a certificate to the Transportation Cabinet. We currently have nine jail and six prison programs licensed.

We continue to expand the number of SAP programs in jails, prisons and the community to

meet the increasing needs of inmates, parolees and probationers with chemical dependency problems. By comparison, we had approximately 475 substance abuse beds in January 2005; today we have over 2900 beds.

Sex Offender Services

Sex Offender Services include three statutorily-required entities, the Sex Offender Treatment Program (SOTP), the Sex Offender Risk Assessment Unit, and the Sex Offender Risk Assessment Advisory Board. The SOTP provides diagnostic and treatment services to the sex offender population. The Sex Offender Risk Assessment Unit provides Comprehensive Sex Offender Pre-Sentence Evaluations (CSOPES) to Circuit Courts for final sentencing consideration. The SORAA Board promulgates administrative regulations pertaining to the credentialing of Approved Providers as well as the assessment and treatment of sex offenders.

SOTP operates nine community-based treatment programs and four prison programs. These programs serve an average of 850 sexual offenders on an on-going basis. In 2010, the SOTP opened a 100 bed treatment program at NTC. In addition, recidivism statistics from the latest year available (2008) continue to show the efficacy of the Sex Offender Treatment Program. Of the recidivist treated, none (0%) returned for a new sex crime, compared to 37% of the recidivist who had not been treated who returned for a new sex crime.

Psychological Services

Psychological Services developed a Pre-Doctoral Psychology Internship for the Department. This allows psychology students to participate in a one-year internship at our facilities.

We also initiated the Phoenix Program. This program is a pier driven, co-occurring treatment program for male offenders with both mental illness and substance abuse issues which allows them to participate in treatment at KSR. This is one of the first co-occurring programs behind bars in the country.

All programming in the Correctional Psychiatric Treatment Unit was revised to include evidence based programming. This allows offenders to receive Meritorious Good Time Credit for participation in recognized programs at CPTU and similar programming at KCIW.

The Thinking for A Change program was developed and implemented at eleven DOC facilities. This program is an evidenced-based, cognitive-behavioral treatment program to address criminogenic needs.

Mental Health Services received an award from Mental Health America for the Trauma Informed Care Services being provided at KCIW. The Division was also invited to present at the national GAINS conference in Lake Buena Vista Florida regarding the Female Offender Re-entry Project in Northern Kentucky.

Office of Research and Grants

Research



Ruth Edwards, Research

The Research Internal Policy Analyst serves as the department's representative on the Justice & Public Safety Cabinet's legislative team, assists with federal reporting requirements, coordinates corrections-related research projects, and serves as the central point of contact for the Office of Adult Institutions strategic plan.

2010 Accomplishments

Accomplishments during the 2010 General Assembly sixty-day session include the following:

Drafted six department bills for consideration by the Justice Cabinet and 70 corrections impact statements to be submitted to the Legislative Research Commission (LRC);

Entered 70 bill reviews into the Kentucky Legislative Review (KLR) System;

Attended ten Justice Cabinet legislative team meetings;

Attended twelve legislative committee meetings; and
Wrote and disseminated a sum-

mary of DOC bills and a final report.

Bills passed by the 2010 General Assembly of interest to the Department of Corrections are:

HB 164 that returned instructional authority for inmates to DOC along with the funding;

HB 428 that made it easier for released inmates to obtain identification cards or a driver's license if eligible;

HB 564, the Justice Cabinet's "housekeeping" bill, that codified several provisions for DOC which had been initially placed in the budget document; and

SB 17 that increased the penalty for custodial sexual misconduct to a felony.

A briefing of the legislative session was presented at the March Wardens' Meeting.

During the interim period between legislative sessions the Research Internal Policy Analyst participates in legislative planning meetings, attends interim joint legislative committee meetings, meets with legislators and others regarding the department's legislative concerns and addresses constituent requests submitted by legislators or the LRC Office of Constituent Services. There were 141 responses to such requests in 2010.

In addition to legislative activities, the Research function involves federal reporting and the coordination of surveys and re-

search studies. In 2010 there were 12 federal reports submitted; 18 surveys, polls, and requests for data addressed; 90 inmates letters were answered; and 14 cooperative research projects approved.

DOC is a member of the Justice Statistics and Research Association (JRSA). Research staff received funding from JRSA and a grant to attend the 2010 annual conference. This meeting provides valuable opportunities to discuss current topics in the field of corrections research and network with federal program personnel and research professionals from other states. At this year's conference, the Justice and Public Safety Cabinet's Sourcebook of Criminal Justice Statistics in the Commonwealth 2008 won the Phillip Hoke National Publication Award for Excellence.

The Research Internal Policy Analyst sponsored a Capstone project related to the DOC website and continues to work with staff to implement many of the recommendations that resulted.

Adult Institutions Strategic Plan was updated and revised in 2010.

Grants

The Grants Internal Policy Analyst is responsible for exploring grant opportunities with federal agencies, state agencies, and private foundations. Additionally, the Analyst is responsible for complete grant management from development of grant proposals, application submission to ongoing financial and programmatic reporting, including necessary

Office of Research and Grants



Brigid Adams, Grants

departmental grant coordination. The Analyst reviews potential grants in a creative and optimistic manner, and coordinates grant plans with various outside agencies, including non-profit service organizations, research institutions, and other interested parties.

2010 Accomplishments

The Department of Corrections received a number of large grant opportunities that began implementation in 2010.

The American Recovery and Reinvestment Act, through the Justice and Public Safety Cabinet, supported over \$1.955 million in video surveillance equipment for the Kentucky State Penitentiary, Kentucky State Reformatory and Luther Luckett Correctional Complex. Additionally, the American Recovery and Reinvestment Act provided over \$1.544 million to support the purchase of handheld radios for use in medium and maximum security prisons.

The Second Chance Act Demonstration Grant award of \$1.5 million provides for additional re-entry staff, training, community service contracts in Jefferson

County, creation of local reentry taskforces throughout Kentucky and the implementation of an evidence-based, validated risk and needs assessment tool.

The DOC was selected to participate in the National Institute of Corrections' Transition from Prison to the Community Initiative, which enables DOC to have a consultant provide monthly technical assistance as we move forward in implementation of the Transition from Prison to the Community model for re-entry.

The VINE Protective Order Project Grant award of \$1 million provides for the notification of victims and other stakeholders when an individual has been served with a domestic violence protection order.

The Second Chance Act Co-Occurring Integrated Treatment Grant award of \$600,000 will support implementation of a co-occurring treatment unit at the Kentucky Correctional Institution for Women, as well as support integrated treatment for offenders returning to Louisville, Lexington or Northern Kentucky.

The Second Chance Act Family-Based Substance Abuse Treatment Grant award of \$300,000 will support family-based treatment with offenders completing the substance abuse treatment programs in prison facilities and returning to the Louisville, Lexington or Northern Kentucky area. Each of these treatment grants will be facilitated by video conference equipment in the Probation and Parole Offices in the

target communities.

The DOC receives an annual award for the Residential Substance Abuse Treatment program which supports the costs associated with providing therapeutic treatment communities within the prisons and jails. The award of more than \$580,000 is significantly higher than in the past five years because of the US Congress' emphasis on drug treatment for state prisoners.

The DOC also received an award of nearly \$300,000 to support the education and vocational training of offenders under 35 years of age that meet specific eligibility criteria as defined by the Workforce and Community Transition Training for Incarcerated Individuals program through the US Department of Education.

The DOC received a Comprehensive Approaches to Sex Offender Management grant for \$192,200 which will provide a training conference focused on computer-based sex offenders and special needs sex offenders.

The DOC has received over \$175,000 to support an ongoing partnership with Chrysalis House, Inc. to provide re-entry services for female offenders that have completed the substance abuse program.

The DOC received a \$100,000 Justice Assistance Grant to support new radios for minimum security facilities, which will enable all state prisons to communicate in an emergency with the same type of radio.

Institutions

BELL COUNTY FORESTRY CAMP

560 Correctional Drive
Pineville, KY 40977

Warden: Gregory S. Howard

Secretary: Betsy Patterson

Phone: 606-337-7065

Fax #: 606-337-1312

BLACKBURN CORRECTIONAL COMPLEX

3111 Spurr Road
Lexington, KY 40511

Warden: Don Bottom

Secretary: Rose Sewell

Phone: 859-246-2366

Fax #: 859-246-2376

EASTERN KY CORRECTIONAL COMPLEX

200 Road To Justice
West Liberty, KY 41472

Warden: Gary Beckstrom

Secretary: Vacant

Phone: 606-743-2800

Fax #: 606-743-2811

FRANKFORT CAREER DEVELOPMENT CENTER

380 Coffee Tree Road, P.O. Box 538
Frankfort, KY 40602

Warden: Kimberly Whitley

Secretary: Geneva Bogue

Phone: 502-564-2120

Fax #: 502-564-4968

GREEN RIVER CORRECTIONAL COMPLEX

1200 River Road, P.O. Box 9300
Central City, KY 42330

Warden: Randy White

Secretary: Amy Douglas

Phone: 270-754-5415

Fax #: 270-754-2732

KY CORRECTIONAL INSTITUTION FOR WOMEN

3000 Ash Avenue
Pewee Valley, KY 40056

Warden: Janet Conover

Secretary: Donna Dailey

Phone: 502-241-8454

Fax #: 502-241-0372

KENTUCKY STATE PENITENTIARY

266 Water Street, P. O. Box 5128
Eddyville, KY 42038-5128

Warden: Philip Parker

Secretary: Teresa Hughes

Phone: 270-388-2211

Fax #: 270-388-5529

KENTUCKY STATE REFORMATORY

3001 West Highway 146
LaGrange, KY 40032

Warden: Cookie Crews

Secretary: Teresa St. Clair

Phone: 502-222-9441

Fax #: 502-222-0240

LITTLE SANDY CORRECTIONAL COMPLEX

RT 5, Box 1000
Sandy Hook, KY 41171

Warden: Joseph P. Meko

Secretary: Teresia Elliott

Phone: 606-738-6133

Fax: 606-738-6143

LUTHER LUCKETT CORRECTIONAL COMPLEX

Dawkins Road, Box 6
LaGrange, KY 40031

Warden: Clark Taylor

Secretary: Dorcas Gilley

Phone: 502-222-0363/222-0365

Fax #: 502-222-8112

Institutions

Fax #: 502-225-0084

NORTHPOINT TRAINING CENTER

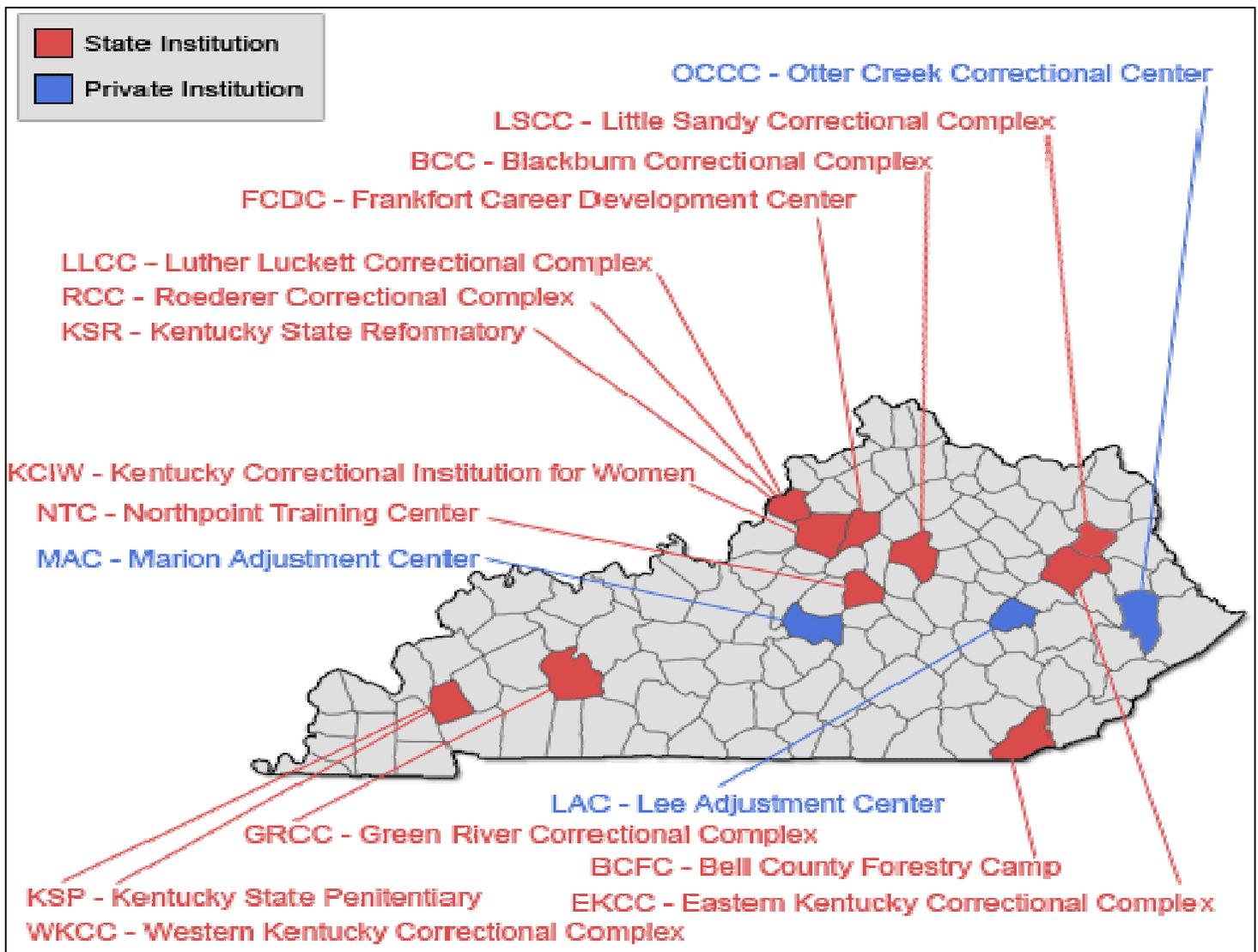
P.O. Box 479, Highway 33
 Burgin, KY 40310
Warden: Steve Haney
 Secretary: Sandy Mitchell
 Phone: 859-239-7012
 Fax #: 859-239-7560

WESTERN KY CORRECTIONAL COMPLEX

374 New Bethel Church Road
 Fredonia, KY 42411
Warden: Bryan Henson
 Secretary: Kim Ashley
 Phone: 270-388-9781
 Fax #: 270-388-0031

ROEDERER CORRECTIONAL COMPLEX

P.O. Box 69
 LaGrange, KY 40031
Warden: James Sweatt
 Secretary: Criettia Foree
 Phone: 502-222-0170/222-0173

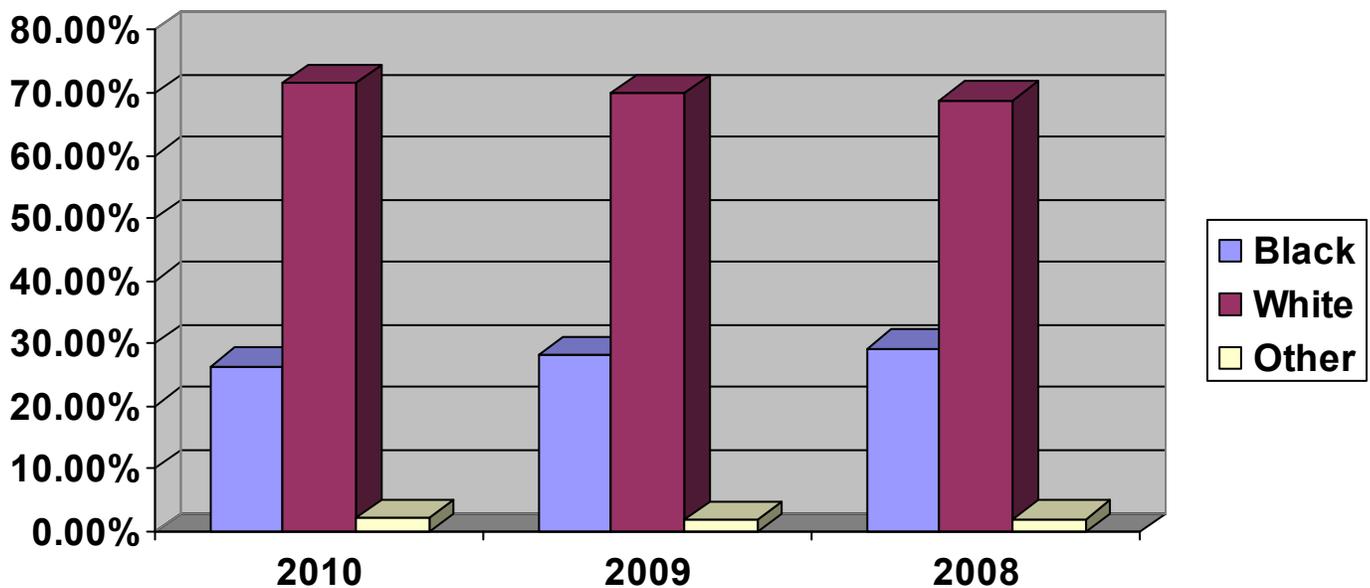


Population Data

All DOC Offenders Three Year Comparison By Demographic Data

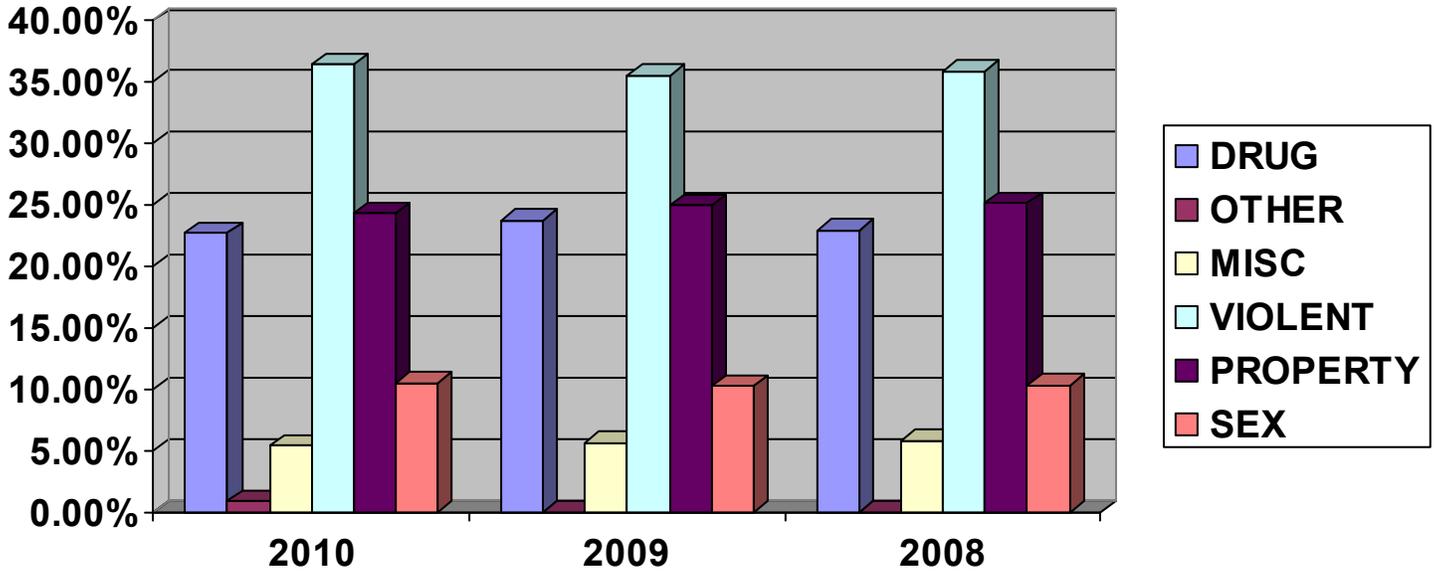
	<u>2010</u>	<u>2009</u>	<u>2008</u>
Black	26.45%	28.1%	29.2%
White	71.48%	69.9%	68.8%
Other	2.07%	1.9%	2.0%
Total	100%	100.0%	100.0%
Type of Offense			
Violent	36.41%	35.5%	35.8%
Property	34.31%	24.9%	25.1%
Drug	22.65%	23.7%	22.9%
Sex	10.45%	10.2%	10.3%
Miscellaneous	5.36%	5.6%	5.8%
Other	0.82%	0.0%	0.0%
Total	100%	100.0%	100.0%
County Of Conviction (Top 3)			
Jefferson	18.69%	18.50%	19.44%
Fayette	6.14%	6.34%	6.59%
Kenton	3.91%	3.89%	3.72%

All DOC Offenders Three Year Comparison By Race



Population Data

All DOC Offenders Three Year Comparison by Type of Offense



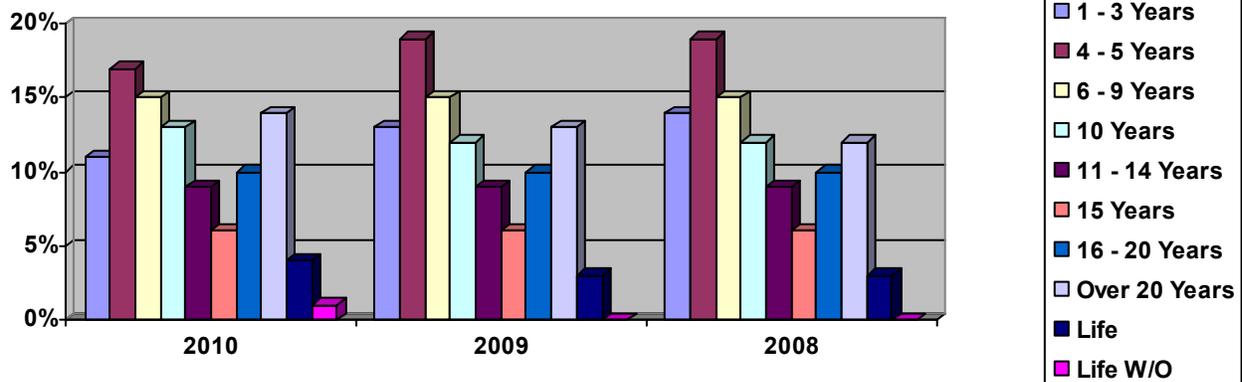
All DOC Offenders Three Year Comparison By Gender

	FEMALES			MALES			TOTAL		
	2010	2009	2008	2010	2009	2008	2010	2009	2008
BCC				591	590	555	591	590	555
BCFC				299	299	295	299	299	295
EKCC				1703	1703	1706	1703	1703	1706
FCDC				205	203	204	205	203	204
GRCC				958	967	956	958	967	956
KCIW	543	567	556				543	567	556
KSP				810	885	852	810	885	852
KSR				1984	1939	1946	1984	1939	1946
LAC				0	249	46	0	249	46
LLCC				1066	1079	1088	1066	1079	1088
LSCC				1005	1007	1010	1005	1007	1010
MAC				823	822	718	823	822	718
OCCC		422	428	626			626	422	428
NTC				646	478	1243	646	478	1243
RCC				368	365	330	368	365	330
WKCC	634				682	674	634	682	674
CLASS D	623	713	672	5004	5700	5360	5627	6413	6032
KY OUT OF STATE	5	10	14	11	8	12	16	18	26
HALFWAY HOUSE	635	713	676	1741	1217	1284	2376	1930	1960
TOTALS	2,440	2,425	2,346	17,214	18,193	18,279	20,280	20,618	20,625

Population Data

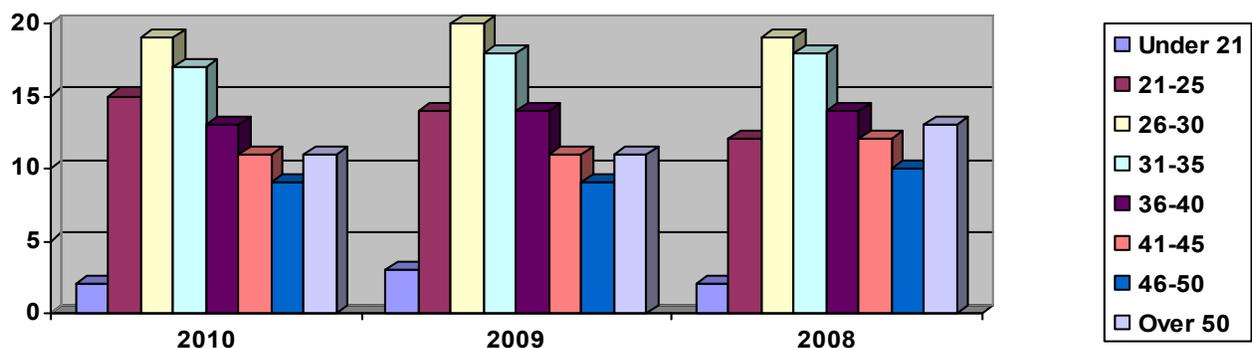
Population by Years to Serve / Three Years Comparison

Years To Serve	2010	2009	2008
1 - 3 Years	11%	13%	14%
4 - 5 Years	17%	19%	19%
6 - 9 Years	15%	15%	15%
10 Years	13%	12%	12%
11 - 14 Years	9%	9%	9%
15 Years	6%	6%	6%
16 - 20 Years	10%	10%	10%
Over 20 Years	14%	13%	12%
Life	4%	3%	3%
Life w/o Parole	1%	0%	0%
Total	100%	100%	100%



Population by Age / Three Years Comparison

Age	2010	2009	2008
Under 21	2%	3%	2%
21 - 25	15%	14%	12%
26 - 30	19%	20%	19%
31 - 35	17%	18%	18%
36 - 40	13%	14%	14%
41 - 45	11%	11%	12%
46 - 50	9%	9%	10%
Over 50	11%	11%	13%
Total	100%	100%	100%



Population Data

Admissions by County

County Name	Black		White		Other		TOTAL
	M	F	M	F	M	F	
Adair	5	0	77	26	0	0	110
Allen	1	1	46	1	0	0	53
Anderson	3	0	50	5	0	0	58
Ballard	6	0	63	19	3	0	92
Barren	25	2	137	24	0	0	192
Bath	3	0	35	5	0	0	46
Bell	21	2	248	63	1	0	336
Boone	28	3	250	40	1	0	337
Bourbon	14	0	44	8	0	0	70
Boyd	20	0	181	41	1	0	244
Boyle	20	2	61	4	0	1	89
Bracken	0	0	27	2	0	0	29
Breathitt	0	0	67	14	0	0	81
Breckinridge	9	0	98	11	0	0	118
Bullitt	13	0	206	18	1	0	240
Butler	0	0	95	10	1	0	106
Caldwell	22	1	42	7	0	0	72
Calloway	26	2	75	18	0	0	121
Campbell	87	6	321	44	3	0	463
Carlisle	2	0	28	2	0	0	32
Carroll	5	1	49	5	0	0	61
Carter	1	0	75	13	0	1	90
Casey	0	0	93	7	0	0	102
Christian	211	12	131	16	2	0	379
Clark	26	4	101	17	0	0	149
Clay	1	0	53	8	0	0	62
Clinton	0	0	71	5	0	0	78
Crittenden	0	0	41	3	0	0	44
Cumberland	1	0	23	4	0	0	28
Daviess	102	6	238	26	3	2	391

Population Data

Admissions by County

County Name	Black		White		Other		TOTAL
	M	F	M	F	M	F	
Edmonson	1	1	39	4	1	0	46
Elliott	0	0	22	7	0	0	29
Estill	0	0	64	12	0	0	76
Fayette	615	32	519	55	4	1	1263
Fleming	6	0	65	10	0	0	81
Floyd	1	1	113	19	0	0	135
Franklin	40	3	101	12	0	0	156
Fulton	64	6	41	13	0	2	130
Gallatin	2	0	25	1	0	0	28
Garrard	11	0	47	5	0	0	63
Grant	1	1	94	9	0	0	107
Graves	60	1	90	9	2	0	165
Grayson	4	0	115	24	0	0	144
Green	0	0	19	0	0	0	19
Greenup	2	0	125	27	0	0	155
Hancock	1	0	30	2	0	0	33
Hardin	158	17	361	61	2	1	618
Harlan	14	1	126	21	1	0	163
Harrison	13	0	50	9	2	0	76
Hart	14	0	49	12	1	0	76
Henderson	79	3	195	19	3	0	306
Henry	6	0	36	5	0	0	50
Hickman	21	3	20	8	0	0	52
Hopkins	53	2	167	21	2	0	247
Jackson	0	0	39	05	0	0	44
Jefferson	2155	101	1363	146	16	0	3842
Jessamine	16	1	122	13	1	0	155
Johnson	0	0	77	11	0	0	88
Kenton	222	7	500	58	9	0	803
Knott	0	0	41	4	0	0	46

Population Data

Admissions by County

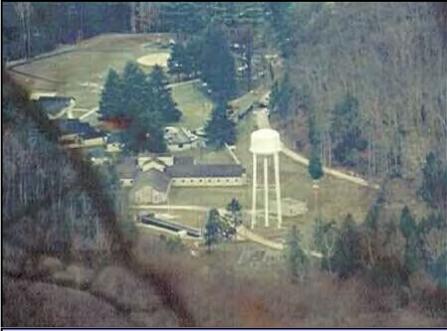
County Name	Black		White		Other		TOTAL
	M	F	M	F	M	F	
Knox	6	0	140	21	0	0	167
Larue	6	1	28	6	0	0	42
Laurel	4	0	312	44	1	0	361
Lawrence	0	0	51	19	0	0	70
Lee	0	0	38	7	0	0	45
Leslie	0	0	18	2	0	0	20
Letcher	2	0	71	11	0	0	84
Lewis	0	0	52	8	0	0	61
Lincoln	7	0	59	9	2	1	82
Livingston	1	0	39	10	0	0	50
Logan	34	1	100	6	2	0	144
Lyon	7	0	18	3	0	0	29
Madison	49	3	182	31	2	0	267
Magoffin	0	0	32	8	0	0	42
Marion	16	1	36	3	0	0	56
Marshall	1	0	111	10	0	0	123
Martin	0	0	44	6	0	0	31
Mason	27	3	99	13	4	0	148
McCracken	263	13	345	46	3	0	680
McCreary	0	0	106	23	0	0	129
McLean	1	0	43	3	0	0	48
Meade	6	0	109	13	0	1	129
Menifee	0	0	21	2	0	0	24
Mercer	8	1	49	2	0	0	61
Metcalf	0	0	28	3	0	0	31
Monroe	12	1	44	9	0	0	67
Montgomery	14	0	134	31	2	0	184
Morgan	1	0	26	3	0	0	30
Muhlenberg	15	2	188	38	0	1	245
Nelson	26	1	151	17	3	0	206
Nicholas	1	0	21	2	1	0	26

Population Data

Admissions by County

County Name	Black		White		Other		TOTAL
	M	F	M	F	M	F	
Ohio	2	0	150	29	1	0	182
Oldham	9	1	49	6	0	0	67
Owen	1	0	52	1	0	0	54
Owsley	0	0	34	6	0	0	40
Pendleton	2	0	42	3	0	0	47
Perry	4	0	133	25	0	1	164
Pike	6	1	188	32	0	0	228
Powell	0	0	80	21	1	0	102
Pulaski	8	0	254	53	4	1	323
Robertson	0	0	5	1	0	0	6
Rockcastle	0	0	67	16	0	0	83
Rowan	4	0	105	8	0	0	118
Russell	1	0	48	5	0	0	55
Scott	12	0	66	6	1	0	88
Shelby	39	2	43	4	1	0	94
Simpson	37	1	45	9	0	0	94
Spencer	1	0	27	3	0	0	31
Taylor	16	0	48	6	0	0	70
Todd	14	1	46	4	0	0	65
Trigg	14	0	21	3	0	0	38
Trimble	1	0	26	2	0	0	30
Union	16	2	44	7	0	0	69
Unknown	27	4	130	44	2	2	213
Warren	197	15	391	44	5	0	683
Washington	6	1	12	2	0	0	23
Wayne	2	0	106	20	0	0	129
Webster	11	1	40	4	0	0	56
Whitley	0	0	157	13	0	0	172
Wolfe	0	0	24	5	0	0	29
Woodford	13	0	28	2	0	0	46

Bell County Forestry Camp



Warden

Gregory S. Howard

Deputy Warden - Security /
Programs

Lenn Neal

Administrative Assistant
Betsy Patterson

Address

560 Correctional Drive
Pineville, KY 40977

2010 Average Daily Population
294

Total Number of Staff
50

Security Level
Minimum

Overview

Bell County Forestry Camp (BCFC) is a minimum-security institution established in 1962 as a satellite of the Kentucky State Reformatory in LaGrange, Kentucky.

The mission of BCFC is to promote public safety by separation from the community through incarceration and to prepare incarcerated felons to be capable of contributing to society in a posi-

tive manner upon release.

BCFC houses 300 inmates and employs a staff of 50 employees along with community volunteers in the areas of religion, substance abuse and literacy training.

The facility is 14 miles southwest of Pineville, Kentucky. The grounds cover approximately 15 acres in rural Bell County. There are 23 buildings on the compound including a dormitory (which contains three caseworker offices and two temporary segregation cells), an academic school, administration building, kitchen/dining hall, inmate library, inmate canteen, chapel, caustic/toxic maintenance, training center and a number of storage, utility and support buildings. There is a water plant which supplies the institution with drinking water as well as a sewage treatment plant.

Bell County Forestry Camp received a perfect score during its ACA re-accreditation audit in October 2010. BCFC received their first ACA Accreditation Award in January 1990. This year's 100% marks the highest score the institution has ever received.

Highlights

February of 2010 marked the fifth anniversary of the BCFC Canine Program. The Canine Unit consists of staff trained with bloodhounds in the apprehension of escapes.

In 2005 BCFC obtained Bloodhound Dogs and became the Pilot Program for the state's first Ca-



Warden Gregory S. Howard

nine Search unit in the Kentucky DOC. The Bloodhounds were obtained from the Louisiana Department of Corrections. Since that time other institutions within the Kentucky DOC have obtained Search dogs from BCFC.

The unit also assists local law authorities in searches of missing person's when requested. BCFC has been actively involved with search and rescue in collaboration with other Institutions and Agencies. These institutions have not only trained at BCFC but have assisted other outside agencies with Canine Search units as well.



BCFC Canine Unit

There have been a number of security enhancements of 2010 including a new canine building which will make for an overall better working environment and improve the operation of this post

Bell County Forestry Camp

by giving officers a place close to the canines to complete paperwork. It will also be very beneficial in the winter months for the canines to be bathed and dried off in a heated building then returned to their kennel.

BCFC installed security cameras in the two temporary segregation cells as well as the day room in the temporary segregation unit. This will give security better observation and awareness in all these areas.

Bell County Forestry Camp implemented a "Spill Response Team" consisting of staff and inmates to respond to Hazardous Chemical Spills within the compound.

A new above ground storage tank was installed late Dec. 2010 to replace the recently removed tank

In 2010, Bell County Forestry Camp's Water Treatment Plant began using a diluted substance to chlorinate treated water instead of bottled Chlorine Gas. This was due to the unstable nature of bottled Chlorine Gas. This measure increased safety to staff, inmates and community.

Programmatic and Operational Areas

Bell County Forestry Camp is a well programmed facility that focuses on providing quality programming to offenders to prepare them for successful re-entry into society. Educational opportunities are plentiful at Bell County Forestry Camp. Evening GED courses are available and have

been effective due to allowing inmates to work day jobs and attend GED school in the evening. Microsoft computer classes are also available in the evening hours. Also, evening college courses are offered through Southeast Community College.

Inmates are encouraged to participate in self help courses such as Life Without A Crutch, AA/NA Classes and Moral Recognition Therapy, which deals with cognitive behavior and decision making processes.



BCFC Inmates participate in the Step-Up Program to educate students

BCFC is actively involved with a Step-Up Program which consists of inmates that educate middle to high school students on the impact that crime can have on their lives.

Governmental services program work assignments include the cities of Pineville and Middlesboro; Bell County Fiscal Court; Knox County Fiscal Court; Laurel County Department of Transportation Weigh Station; Pineville Independent School System; Division of Forestry for both fire suppression and support services; and 4-Road Crew Details for the Department of Transportation.

BCFC also assists local communities through the community service program.

In addition to regular work and program assignments, the Division of Forestry trains in forest fire suppression techniques for each staff and inmate. BCFC provides fire crews of 1 officer and 8 inmates per crew, for a 10 county area in southeastern Kentucky typically during the peak fire seasons of fall and spring.

Bell County Forestry Camp offers a wide variety of recreational activities for the inmates to participate in such as: softball, flag football, basketball both on grounds and also twice a week at the Clear Creek Baptist College Gymnasium, handball, chuckhole games, weight lifting, pool tables, ping pong, volley ball and fishing (during designated times).

BCFC inmates also helped in the restoration of "Lee Majors Baseball Field" for the City of Middlesboro.

BCFC inmates, in conjunction with Hensley Settlement, built a pavilion in the Frakes Community Park.

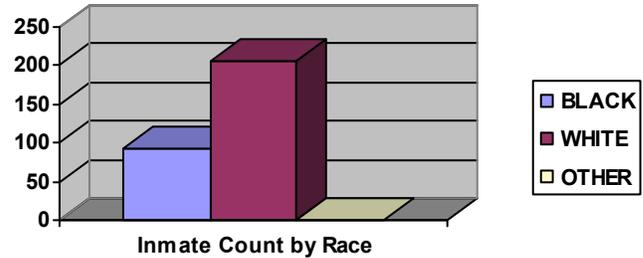


Frakes Pavillion constructed by BCFC Inmates and the Hensley Settlement

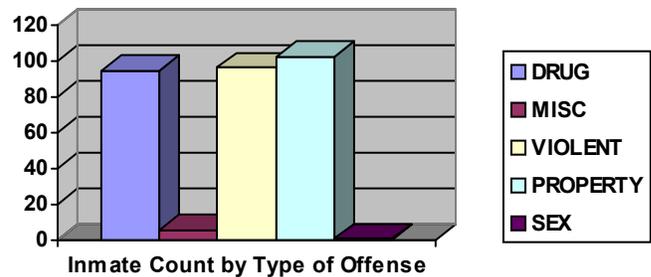
Bell County Forestry Camp

Demographic Data

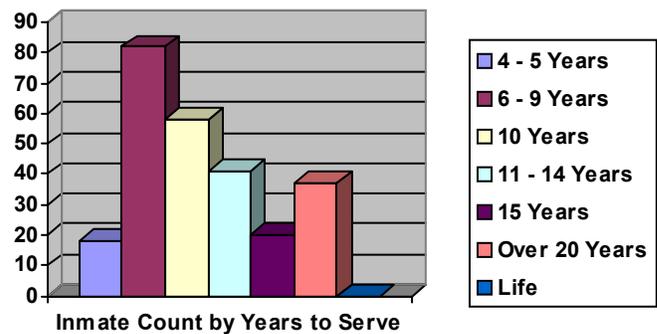
Race	Count	Percentage
BLACK	93	31.10%
WHITE	205	68.57%
OTHER	1	.33%
TOTAL	299	100.0%



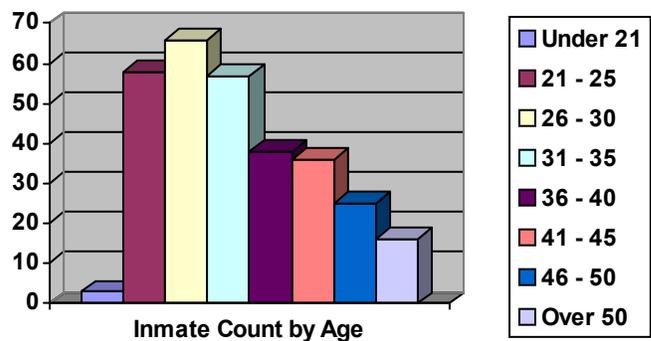
Type of Offense	Count	Percentage
DRUG	94	31.44%
MISC	6	2.01%
VIOLENT	96	32.11%
PROPERTY	102	34.11%
SEX	1	.33%
TOTAL	299	100.0%



Years to Serve	Count	Percentage
4 - 5 Years	18	6.87%
6 - 9 Years	82	31.30%
10 Years	58	22.14%
11 - 14 Years	41	15.65%
15 Years	20	7.63%
Over 20 Years	37	16.41%
Life	0	0
TOTAL	262	100.0%



Age Range	Count	Percentage
Under 21	3	1.00%
21 - 25	58	19.41%
26 - 30	66	22.07%
31 - 35	57	19.06%
36 - 40	38	12.71%
41 - 45	36	12.04%
46 - 50	25	8.36%
Over 50	16	5.35%
TOTAL	299	100.0%



Blackburn Correctional Complex



Warden

Don Bottom

Deputy Warden - Security
Dale Martin

Deputy Warden – Programs
Brandy Harm

Administrative Assistant
Rose Sewell

Address
3111 Spurr Road
Lexington, KY 40511

2010 Average Daily Population
572

Total Number of Staff
143

Security Level
Minimum

Overview

Blackburn Correctional Complex (BCC) is the largest minimum-security institution operated by the Kentucky Department of Corrections.

Blackburn Correctional Complex was made a part of the Kentucky Department of Corrections in

1972 when it was transferred from the old Department of Child Welfare. Prior to becoming part of the Department of Corrections and given the name Blackburn Correctional Complex, the institution was Kentucky Village.

Blackburn Correctional Complex presently operates as a 594 bed adult male institution. All individuals incarcerated here have been convicted of felony charges. Blackburn Correctional Complex has two living units located in five buildings.

The physical plant consists of thirty-two buildings that house Academic and Technical programs and industrial and support services on 456 acres of land. The institution also has a farming operation located on the grounds that is operated by the Agriculture and Dietary Branch of the Department of Corrections and a Thoroughbred Retirement Foundation program (TRF).



Warden Don Bottom

Highlights

Blackburn Correctional Complex inmates and staff played an important role in the 2010 World Equestrian Games (WEG). Approximately 39 inmates participated in the WEG by assisting with construction leading up to the games as well as clean-up during and after the games.



2010 World Equestrian Games held at the Kentucky Horse Park

Blackburn Correctional Complex



Thoroughbred Retirement Foundation Barn at Blackburn Correctional Complex

In January 2010, Prison to the Streets was revamped to include community partnerships which continued to expand throughout the remainder of the year. Inmates are provided information on obtaining Birth Certificate, Drivers License, and Social Security Card as well as applying for SSI/SSDI. Inmates are also given information regarding resume/

cover letter, jobs, and short re-entry success stories. The topic of victim services and awareness was also added.

Blackburn Correctional Complex participated in the Computerized Psychosocial Treatment for Offenders with Substance Use Disorders.

The 1,000 square foot expansion project of the Medical Building was completed in 2010.

Programmatic and Operational Areas

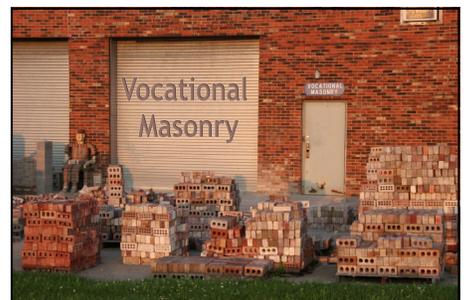
Technical programs include Masonry, Carpentry, and Horticulture. Prison Industries operations include office panel and computer work zone systems, stack-

able chairs, and folding tables.

Blackburn Correctional Complex, through a partnership with Eastern Kentucky University, Training Resource Center, proudly offers an opportunity to gain industry recognized certifications in IC³ Digital Literacy and Microsoft Office 2007.



Horticulture Building and Greenhouse

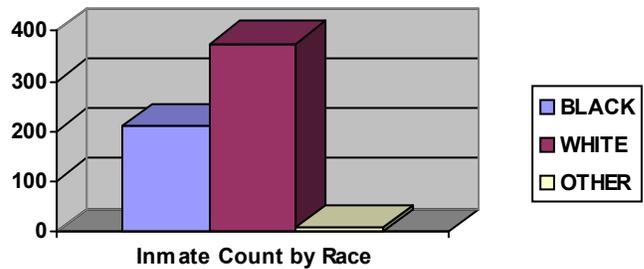


BCC's Vocational Masonry Program

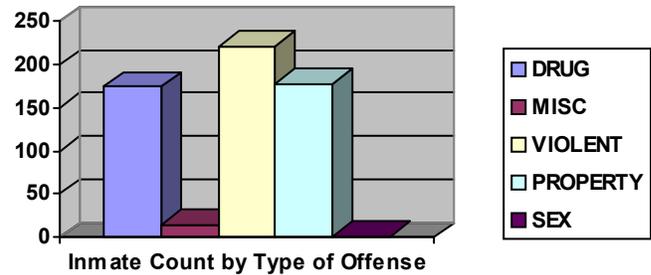
Blackburn Correctional Complex

Demographic Data

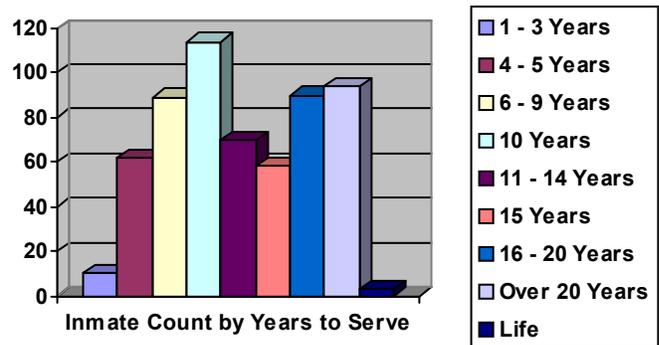
Race	Count	Percentage
BLACK	214	36.21%
WHITE	369	62.44%
OTHER	3	0.51%
TOTAL	591	100%



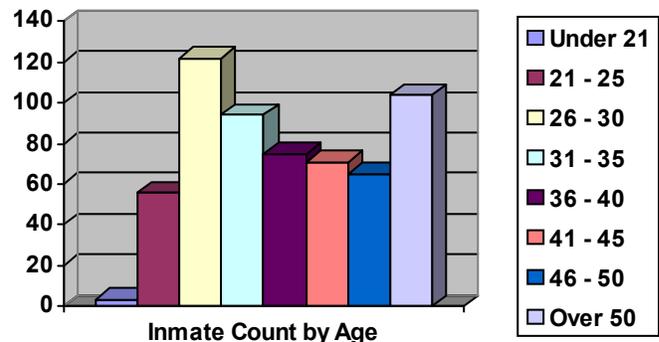
Type of Offense	Count	Percentage
DRUG	203	34.35%
MISC	13	2.20%
VIOLENT	208	35.19%
PROPERTY	167	28.26%
SEX	0	0
TOTAL	591	100%



Years to Serve	Count	Percentage
1 - 3 Years	6	1.02%
4 - 5 Years	47	7.95%
6 - 9 Years	115	19.46%
10 Years	124	20.98%
11 - 14 Years	72	12.18%
15 Years	60	10.15%
16 - 20 Years	81	13.71%
Over 20 Years	83	14.04%
Life	3	0.51%
TOTAL	591	100%



Age Range	Count	Percentage
Under 21	10	1.69%
21 - 25	64	10.83%
26 - 30	94	15.91%
31 - 35	100	16.92%
36 - 40	78	13.20%
41 - 45	76	12.86%
46 - 50	65	11.00%
Over 50	104	17.60%
TOTAL	591	100%



Eastern Kentucky Correctional Complex



Warden

Gary Beckstrom

Deputy Warden - Security
Kathy Litteral

Deputy Warden - Programs
John Holloway

Deputy Warden - Operations
Jerry Lindon

Administrative Assistant
Mariah "Francis" Lacy

Address
200 Road to Justice
West Liberty, KY 41472

2010 Average Daily Population
1700

Total Number of Staff
410

Security Level
Medium

Overview

The Eastern Kentucky Correctional Complex (EKCC) was constructed in two phases and houses minimum, medium, close and maximum custody inmates. The contract for construction was awarded in August of 1985 and the facility officially opened in 1990. The first inmates were received February 14, 1990. Phase

II construction was completed in December of 1991. EKCC was designed to house 1122 inmates but double bunking increased the institutional capacity to 1722. The average daily inmate count for 2010 was 1700.

Highlights

February 14, 2010 marked the 20th anniversary of EKCC receiving its first inmates. EKCC hosted a celebration luncheon that was attended by Commissioner LaDonna Thompson, one of the first Correctional Officers hired at EKCC, Mike O'Dea, the first Warden, and many other EKCC retirees.

In March 2010, EKCC's new security "chit" system was implemented. It is placed just inside the security envelope within full view of the Central Control Supervisor. This system utilizes a designated number for staff to insure that once they exit through the security envelope, we have a record that they are currently on the yard, in case an incident oc-



Warden Gary Beckstrom

curs. The numbers are reversible with black signifying that the staff member is not on the yard and red signifying that they are on the yard. This system has worked very well and shows at a glance all staff members on the yard at any given time. The bottom line of the "chit" board identifies all visitors, listed by their visitor tag number.

In June 2010 EKCC underwent its 7th ACA audit achieving a 99.5% score. This is the highest attainable score as EKCC has two standards that cannot be met due to its physical plant structure and double bunking. This achievement was possible due to the dedication and diligent work from all the staff.

On July 30, 2010 EKCC hosted a retirement luncheon for 18 retiring staff members, including the Warden of eight years. EKCC is slowly recuperating from the loss of these many years of experience.

On October 1, 2010, EKCC's new "John R. Motley Command Center" was completed and became operational. It includes three large monitors which dis-



New Security "chit" system implemented in 2010

Eastern Kentucky Correctional Complex



New Command Center

play different camera views, along with three small monitors on desks. It also includes maps of area counties, all policies and procedures, dry erase board, fax machine, a dedicated phone line and a conference table. Additional cameras were also installed in various locations where more security was needed to monitor inmate activity.

Programmatic and Operational Areas

EKCC provides offenders many resources designed to reduce the rate of recidivism. Resources include a structured work environment, academic and vocational education, religious programs and self-help programs.

The self-help programs offered to the inmate population include eight programs moderated by Programs staff, 18 moderated by chapel/chapel volunteer staff and one moderated by psychology staff. The chapel continues to receive donations of books and tapes from various ministries throughout the country and continues to receive donations of popular publications such as Guideposts, Decision Magazine, and In Touch which are made available to the inmates.

EKCC also offers a variety of educational opportunities for inmates. There are four vocational programs offered at Eastern Kentucky Correctional Complex, including Carpentry, Masonry, Heating and Air Conditioning, and Small Engine Repair.

Our Adult Basic Education programming offers a high school equivalency diploma (GED), literacy level skills, and life skills to students who have not earned a traditional high school diploma. Vocational and Adult Education classes are offered at the main facility from 8:15 a.m. to 2:30 p.m., Monday through Friday, with Adult Education being offered two evenings a week for inmates who work day jobs. The Minimum Security Unit offers Adult Education classes in two and one-half hour sessions two evenings per week. Additional programming

within the school consists of evening college courses offered on the traditional semester basis and a National Career Readiness Certificate (NCRC) open to all inmates that qualify for enrollment.

A structured work environment allows inmates to experience the competitive atmosphere very similar to working for a business in the private sector. This environment is included in the various jobs offered to the inmate population through the Kentucky Correctional Industries division. EKCC houses three Kentucky Correctional Industry divisions: furniture refinishing and customized wood building, metal plant and coupon sorting. Inmates who work the Correctional Industries plants are paid hourly wages with the potential for pay increases. Correctional Industries at EKCC employs approximately 135 inmates.

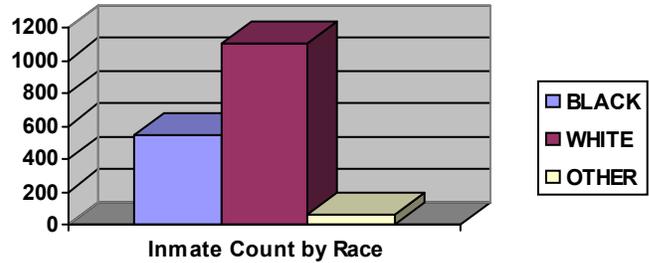


CTO Michelle Vance moderating Life Without a Crutch Program
2010 Annual Report

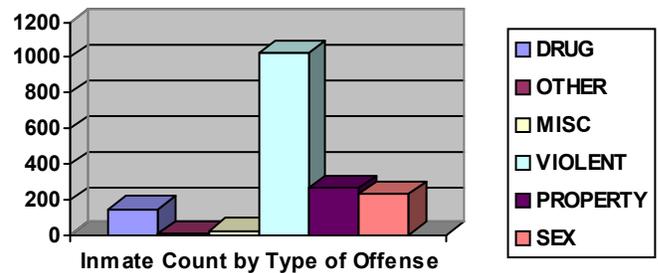
Eastern Kentucky Correctional Complex

Demographic Data

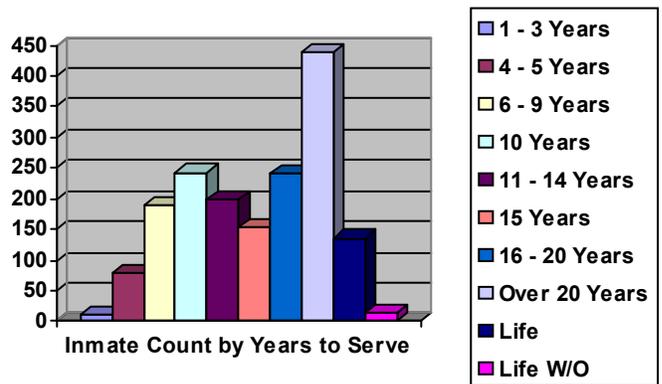
Race	Count	Percentage
BLACK	543	31.88%
WHITE	1101	64.65%
OTHER	59	13.47%
TOTAL	1703	100%



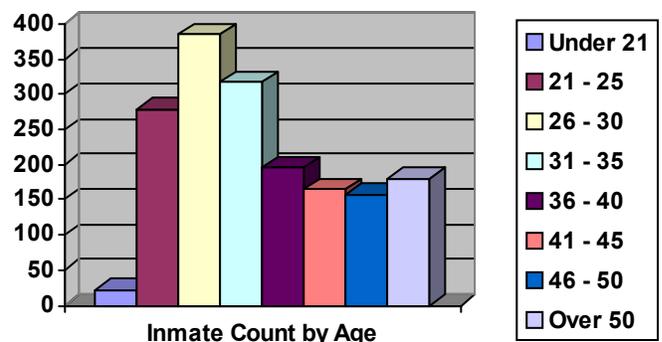
Type of Offense	Count	Percentage
DRUG	148	8.69%
OTHER	6	0.35%
MISC	27	1.59%
VIOLENT	1024	60.13%
PROPERTY	266	15.62%
SEX	232	13.62%
TOTAL	1703	100%



Years to Serve	Count	Percentage
1 - 3 Years	10	0.5
4 - 5 Years	80	4.70%
6 - 9 Years	188	11.04%
10 Years	243	14.27%
11 - 14 Years	198	11.63%
15 Years	154	9.04%
16 - 20 Years	241	14.15%
Over 20 Years	440	25.84%
Life	136	7.99%
Life W/O	13	0.76
TOTAL	1703	100%



Age Range	Count	Percentage
Under 21	22	1.29%
21 - 25	279	16.38%
26 - 30	385	22.61%
31 - 35	318	18.67%
36 - 40	196	11.51%
41 - 45	165	9.69%
46 - 50	158	9.28%
Over 50	180	10.57%
TOTAL	1703	100%



Frankfort Career Development Center



Warden

Kimberly Whitley

Deputy Warden

Gary Prestigiacomio

Administrative Assistant

Geneva Bogue

Address

380 Coffee Tree Road
P. O. Box 538
Frankfort, KY 40602

2010 Average Daily Population
203

Total Number of Staff
48

Security Level
Minimum

Overview

The Frankfort Career Development Center (FCDC) is minimum security facility located on 80 acres in Frankfort, Kentucky. The facility was opened in 1976 and currently has a capacity of 205. The majority of the inmate population is assigned to the Governmental Services work program to provide a supplemental workforce for governmental agencies.

In addition to the mission of pro-

moting the safety of the citizens of the Commonwealth, the purpose of the FCDC shall be to provide a safe, secure, humane environment for the inmate population as well as to provide an atmosphere and opportunities for a successful reintegration back into society. The main program focus shall be to assist inmates in the progression to a Community Service Center and on to the community as responsible citizens after positive behavior, program participation, and work performance have been demonstrated.

Highlights



Microsoft Certification program

In September FCDC, partnering with Eastern Kentucky University, implemented the C-Tech Certified Network Cabling Program. The participants not only receive certification in fiber optic and copper cabling after successful completion of an examination, but also receive 90 days of Educational Good Time.

In October a 12 week Anger Management program was implemented. The program covers the areas of anger control, aggression, family, and cognitive restructuring. Each person keeps a weekly journal that focuses on their attitude towards other peo-



Warden Kimberly Whitley

ple as well as their job. They must learn to develop a positive outcome from any negative situation. During each class session they discuss their situation and help one another solve any current problems that exist.

Due to the limited amount of space for programming a small educational building was erected. The building was built with inmate labor.



New Educational Building

Programmatic and Operational Areas

Frankfort Career Development Center has several program areas

2010 Annual Report

Frankfort Career Development Center

it is proud to acknowledge as excellent tools toward re-entry and reducing the recidivism rate of the inmate population.

The Governmental Services Program, which employs approximately 130 inmates, provides a supplemental labor force to various state government agencies. The Program provides real work opportunities for each inmate in a variety of job areas to include; janitorial, clerical, mechanical landscaping, maintenance, food service and other general labor with a work day approximating that of the community.

The three phase IN2Work program offered by ARAMARK provides participants work skills in every aspect of the food service industry to include kitchen basics, retail and sales basics and ServSafe certification. This program has graduated approximately twenty (20) inmates who received the nationally recognized five year certification as ServSafe Food Protection Managers.

The Kentucky Employability Certification program which is operated through both the day and evening divisions of the Academic school is another program that provides the participants with



Evening Academic Program

2010 Annual Report



Aerial View of Frankfort Career Development Center

marketable certification. This certification provides skill based credentials to participants that help them secure employment. It also provides employers with certified applicants that have documented skills proficiencies that they can hire with confidence.

The University of Kentucky Cooperative Extension Service (Frankfort Office) continues to provide two life skills programs that focus on self-development and positive reintegration into society. The Money Smart program is a 7-week financial program that provides awareness in money management. The Nurturing Father's Program is a 13-week group-based parenting program for developing attitudes and skills for male nurturance.

Health education is also important at FCDC. The medical department sponsors an annual Men's Health fair which provides the inmate population personal health and disease awareness. The recreational department has assisted in this endeavor by providing new weightlifting and cardiovascular equipment. They have also developed a program to

increase inmate involvement.

Additionally, the outreach program, Corrections Having a More Positive Impact on Society (CHAMPIONS) continues to help at risk youth understand how today's decisions can and will affect their lives tomorrow.

The institution also has an extraordinary religious outreach program through the Faith Singer Choir. The Choir has produced four compact discs which they sell when they go out to area churches to share their testimony through song. As a result of this program a number of churches have joined together and established a Faith Based Aftercare program to assist those housed at FCDC that desire to remain in the Franklin County area.

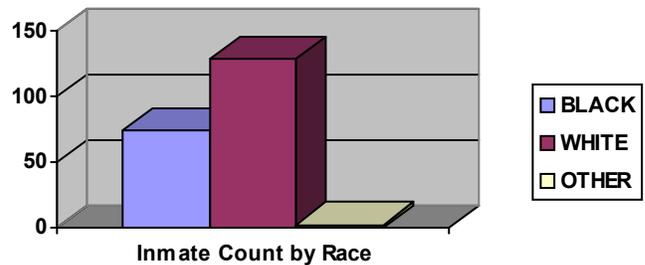


FCDC Faith Singer Choir

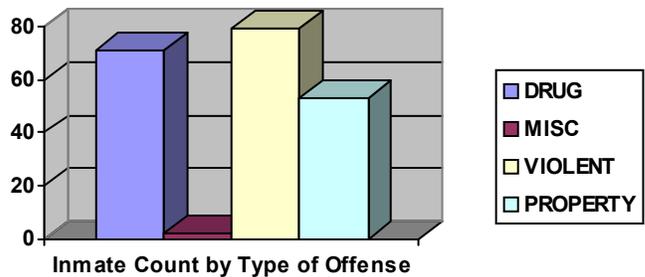
Frankfort Career Development Center

Demographic Data

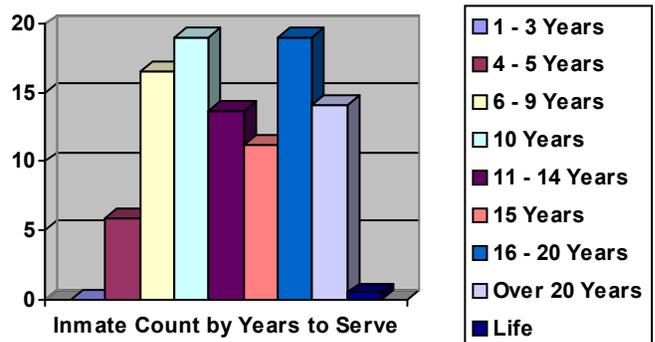
Race	Count	Percentage
BLACK	74	36.10%
WHITE	129	62.93%
OTHER	2	0.97%
TOTAL	205	100%



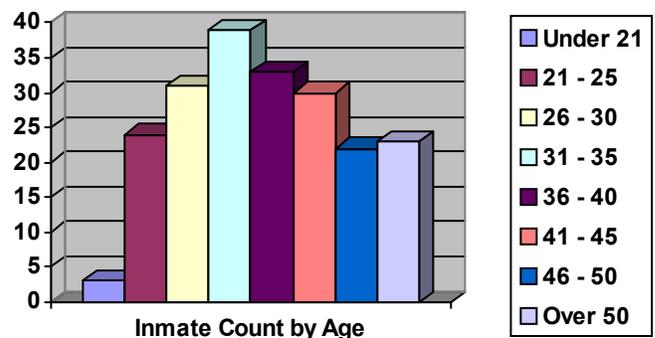
Type of Offense	Count	Percentage
DRUG	71	34.63%
MISC	2	0.98%
VIOLENT	79	38.54%
PROPERTY	53	25.85%
TOTAL	205	100%



Years to Serve	Count	Percentage
1 - 3 Years	0	0%
4 - 5 Years	12	5.85%
6 - 9 Years	34	16.59%
10 Years	39	19.02%
11 - 14 Years	28	13.66%
15 Years	23	11.22%
16 - 20 Years	39	19.02%
Over 20 Years	29	14.15%
Life	1	0.49%
TOTAL	205	100%



Age Range	Count	Percentage
Under 21	3	1.46%
21 - 25	24	11.72%
26 - 30	31	15.12%
31 - 35	39	19.02%
36 - 40	33	16.10%
41 - 45	30	14.63%
46 - 50	22	10.73%
Over 50	23	11.22%
TOTAL	205	100%



Green River Correctional Complex



Warden

Randy White

Deputy Warden - Security
Ron Beck

Deputy Warden – Programs
Rickie Williams

Administrative Assistant
Amy M. Douglas

Address

1200 River Road
P.O. Box 9300
Central City, KY 42330

2010 Average Daily Population
965

Total Number of Staff
283

Security Level
Medium

Overview

Construction of the Green River Correctional Complex (GRCC) began in August 1992. GRCC opened in December 1994 with its first group of inmates arriving December 12th of that year. The facility is an adult, male, medium security institution for convicted felons. Since 1994 GRCC has

been re-accredited by the American Correctional Association for a fifth time most recently in 2009. GRCC has since expanded, building a medium security living unit, and building a minimum security dormitory. The current inmate population capacity is 982.

The institutional design is “direct supervision”. The dormitories have an open control center (no bars, glass or other barriers). Unit Management is the means utilized to manage and organize the institution. In this team oriented concept, a management team of administrators, supervisors, Correctional Officers and Classification/Treatment Officers supervise each living unit and are responsible for the security and management of the Inmate Living Unit and its inmate population.

GRCC perimeter security is dependent upon an electronic detection perimeter alert system, a microwave detection system, and constant 24-hour armed perimeter vehicle patrols.

Highlights

As part of a DOC federal grant, GRCC received funding to purchase 165 new handheld radios capable of wide/narrow band analog and digital operations. The influx of new portable radios, equipped with remote radio stun options and emergency alarm button features, drastically enhances staff safety.

In the summer of 2010 electronic gates were installed on the inmate living unit yard gate and in No-



Warden Randy White

vember a yard day fence was installed dividing the GRCC inmate living areas from the rest of the yard. This fence will also be equipped with two electronically controlled gates, which eliminates the need for staff to carry yard keys. It also affords instantaneous yard lock down in the event of an emergency.

During July of 2010 GRCC was called upon to upgrade Dorm 8 from a 62 bed general population inmate dormitory to an emergency segregation/management unit if needed in response to a departmental emergency.

This renovation consisted of building a secure automated Control Center inside the dorm day-room, securing the inmate walks with fabric fencing, building and constructing over 20 electronic gates, installing secure stalls in the shower area and constructing a secure staff entry into the Control Center independent from the dorm entrance. This unit will be a double bunked segregation/management unit with the ability to be converted and become fully

Green River Correctional Complex

functional within a few short hours.

During 2010 GRCC operated and maintained a K-9 Blood Hound tracking unit consisting of two highly trained Blood Hounds and four dedicated, motivated staff members.

Programmatic and Operational Areas

During 2010 GRCC offered numerous life skills and formal vocation/educational classes for inmate self improvement, including both GED and adult literacy classes. The vocational programs consist of a Masonry and Carpentry curriculum. College level classes are also offered. During 2010 45 GED's were awarded as well as 21 vocational diplomas, 75 National Career Readiness Certificates, 9 Microsoft Office and 7 CTech certificates.

GRCC currently operates a 128 bed Substance Abuse Program (SAP). SAP is a six to eight month intensive treatment program addressing inmate drug and alcohol addiction. In addition to SAP, the facility offers various life skill and self help groups such as Narcotics Anonymous (NA), Alcoholics Anonymous, (AA), Cage Your Rage, Lifer's Club (for long term offenders coping with long term incarceration), Life Without a Crutch, Life Skills, Practical Parenting, Violent Offenders, Personal Finance and Repeat Offenders.

In April of 2010 GRCC entered into a partnership with the Western Kentucky University to offer

the Students in Free Enterprise (SIFE) Program for minimum security inmates. This program involved eight to ten WKU Students, who as part of their course of study, volunteered and were trained by GRCC to counsel inmates on various skills in preparation for release from incarceration. This counseling covered issues ranging from job interview skill and job application completion to computer skills.

During May of 2010 Thinking for Change classes were implemented as a 180 day program offered to the inmate population. This class is an evidence based program, sponsored by the National Institute of Corrections, which addresses problem solving abilities with cognitive restructuring and social skill intervention. Additionally in June 2010 Pathfinders was reinitiated and offered to the inmate population. This 180 day program focuses on cognitive skills building and changing anti-social behavior to pro-social behavior, while enhancing social, job and interpersonal skills.

GRCC operates the *Prison to the Streets* pre-release program with the goal of preparing and assisting incarcerated offenders in

achieving successful re-integration into society.

Currently the following staff sponsored inmate clubs are active at GRCC: Jaycee's, Veterans Club, Lifers' Club, NAACP and the Death Row Dogs Club. Inmate clubs promote positive conduct among the inmate population as well as develop constructive fellowship between the inmates and staff.

Multiple work programs are available to the inmate population at GRCC including the Aramark sponsored Inmate to Workmate food service training program, Correctional Industries, as well as a Kentucky Department of Transportation and an Ohio County Sheriff's Department Minimum Security Work Detail. Various additional inmate jobs are available to provide services to the facility in the recreation, maintenance, food service, outside detail, clerical, janitorial and other various areas.

The Death Row Dogs program allow inmates to rescue dogs that would have otherwise been euthanized. They train them in obedience and social skills, after which the dogs are offered for adoption.

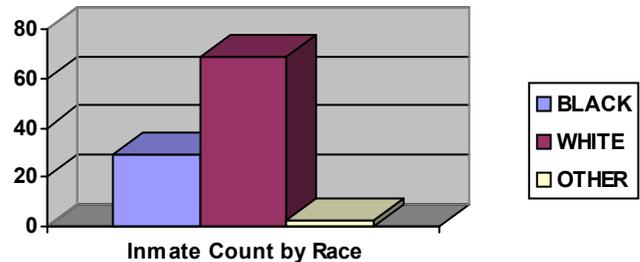


Inmates participating in the Death Row Dogs Program at GRCC

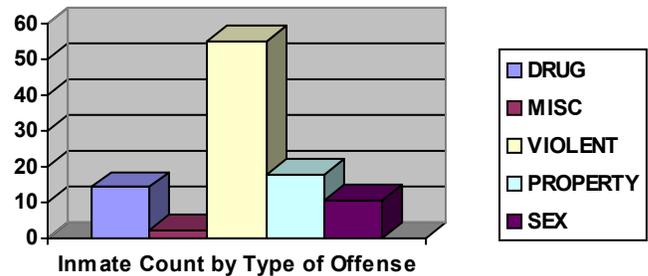
Green River Correctional Complex

Demographic Data

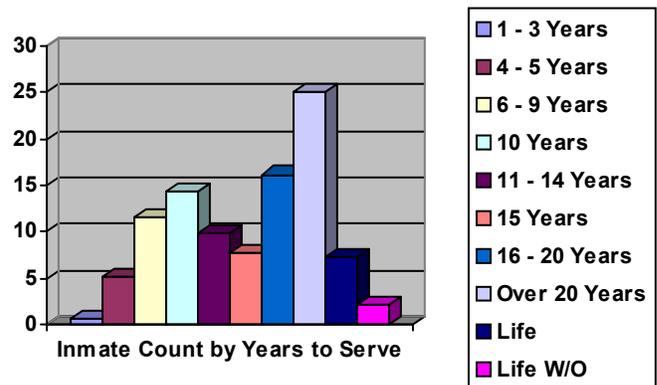
Race	Count	Percentage
BLACK	280	29.23%
WHITE	658	68.68%
OTHER	20	2.09%
TOTAL	958	100%



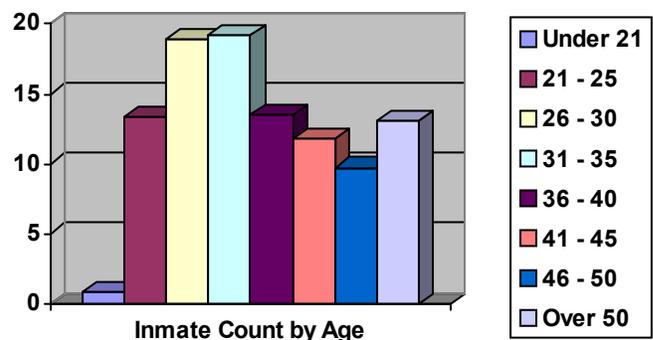
Type of Offense	Count	Percentage
DRUG	136	14.20%
MISC	21	2.19%
VIOLENT	526	54.91%
PROPERTY	172	17.95%
SEX	103	10.75%
TOTAL	958	100%



Years to Serve	Count	Percentage
1 - 3 Years	6	.63%
4 - 5 Years	49	5.11%
6 - 9 Years	110	11.48%
10 Years	138	14.41%
11 - 14 Years	95	9.92%
15 Years	73	7.62%
16 - 20 Years	155	16.18%
Over 20 Years	241	25.16%
Life	70	7.30%
Life W/O	21	2.19%
TOTAL	958	100%



Age Range	Count	Percentage
Under 21	8	0.83%
21 - 25	128	13.36%
26 - 30	181	18.89%
31 - 35	184	19.21%
36 - 40	126	13.50%
41 - 45	113	11.80%
46 - 50	93	9.71%
Over 50	125	13.08%
TOTAL	958	100%



Kentucky Correctional Institution for Women



Warden

Janet Conover

Deputy Warden - Security
John "Wes" Dawson

Deputy Warden – Programs
Paige McGuire

Administrative Assistant
Donna Dailey

Address
3000 Ash Avenue
PO Box 337
Pewee Valley, KY 40056

2010 Average Daily Population
662

Total Number of Staff
239

Security Level
Medium

Overview

The Kentucky Correctional Institution for Women (KCIW) is an adult prison housing female felons from all 120 counties in Kentucky. KCIW opened in 1938 and is one of two female institutions in the Commonwealth.

KCIW is located on 270 acres in Shelby County near the town of Pewee Valley. The facility has

been ACA accredited since 1982. The institution is a campus style setting with multiple housing units, double and single bunked.

KCIW is considered a multi-custody facility as differential housing and programming must meet the needs of female felons serving one year to life, death row, maximum, medium, minimum, community custody, first offenders, persistent offenders, the disabled and special needs inmates.

Highlights

In January 2010 Deputy Warden Janet Conover was appointed as Warden. Under her leadership, the institution has flourished and continues to provide quality programs, educational opportunities and services to the inmate population. Warden Conover was instrumental in overseeing and coordinating the transfer of inmates to the Western Kentucky Correctional Complex which converted from an all- male to an all-female facility.

Security enhancements in 2010 included upgrading the majority of the video surveillance equipment from analogue tape recording equipment to digital,



Ridgeview Living Unit



Warden Janet Conover

which records to hard drives. This allows for better quality and more accessible recordings.

The fence alarm sensor was re-wired in all of Zone 1 and half of Zone 2 to upgrade sensitivity and effectiveness. This greatly reduced the chance of escape. In March of 2010, sections of razor wire were added to Gates 1 and 2 in the Sallyport area to also aid in preventing escapes.

The Department received a federal grant, which allowed KCIW to purchase 150 new Kenwood radios. These new radios include a "man-down" safety feature that enables location of an officer in trouble. As a result of adding these new radios, all staff members now have a radio available for use while they are on duty.

KCIW CERT received a federal grant in 2010, allowing for the purchase of a Taser X-3, which was added to the institution's non-lethal weapons inventory. KCIW CERT received another grant which allowed them to purchase 24 hydration backpacks from Camel Back Hydration Systems.

Kentucky Correctional Institution for Women

Programmatic and Operational Areas

KCIW continues to partner with the non-profit organization Paws With Purpose (PWP) to bring trained service dogs to children and adults with physical disabilities. Inmates begin the training program with puppies approximately 2 months old. The program continues until the dog is about a year and half. This program increases the inmate's self-esteem and gives an opportunity for the inmates in the program to give back to the community. The program was first launched in 2003 and has been extremely successful.



Paws with Purpose Program

KCIW's educational and vocational programs provide inmates with education and job skills. When inmates learn job skills, or earn a GED or secondary degree, they are better equipped to be financially self-sufficient when



Education Center at KCIW

2010 Annual Report



KCIW's Correctional Industries Building

they return to the community, thus reducing recidivism. KCIW offers college and vocational classes through the Kentucky Community & Technical College System (KCTCS) under the oversight of the Kentucky Department of Corrections.

The Education Center offers inmate instruction in Basic Literacy, Adult Basic Education, and college courses. Some of the college courses offered include Biology, English, Psychology, Sociology, Women's History, Developmental Math, and English. Vocational training includes two certified programs in either Horticulture or Construction Technology.

There are also treatment programs available to inmates, such

as the Substance Abuse Program. This program provides treatment in a Therapeutic Community, with close supervision in a structured environment. It allows inmates to learn accountability for their actions as well as learning relapse prevention tools they will need when they return to the community. The program takes approximately six to nine months to complete.

Kentucky Correctional Industries (KCI) has been at KCIW for 25 years. They provide inmates with job training that produces marketable skills and quality products. Correctional Industries currently operates five separate industries consisting of Printing, Bulk Mail Services, Embroidery, Screen Printing and Braille Transcribing.



KCI's Bulk Mailing Job

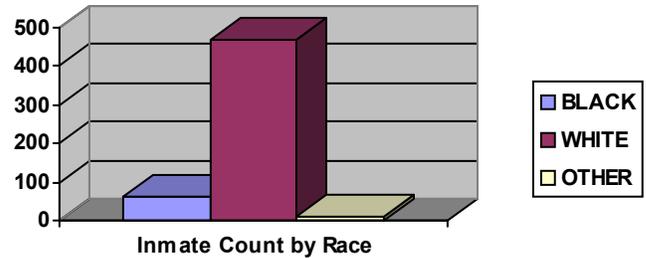


KCI's Screen Print Shop

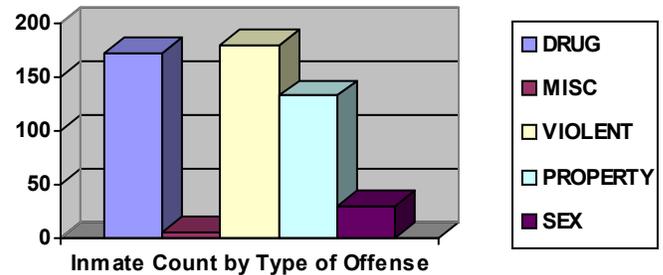
Kentucky Correctional Institution for Women

Demographic Data

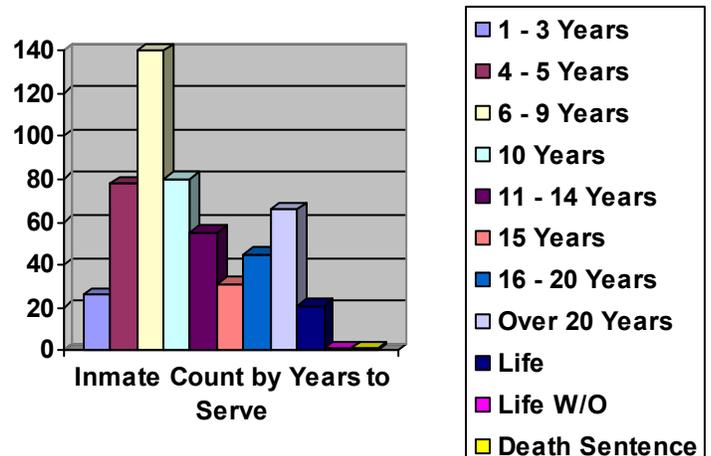
Race	Count	Percentage
BLACK	62	11.41%
WHITE	469	86.37%
OTHER	12	2.22%
TOTAL	543	100%



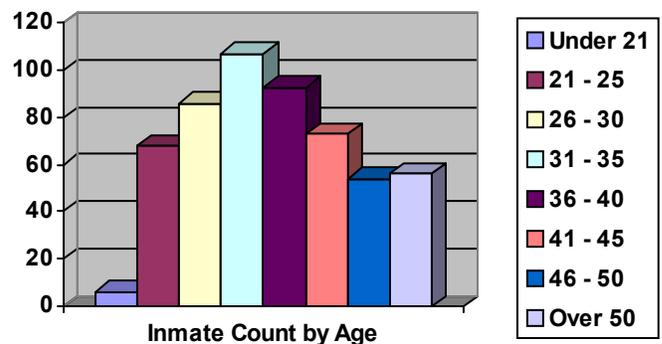
Type of Offense	Count	Percentage
DRUG	172	31.68%
MISC	30	5.52%
VIOLENT	179	32.97%
PROPERTY	132	24.31%
SEX	30	5.52%
TOTAL	543	100%



Years to Serve	Count	Percentage
1 - 3 Years	26	4.78%
4 - 5 Years	78	14.34%
6 - 9 Years	140	25.74%
10 Years	80	14.71%
11 - 14 Years	55	10.11%
15 Years	31	5.70%
16 - 20 Years	45	8.27%
Over 20 Years	66	12.13%
Life	21	3.86%
Life W/O	1	0.18%
Death Sentence	1	0.18%
TOTAL	544	100%



Age Range	Count	Percentage
Under 21	6	1.10%
21 - 25	68	12.53%
26 - 30	86	15.84%
31 - 35	107	19.71%
36 - 40	93	17.13%
41 - 45	73	13.44%
46 - 50	54	9.94%
Over 50	56	10.31%
TOTAL	543	100%



Kentucky State Penitentiary



Warden

Philip W. Parker

Deputy Warden - Security
Ernie E. Williams

Deputy Warden – Programs
Alan D. Brown

Deputy Warden - Support Services
Joel W. Dunlap

Administrative Assistant
Teresa M. Hughes

Address
P.O. Box 5128
266 Water Street
Eddyville, KY 42038

2010 Average Daily Population
863

Total Number of Staff
337

Security Level
Maximum

Overview

The Kentucky State Penitentiary (KSP) serves as the Department of Corrections' only maximum security facility, housing an average of 863 inmates. The institution has operated for over 120

years, originally opening on Christmas Eve in 1889.

The facility sits on the banks of Lake Barkley and is often referred to locally as “The Castle on the Cumberland”. Many renovations and enhancements have occurred during the numerous years of operation, providing for the utmost stability expected within a maximum security penitentiary.

The expressed mission of KSP is to operate an adult, male, maximum-security institution for convicted felons while providing care, housing, custody and control in a safe and secure environment. The primary thrust is to move the inmate to a less secure institution, when warranted by conduct, program performance and need.

Inside the secure perimeters, KSP houses Death Row, General Population, Protective Custody, and Segregation inmates in a single cell environment. KSP operates by strict daily schedules, employing a total of 337 staff to ensure basic needs are met, high levels of security are maintained and to offer rehabilitative services and programs.

Highlights

KSP received ACA re-accreditation in August 2010 with the institution's highest score ever of 98.8%. This audit marks the 10th accreditation in the history of the Penitentiary.

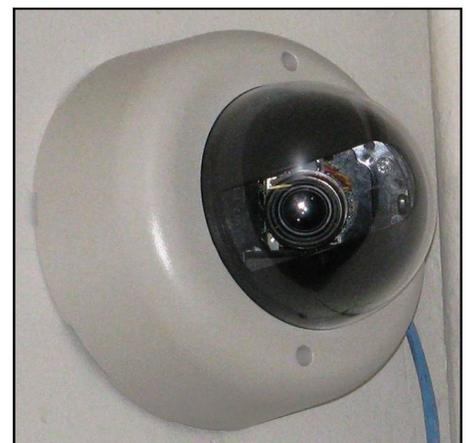
Over the past year there have been numerous security enhance-



Warden Philip Parker

ments at KSP to increase the safety and protection of staff, visitors, and inmates. We believe it is extremely important to continuously think with a security mind set and we are always looking for ways to enhance security.

In 2010, a new digital camera surveillance system was installed upgrading the old system from an analog to a digital video feed. We also increased the number of cameras from 130 to 200. This equipment provides for the direct observation, recording and playback of areas within the facility. This enhancement also included a newly equipped and renovated Command Center.



Digital surveillance camera

Kentucky State Penitentiary



Kentucky State Penitentiary Command Center

Kentucky State Penitentiary was one of three institutions that received grant money for purchasing a new Radio Communication System. The new radios include enhanced reception as well as features such as caller ID and man down.

The Department of Corrections in conjunction with Global Polymers Corporation opened a new plastic recycling plant in June 2010. This new industry created approximately 100 inmate jobs. A formalized Cat Program was implemented in May 2010 that allows General Population inmates to possess ownership of domestic cats. The program also enables the institution to manage and control the cat population more effectively as well as give incentive for inmates to maintain clear conduct.

Programmatic and Operational Areas

Throughout 2010, the school program which consists of academic

and vocational classes, continued to offer inmates opportunities to better themselves. A total of 27 GED's and 10 Vocational Diplomas were awarded. Work, religious and self help programs have continued to see high numbers of inmate involvement.

KSP offers ample job opportunities ranging from employment in one of the Prison Industries areas to janitorial services.

Two inmate clubs/organizations, AA/NA and NAACP, are offered in the General Population Unit and AA/NA is offered in the Protective Custody unit. This allows inmates to volunteer to participate in an activity of their choosing.

Various cognitive skills programs are available to the inmate population, including Moral Recognition Therapy, Life Without a Crutch and an employment related program offered in conjunction with Aramark called Inmate to Workmate (In2Work).

There are many available choices when it comes to religious services. A minimum of 15 different services are scheduled each week for various faiths and many more activities are scheduled as volunteer participation is great.

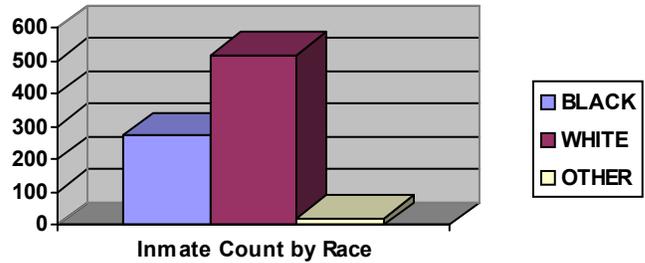
Re-entry initiatives continue to be a high priority at KSP. These initiatives offer programs that will assist offenders in successfully returning to society. One such evidence based program implemented in August 2010 is "Thinking for a Change". The institution has a designated Pre-Release Coordinator whose primary function is to inform inmates of resources available to them in preparation of their release and to conduct a Prison to the Streets class to those inmates who are approaching their discharge or parole eligibility date. A personal finance program by Dave Ramsey entitled "Financial Peace University" has also been established for inmates prior to re-entry. This program is initially being made available to inmates in the Minimum Security Unit.

The "Scared Straight" Program (established in 1994) continues at KSP. This is a court ordered program for offenders over 18 years of age as a condition of probation. The individual spends a day in our segregation unit as a convict where he is strip searched and dressed in inmate clothing, given a military type haircut, drug tested, placed in a cell for approximately 2 hours, fed an inmate meal, and concludes in the Warden's Office viewing video tapes of murders, assaults and riots that have occurred at KSP.

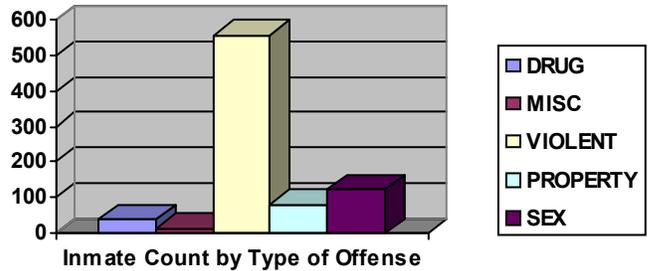
Kentucky State Penitentiary

Demographic Data

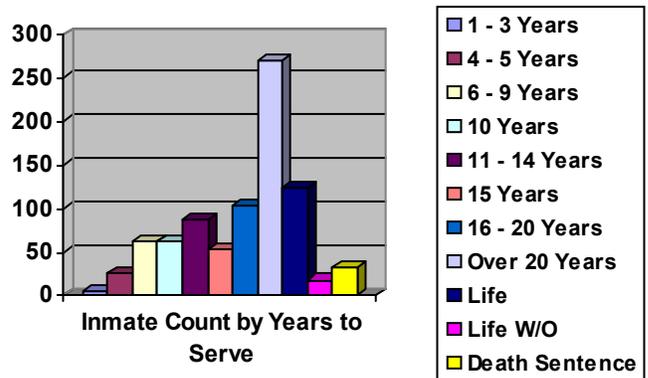
Race	Count	Percentage
BLACK	271	33.46%
WHITE	519	64.07%
OTHER	20	2.47%
TOTAL	810	100%



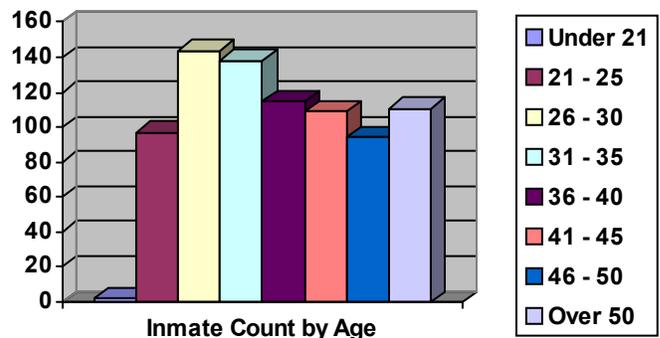
Type of Offense	Count	Percentage
DRUG	37	4.57%
MISC	12	1.47%
VIOLENT	558	68.89%
PROPERTY	80	9.88%
SEX	123	15.19%
TOTAL	810	100%



Years to Serve	Count	Percentage
1 - 3 Years	5	.59%
4 - 5 Years	26	3.08%
6 - 9 Years	62	7.35%
10 Years	62	7.35%
11 - 14 Years	87	10.32%
15 Years	54	6.41%
16 - 20 Years	103	12.22%
Over 20 Years	269	31.91%
Life	124	14.71%
Life W/O	18	2.14%
Death Sentence	33	3.92%
TOTAL	843	100%



Age Range	Count	Percentage
Under 21	2	.20%
21 - 25	97	12.00%
26 - 30	144	17.80%
31 - 35	138	17.04%
36 - 40	115	14.20%
41 - 45	109	13.46%
46 - 50	94	11.60%
Over 50	111	13.70%
TOTAL	810	100%



Kentucky State Reformatory



Warden

Cookie Crews

Deputy Warden - Security
Troy Pollock

Deputy Warden - Programs
John Wright

Deputy Warden - Operations
Steve Adwell

Administrative Assistant
Teresa St.Clair

Address
3001 West Hwy. 146
LaGrange, KY. 40031

2010 Average Daily Population
1949

Total Number of Staff
666

Security Level
Medium

Overview

The Kentucky State Reformatory (KSR) is located in LaGrange, Kentucky, which is approximately 30 miles northeast of Louisville. It is a medium security facility under the administration of the Kentucky Justice Cabinet and Public Safety Cabinet, Department of Corrections. It is the

state's largest institution in terms of inmate population with a 2005 bed capacity.

Up to the present day, the physical plant has essentially retained its original configuration. The 12 story administration building was designed to house the offices of key staff members, a hospital and medical offices, and living quarters for correctional staff. The eleventh floor houses the machinery of the elevator; the twelfth floor houses a now defunct 150,000 gallon water tank.

Today there are 12 dormitories made up of individual rooms, a 150 bed Correctional Psychiatric Treatment Unit, and a 58 bed Nursing Care Facility. In addition, there is a two story cellblock with 130 segregation cells and a 40 bed daily assisted living unit.

The Kentucky State Reformatory operates under a unit management concept with five separate living units. The Unit Management Program is designed to foster personal relationships in smaller groups and assures inmate access to staff that are familiar with their needs. An inmate may seek personal counseling from any member of the Unit Team, which consists of a Unit Administrator, an Assistant Unit Administrator if designated for the unit, Classification and Treatment Officers, a Secretary, Correctional Officers and Engineering Staff. The unit team assists inmates with institutional programming, case preparation for the Parole Board, classification reviews, developing release plans and problem solving.



Warden Cookie Crews

Highlights

Significant accomplishment the Kentucky State Reformatory has achieved for Fiscal Year 2010:

Two major construction projects took place in 2010, with the continuation of the Segregation renovation project and the launch of the Tunnel project.

Two major security projects were completed in 2010 which had a significant impact on the institutions safety and security. The first project, made possible through grant money, was the acquisition and distribution of new radios throughout the institution. The second project involved the purchase of new surveillance cameras. Cameras were installed throughout the institution to allow key staff to observe crucial areas of the institution. Improving security remains paramount to institutional planning. The

Kentucky State Reformatory

Kentucky State Reformatory will continue to make physical and procedural improvements to strengthen security while providing proper training and communication.

ACA Audit documentation is now electronic with the development of a program by the Kentucky State Reformatory's Procedures Officer. This program is now going state wide and is being shared with other state and agencies.

Policies went online for staff and inmates. Computer terminals were installed in the West Law Library, the Inmate Library and the Legal Aide office, thus allowing inmates to view policies online.



Library

Overtime is still being reduced with close monitoring of supervisory staff.

Programmatic and Operational Areas

The Kentucky State Reformatory offers a wide range of programs to the inmate population. These programs are designed to assist the inmate based on their needs and desire for self-help. Programs offered include: Academic and Vocational Education, College



Academic School

Classes, Life Management, organized sports and recreation, religious services and counseling, On-the-Job Training, Correctional Industries and services provided by the Division of Mental Health, i.e. Psychological/Psychiatric Services, Sex Offender Treatment Program, and individual or group counseling. Specific programs include the FACT (Fathers and Children Together) Program and the Co-Occurring Disorder Phoenix Program.

The CHANGES Program (Compassion, Hope Altruism, Now Good –Will, Empathy, Sobriety) was initiated in 2010. It was developed to meet the current treatment needs of inmates within the Kentucky Department of Corrections. The treatment program is a minimum of six months in duration and is structured in a group format. It includes five distinct treatment levels, with each level building upon the information and skills mastered during the previous levels. Program participants learn and practice pro-social behaviors, which is further reinforced in the program's fifth level, a six week internship known as Advanced Application of Treatment Principles. The treatment program is

structured around a core curriculum, designed to challenge criminal thinking and behaviors. Additional "elective" treatment groups are also included: Anger Management; Inside Out Dad or Positive Parenting; Are Therapy, Communicate with Confidence; Depressed Anonymous; Anxiety Management; Mindfulness Based Stress Management; Pet Therapy; Therapeutic Recreation; Socialization; Relapse Prevention; Release and Reintegration to the Community; Living in Balance; Living an Ethical Life; Compassion and Forgiveness; Living Life Substance Free.

Revamping of the Re-Entry Program took place in 2010. This institution currently has two Coordinators appointed by the Department of Corrections Central Office and one Coordinator and a Secretary appointed by the Kentucky State Reformatory. The Program is designed to prepare inmates to re-enter society and reduce the rate of recidivism. The program offers classes referred to as "Prison in the Streets" which provides the inmate with information and resources to better prepare them for release. The programs assist with home placement and other resources needed upon release.

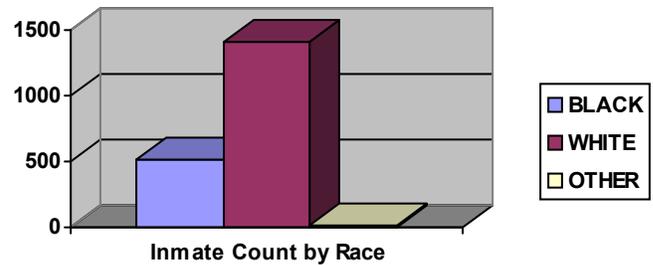


KSR Chapel Building

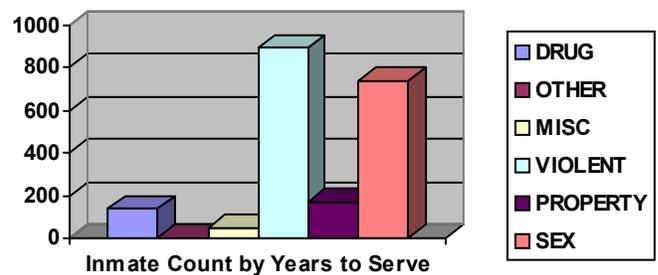
Kentucky State Reformatory

Demographic Data

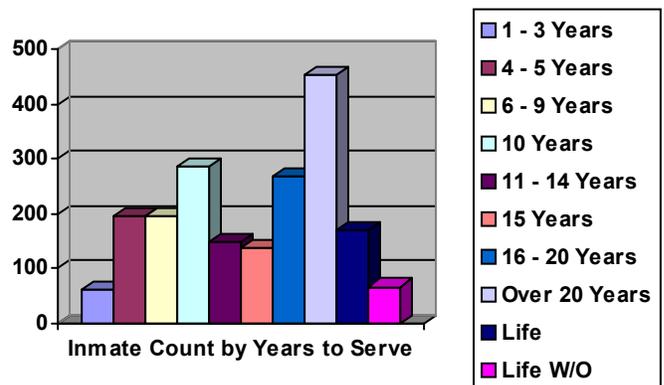
Race	Count	Percentage
BLACK	519	26.16%
WHITE	1412	71.17%
OTHER	8	0.40%
TOTAL	1984	100%



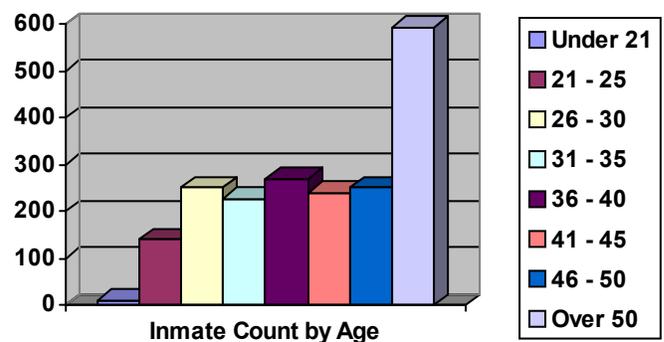
Type of Offense	Count	Percentage
DRUG	137	6.91%
OTHER	1	0.05%
MISC	48	2.41%
VIOLENT	891	44.91%
PROPERTY	169	8.52%
SEX	738	37.20%
TOTAL	1984	100%



Years to Serve	Count	Percentage
1 - 3 Years	60	3.02%
4 - 5 Years	195	9.83%
6 - 9 Years	197	9.93%
10 Years	287	14.475%
11 - 14 Years	149	7.50%
15 Years	138	6.96%
16 - 20 Years	268	16.51%
Over 20 Years	453	22.83%
Life	170	8.57%
Life W/O	67	3.38%
TOTAL	1984	100%



Age Range	Count	Percentage
Under 21	10	0.50%
21 - 25	140	7.06%
26 - 30	251	12.65%
31 - 35	227	11.44%
36 - 40	270	13.61%
41 - 45	240	12.10%
46 - 50	252	12.70%
Over 50	594	29.94%
TOTAL	1984	100%



Little Sandy Correctional Complex



Warden

Joseph P. Meko

Deputy Warden - Security
David Green

Deputy Warden – Programs
Paul Holbrook

Administrative Assistant
Teresia Elliott

Address
505 Prison Connector
Sandy Hook, KY 41171

2010 Average Daily Population
1009

Total Number of Staff
276

Security Level
Medium

Overview

Little Sandy Correctional Complex (LSCC) is a medium security institution located in Elliott County Kentucky. It is the department's newest and most technologically advanced institution.

A total of 1010 inmates are currently housed in two living units at LSCC. Our housing also includes a 100 bed minimum secu-

rity unit and a 90 bed special management unit.

There are numerous support buildings including academic and vocational schools, a medical unit, inmate canteen, Correctional Industries, a gym, dining facility and maintenance area.

Little Sandy Correctional Complex operates under the Direct Supervision model of supervision. It encourages staff and inmate interaction and allows the inmates to have direct access to staff when they have a concern.

LSCC received its initial accreditation on August 13, 2007 by the American Correctional Association (ACA) with 100% compliance. The institution was re-accredited on June 11, 2010 and once again earned 100% compliance.

Highlights

In January 2010, LSCC started a Horticulture class at the Minimum Security Unit. Greenhouses were built for both Minimum Security and General Population. A Horticulture class is currently being pursued for the Spring Academic term.



LSCC Minimum Security Unit Greenhouse



Warden Joseph Meko

In April 2010, LSCC added a West Law Terminal in the Minimum Security Unit. Previously if an inmate needed access to West Law, they had to be transferred inside as the only access was at General Population's Library.

May 2010 was one of the most tragic months in LSCC's history as Staff and inmates endured the passing of Deputy Warden of Security, Fred Wilson. With the ACA re-accreditation only two weeks away, LSCC showed great determination and perseverance in continuing their preparation. As a tribute to Fred and a show of their continued excellence, LSCC once again passed the ACA accreditation process and earned 100% in both mandatory and



2010 American Correctional

Little Sandy Correctional Complex

non-mandatory standards.

On December 7, 2010 LSCC conducted a mass casualty/injury drill which challenged not only Security Staff's ability to respond appropriately to a major incident, but also the Medical and other program and administrative staff. The outcome was a success, in that the response times were under that permitted by ACA standards and all policies were followed during and after the response.

In 2010 LSCC enhanced its security by purchasing pre-fabricated barred window fixtures from KCI and installed them in vital areas



New Bars Added to CCC

around the institution.

In 2010 LSCC added a Man Tracker team which consists of individuals trained in tracking a person through different types of terrain under different conditions. This team will primarily serve in cases of escape from institutions in its geographical area. It will also provide assistance in search and rescue efforts within the surrounding communities.

LSCC revised its Operating Schedule in 2010 to enhance security during certain times of the day. This includes scheduling

that would eliminate unnecessary and potentially hazardous comingling of inmates during high risk times of the day such as lunch feeding and shift changes.

As part of a larger initiative by the DOC, LSCC received funds to purchase 75 new radios. The new radios are equipped with more advanced features such as a "man down" button that will allow Security Staff to assist those in danger more efficiently when a situation presents itself.

Programmatic and Operational Areas

LSCC has very diverse programming to address the needs of a wide spectrum of offenders. LSCC provides quality programs that focus on key fundamentals such as behavior and thinking processes. When further developed, these fundamentals lead to a more structured and self-sufficient individual who will have an increased chance of succeeding and a decreased chance of becoming another statistic of recidivism.

Some of the programs offered at LSCC are Alcoholics Anonymous, Cage Your Rage, Domestic Violence, Inmate to Workmate, Tools for Success and Social Skills for Survival.

These programs, as well as others offered at LSCC, assist the inmate in changing their thought process thus changing behaviors. These programs also provide basic interpersonal skills to allow the inmates to better communicate with others when released.



LSCC Paws with Purpose Program

Paws with a Purpose is a canine program that places homeless shelter dogs with carefully selected inmate handlers to be trained in obedience and socialization. This is an intensive six to eight week program. The dogs arrive spayed or neutered, have been checked for all injury and illness, and vaccinated. While here, they receive the greatest measure of care, love, and instruction.

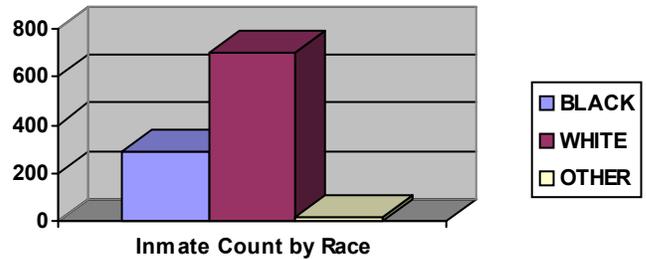
Upon graduation these dogs are house-trained, crate trained, leash trained, and respond to voice and hand commands. They possess obedience skills and are highly adoptable. 132 dogs graduated the Paws with a Purpose program in 2010.

LSCC actively seeks partnerships with community and educational entities to provide a more integral programmatic menu to the inmate population. In 2010 LSCC teamed with Eastern Kentucky University to begin a Cardio-Vascular Study. Inmates that participate in this program receive incentives and also help others understand more about how the heart reacts to different types of exercise and exertion. This program has been a huge success.

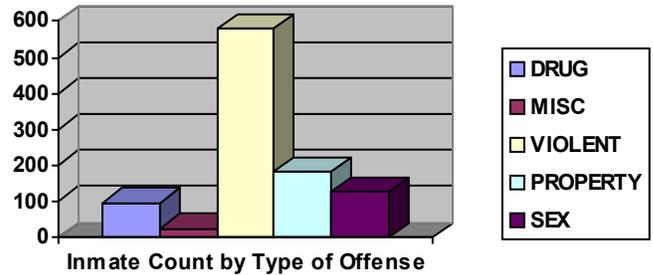
Little Sandy Correctional Complex

Demographic Data

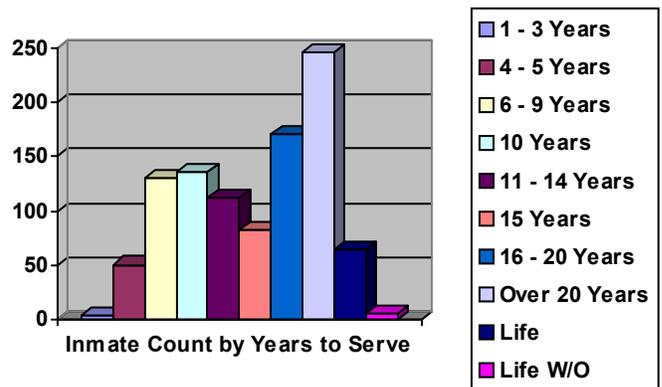
Race	Count	Percentage
BLACK	287	28.56%
WHITE	698	69.45%
OTHER	20	1.99%
TOTAL	1005	100%



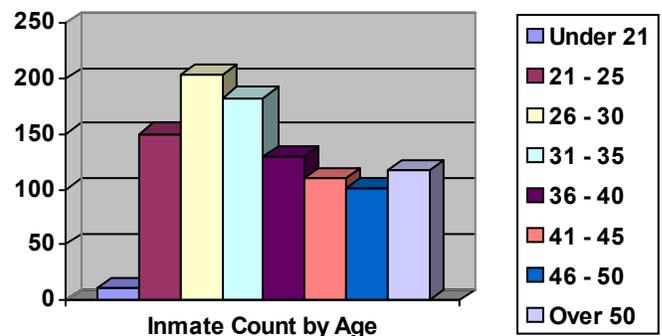
Type of Offense	Count	Percentage
DRUG	96	9.55%
MISC	23	2.29%
VIOLENT	579	57.61%
PROPERTY	180	17.91%
SEX	127	12.64%
TOTAL	1005	100%



Years to Serve	Count	Percentage
1 - 3 Years	4	0.40%
4 - 5 Years	51	5.07%
6 - 9 Years	130	12.94%
10 Years	136	13.53%
11 - 14 Years	112	11.14%
15 Years	83	8.26%
16 - 20 Years	171	17.01%
Over 20 Years	246	24.48%
Life	65	6.47%
Life W/O	7	0.70%
TOTAL	1005	100%



Age Range	Count	Percentage
Under 21	11	1.09%
21 - 25	150	14.93%
26 - 30	204	20.30%
31 - 35	183	18.20%
36 - 40	129	12.84%
41 - 45	110	10.95%
46 - 50	101	10.05%
Over 50	117	11.64%
TOTAL	1005	100%



Luther Luckett Correctional Complex



Warden

Clark Taylor

Deputy Warden - Security
Martin "Dino" Granado

Deputy Warden – Programs
Phillip Bramblett

Administrative Assistant
Jennifer Fitzgerald

Address
P.O. Box 6
1612 Dawkins Road
Lagrange, KY 40031

2010 Average Daily Population
1081

Total Number of Staff
280

Security Level
Medium

of the Department of Corrections for some 22 years. It stands as a tribute to him and exemplifies his dedication and commitment to duty and excellence in correctional management.

LLCC is unique in that it actually houses two separate institutions. LLCC and the Kentucky Correctional Psychiatric Center (KCPC) operated by the Kentucky Family & Health Services. The two facilities share a number of resources and operate under a written shared services agreement. The first inmates were received at LLCC in March 1981. The institution was originally designed to house 486 inmates. In 1990, the institution double-bunked the cells. In addition, LLCC currently has 102 daybeds in units 7A, 7B and 7C. This brings the present operational capacity to 1097.

Luther Luckett operates under a unit concept that stresses management of inmates at the unit level. Sanitation and security are a constant priority and despite the facility being nearly 30 years old, it is well maintained and provides a safe secure living environment for inmates.

Highlights

In March 2010, LLCC began providing up to 22 segregation beds for inmates from the Assessment Center at Roederer Correctional Complex, which does not have a Special Management Unit. This was necessary due to a major renovation of the Special Management Unit at the Kentucky State Reformatory. With half of the Segregation Unit reserved for



Warden Clark Taylor

Assessment Center inmates, we operated our minimum unit as a double bunked 16 bed segregation unit to manage disciplinary inmates at LLCC. Despite the potential security issues of double bunking segregated inmates, we experienced no major issues and staff did an outstanding job managing the unit.

Utilizing funding obtained through a federal grant, LLCC added 130 surveillance cameras to various locations throughout the facility, including dormitories, Food Service, Medical, Visiting, Kitchen, walkway and the yard. Most of the work for the system was performed by institutional staff as a cost saving measure. The system is all digital and has tremendous capabilities. These additional cameras have not only aided in the investigation of rule violations, but also promote a safer environment for both staff and inmates.

Another federal grant allowed for the purchase of 125 new handheld radios. These radios were programmed and put into service to replace antiquated radios and enhance our communication capabilities.

Overview

The Luther Luckett Correctional Complex (LLCC) was the first secure institution to be built in Kentucky since the Kentucky State Reformatory in 1937. This complex was built in direct response to the Federal Consent Decree involving the Kentucky Department of Corrections. The complex was named in honor of Mr. Luther Luckett, an employee

Luther Lockett Correctional Complex



"Camp Canine" Dog Program

In October 2010, Camp Canine, LLCC's dog rescue program, celebrated its one hundredth adoption. This occurred only 20 months after the program started. This partnership between Luther Lockett, the Humane Society of Oldham County and Oldham County Animal Control has saved the lives of many canines that may have otherwise been euthanized. It continues to be a tremendous morale booster for both inmates and staff. The training and exercise yard for the program was doubled in size in 2010 to allow separation of dogs and more space. No state funds are utilized to provide food, supplies or veterinary services for the program.

Two dry storage rooms in the kitchen underwent major renovation in 2010 to enhance sanitation. Skid proof flooring was added in several areas of the food service operation creating a safer working environment for staff and inmates. The inmate barber shop was expanded to add two additional chairs. The work was performed by the vocational masonry class as a live work project. The institutional armory was enhanced by building a new free standing gun rack to hold all of

the rifles and shotguns. Also, all shotguns were Teflon coated.

KCI and Xerox have partnered to bring an exciting new program to the print shop at LLCC. Not only have we installed new digital printing equipment we have also partnered with Louisville Metro Government to handle their printing needs. We are also starting a new vocational program called Corrections to Career. This vocational program provides a curriculum that will allow inmates an opportunity to learn an exciting specialized skill. Once the inmate successfully completes the program, Xerox will certify the individual in the digital printing field. This will enable them to be competitive in the current job market of high tech digital printing and also the graphic arts field.



LLCC Print Shop

Programmatic and Operational Areas

LLCC is a well programmed facility that focuses on providing quality programming to offenders to prepare them for successful re-entry into society. Prominent programs include a 192 bed Substance Abuse Program (SAP) that operates under a therapeutic community model. The SAP program requires 7 to 18 months to com-

plete. This program serves as a model for other programs in the department and often serves as a training ground for staff from other facilities and jails.

Sex Offenders are offered Sex Offender Treatment at Luther Lockett. This program is designed to provide inmates accountability and tools to keep from re-offending.

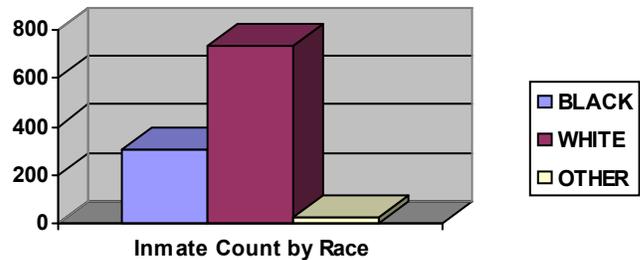
Educational Opportunities are plentiful at Luther Lockett. Based on individual needs, inmates are encouraged to pursue basic education, GED and College Courses offered through Jefferson Community College. Vocational Courses include Automotive Technology, Carpentry and Masonry. Nighttime adult education courses are offered through Oldham County Adult Education. This allows inmates who work full time jobs during the day to pursue their education in the evening. Inmates can also take advantage of self help courses like Pathfinders, Servsafe, Boundaries and Prison to the Streets. Unique among Kentucky Facilities, Luther Lockett has a Shakespeare group that performs a different work each spring. Shakespeare Behind Bars has been in existence for over 15 years and several of the original members are still in the program.

Kentucky Correctional Industries operates a print shop at LLCC employing approximately 50 inmates. This allows inmates to earn money and learn legitimate work skills in an environment very similar to that in the business world.

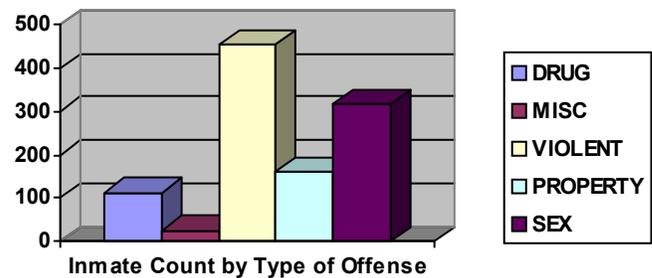
Luther Lockett Correctional Complex

Demographic Data

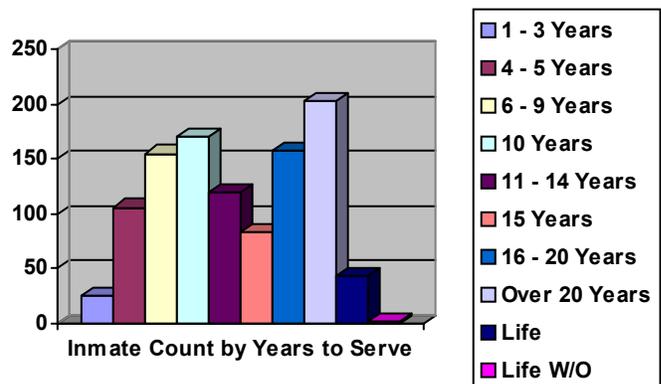
Race	Count	Percentage
BLACK	305	28.61%
WHITE	738	69.23%
OTHER	23	2.16%
TOTAL	1066	100%



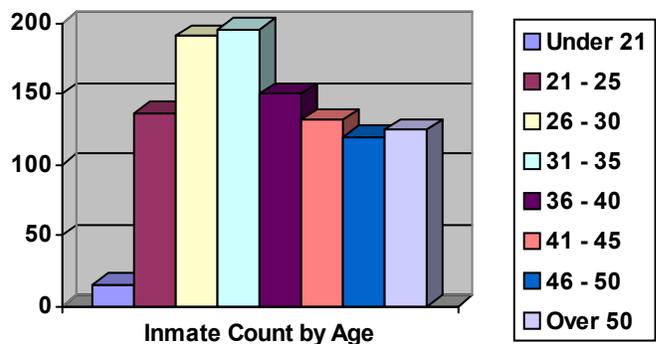
Type of Offense	Count	Percentage
DRUG	112	10.51%
MISC	24	2.25%
VIOLENT	454	42.59%
PROPERTY	160	15.01%
SEX	316	29.64%
TOTAL	1066	100%



Years to Serve	Count	Percentage
1 - 3 Years	26	2.40%
4 - 5 Years	106	9.94%
6 - 9 Years	155	14.54%
10 Years	170	15.95%
11 - 14 Years	119	11.16%
15 Years	84	7.92%
16 - 20 Years	157	14.73%
Over 20 Years	203	19.04%
Life	44	4.13%
Life W/O	2	0.19%
TOTAL	1066	100%



Age Range	Count	Percentage
Under 21	15	1.41%
21 - 25	137	12.85%
26 - 30	191	17.91%
31 - 35	196	18.39%
36 - 40	150	14.07%
41 - 45	132	12.38%
46 - 50	120	11.26%
Over 50	125	11.73%
TOTAL	1066	100%



Northpoint Training Center



Warden

Steve Haney

Deputy Warden - Security
David Gilpin

Deputy Warden – Programs
Julie W. Thomas

Deputy Warden – Operations
Michael Ferguson

Administrative Assistant
Sandy Mitchell

Address
P.O. Box 479
Highway 33
Burgin, KY 40310

2010 Average Daily Population
647

Total Number of Staff
273

Security Level
Medium

Overview

Northpoint Training Center (NTC) is a medium security correctional facility for adult male offenders. The facility is located in northern Boyle County near Danville, Kentucky.

The Department of Corrections received control of the property in January 1983. Northpoint Training Center was initially conceived as a minimum security institution for fewer than 500 inmates. The mission rapidly changed to the development of a medium security institution with a proposed population of approximately 700 inmates. The institution was expanded over the years to a bed capacity of 1,256.

Northpoint Training Center consists of 551 acres and approximately 46 structures. General population inmates are housed in open-bay dormitories within a secure perimeter. Special Management Unit inmates are housed in single cells in a 60-bed segregated structure separated from the main compound. Minimum Security inmates, numbering approximately 40, are housed in dormitories outside the secure perimeter.

The perimeter of the secure compound is a combination of two parallel 12-foot fences with razor wire on the bottom, middle, and top. The inner perimeter fence has a sensor system that alerts the main control, four armed wall towers, an outside patrol and a control center.

Highlights

Due to the disturbance of August 21, 2009, staff at Northpoint has spent much of 2010 renovating damaged areas of the institution. Restoration of Dormitories 3 and 6 were completed by an outside company. In April, 192 inmates from across the Department were transferred to Northpoint to the



Warden Steve Haney

newly restored Dormitory 6, bringing the capacity of the institution back up to 698.

The Maintenance Department and inmate workers spent countless hours building temporary walls and rooms in Dormitories 1, 2, and 3. This was necessary to provide space for support services and programs that were resuming and needed a new location.

Some of the services that moved into the dormitories were the Academic School and Programs, Inmate Canteen, Health Services, and Fire/Safety and Sanitation. The renovated space allowed for academic and vocational classes, as well as programming, to resume in May. The staff of Northpoint were very creative and resourceful in developing and transforming these areas from housing units to office and program areas in order to provide necessary services to the inmate population.

The new facility, scheduled to be completed in 2011, will be a single structure that will contain Health Services, Inmate Canteen,

Northpoint Training Center

Inmate Library, Academic Classes, Program Classes, and Food Services. In addition, a visiting building will be constructed in close proximity to the Control Center to allow for such events as inmate visits, Parole Board open hearings, and attorney visits. Excavation of the site for the new facility began on October 28th.



Excavation for future construction of new facility at NTC

Several security advancements were made in response to the disturbance. All dormitory exit doors were enclosed with security cages on the outside to prevent inmates from gaining access to the yard in the event of a disturbance.

In addition, new interior fencing was added to divide the yard into sections for release of inmates by dormitory in emergency situations as needed. The fencing also



Construction of Interior Fencing on the Yard

enabled the yard to be completely open for the general population by placing barriers between the inmates and any construction areas.

In January, the Operations Office was moved off of the yard and into an administrative area outside the secure perimeter. Relocating this office enhanced the security of the post by decreasing inmate access and allowing the Shift Commander the ability to maintain control of operations during a critical incident without the threat of harm.

Programmatic and Operational Areas

Through a federal grant from the National Endowment of the Arts, Northpoint Training Center partnered with Pioneer Playhouse to present a play to the inmate population.

This grant also allowed interested inmates to take play writing classes. Starting a program that had never been introduced before was an exciting opportunity for the inmates and the institution. "The Dillinger Dilemma" was presented by staff from Pioneer Playhouse to 59 inmates who voluntarily attended.

Eighteen of those inmates signed up to participate in a three-week acting and writing workshop. Providing a positive experience and creative outlet for the inmates, the institution allowed the participants to showcase their abilities through the presentation of plays they wrote.



Chaplain Percy Spencer with the cast of "The Dillinger Dilemma" from Pioneer Playhouse

In May, the Sex Offender Treatment Program (SOTP) began at Northpoint. This is a very intensive program that educates sex offenders about appropriate sexual behavior, social interactions, and family history. Through group therapy, the SOTP increases their insight into why they offended, provides support to offenders as they take responsibility for their offenses, and decreases the likelihood that program graduates will offend again. By the end of 2010, the program had 70 participants.

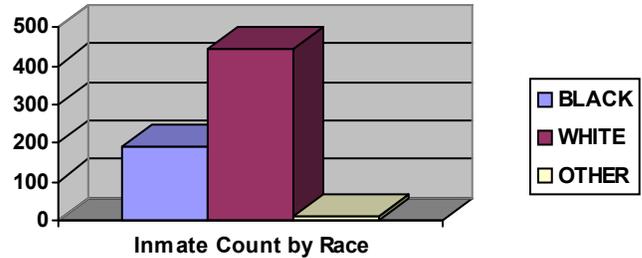
Also in May, the Thinking for a Change program was initiated. This program involves teaching offenders how faulty thinking patterns contribute to their criminal behavior as well as teaching them how to change these faulty patterns and adopt more appropriate behaviors.

Both of the above programs have greatly enhanced the rehabilitation opportunities for the inmate population. Other programs provided include: Alcoholics/Narcotics Anonymous, Pre-Release, Northpoint Trained Canines, Positive Mental Attitude, Life Without a Crutch, Jaycees, and Veterans Organization.

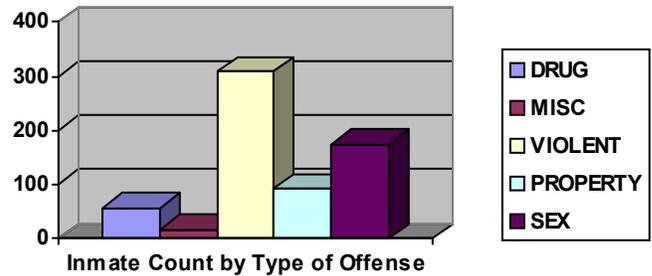
Northpoint Training Center

Demographic Data

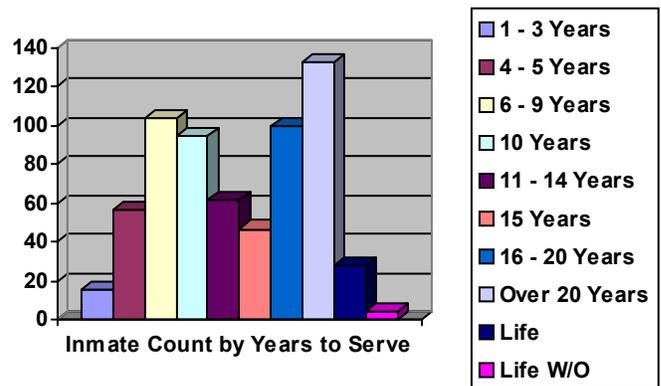
Race	Count	Percentage
BLACK	192	29.72%
WHITE	442	68.42%
OTHER	12	1.86%
TOTAL	646	100%



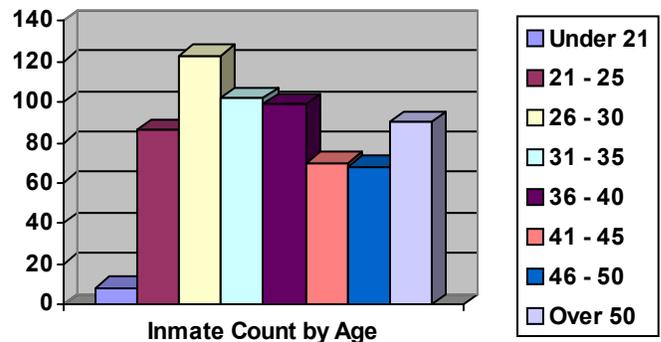
Type of Offense	Count	Percentage
DRUG	57	8.82%
MISC	15	2.32%
VIOLENT	308	47.68%
PROPERTY	91	14.09%
SEX	174	26.94%
TOTAL	646	100%



Years to Serve	Count	Percentage
1 - 3 Years	16	2.48%
4 - 5 Years	57	8.82%
6 - 9 Years	104	16.10%
10 Years	95	14.71%
11 - 14 Years	62	9.60%
15 Years	47	7.28%
16 - 20 Years	100	15.48%
Over 20 Years	132	20.43%
Life	28	4.33%
Life W/O	5	0.77%
TOTAL	646	100%



Age Range	Count	Percentage
Under 21	8	1.23%
21 - 25	86	13.31%
26 - 30	123	19.04%
31 - 35	102	15.79%
36 - 40	99	15.33%
41 - 45	70	10.84%
46 - 50	68	10.53%
Over 50	90	13.93%
TOTAL	646	100%



Roederer Correctional Complex



Warden

James B. Sweatt II

Deputy Warden - Security
Pete Oldham

Deputy Warden – Programs
Sharon Veech

Administrative Assistant
Criettia Foree

Administrative Secretary
Amanda Dees

Address
P.O. Box 69
LaGrange, KY 40031

2010 Average Daily Population
1047

Total Number of Staff
243

Security Level
Medium

Overview

The Roederer Correctional Complex (RCC) opened August 28, 1976, as a 150 bed minimum security “work camp” to operate a 3,000 acre prison farm. It is located three miles south of LaGrange, Kentucky. In December

1987 RCC was converted to a medium/minimum security institution with 100 medium and 152 minimum custody inmates.

Today, the institution can accommodate an inmate population of 1,102 inmates, which is comprised of 830 medium security and 272 minimum security inmates.

RCC uses 730 of the medium security beds to house inmates entering the system through the Assessment Center for the Department of Corrections. The remaining 100 beds are used for permanent inmates, which are used as workers for providing the basic services essential to institutional operations.

Of the 272 minimum security beds, 200 are devoted to an intensive “outside the fence” substance abuse program, while the remaining 72 are used to house inmates for farming operations and other outside work assignments.

Highlights

2010 was another challenging year for RCC due to the conversion of Western Kentucky Correctional Complex from a male to a female facility. During that process, the recreation area was temporarily converted to housing by adding 25 double bunk beds in order to accommodate the displaced WKCC inmates until they could be transferred to the Otter Creek Correctional Center.

Another challenge RCC faced was filling minimum custody



Warden James Sweatt

beds in our system. Due to the lack of minimum custody inmates, we dedicated a Class D Caseworker to process mail to determine custody levels before inmates are brought into the Assessment Center. This allows us to process the inmates through the Assessment Center in a more timely manner.

In 2010, RCC completed construction of its first official Command Center.

RCC also began renovation on the Medical Department and also broke ground on the new building that will house the Chapel and Unit 4 and 5 Canteen.

Programmatic and Operational Areas

RCC has a college program that is offered to all permanent residents. Typically two classes are offered each semester. RCC recently had its first inmate successfully complete the program by graduating and earning an Associates Degree. The GED Program is also offered to all permanent residents. During 2010 ten GED’s were awarded.

Roederer Correctional Complex



Recreation Building

The Anger Management Program is a twelve week course offered to permanent inmates. To be eligible for the course, inmates must be within one year of their Parole Eligibility date or serve out date. At the end of the twelve week class, the inmates receive a Certificate of Completion.

The Substance Abuse Program (SAP) is a nine month program that offers many unique re-entry benefits for the inmates including “The Bridge Aftercare Ministries” and an Offender Re-entry Help Line. The Bridge Aftercare Ministries, Inc. (Bridge) is a faith-based, Christian program for inmates within six months of their release date.

The Offender Re-entry Help Line is staffed by offenders who assist ex-offenders that are seeking resource information in their communities such as social services, financial services, substance abuse treatment and emotional support. Selected offenders who participate in SAP are often invited to speak to “at risk” youth throughout Oldham, Jefferson, Shelby, Henry and other surrounding counties in Kentucky.

The Incarcerated Veterans Tran-

sitional Program is a project funded by the Kentucky Department of Veteran’s Affairs in cooperation with the Kentucky Department of Corrections. Eligibility for the program is based on 180 days of active military service with a separation that is not a “bad conduct” or “dishonorable discharge” and incarceration in a Kentucky State prison after April 1, 2004.

The veterans who are enrolled in this transitional program are offered the following services: assistance with transitional housing, job training assistance, job search assistance, job placement services, periodic follow-up contacts, and referrals to other service providers. In 2010 the RCC Veterans Club partnered with the RCC AA/NA Club in the first annual Toys for Tots drive. The clubs donated \$450 worth of toys, enabling over 100 children to enjoy Christmas.

The Farm Operation has initiated a horse program in which inmates utilize horses to check cattle, fences and perform various other duties. They are also instructed in the proper procedures for feeding, grooming and caring for the horses. The Farm Manager is currently developing a curriculum to teach inmates proper horse training methods. After successful completion of the program each inmate will receive a Certificate of Completion.

Residents operate a staff car wash fundraiser during the summer months. The proceeds benefit “adopted families” during the Christmas and Thanksgiving Holidays by providing them food baskets and gifts for their children. A contribution was also given to KCCD’s annual Children’s Halloween Party. Donations were also given to the following organizations – Stewarts Staff, The Healing Place and Prodigal Ministries.

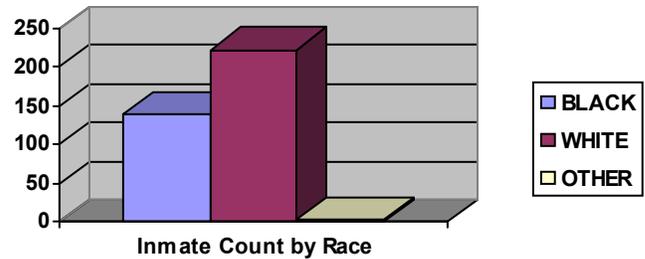


Inmate and horse program at RCC

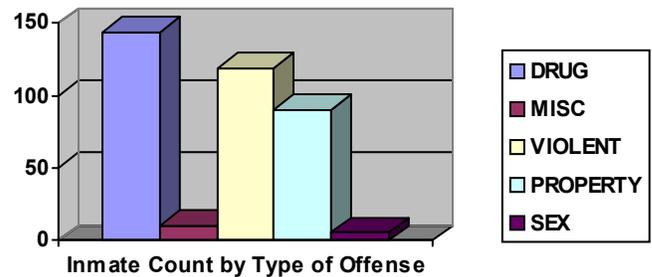
Roederer Correctional Complex

Demographic Data

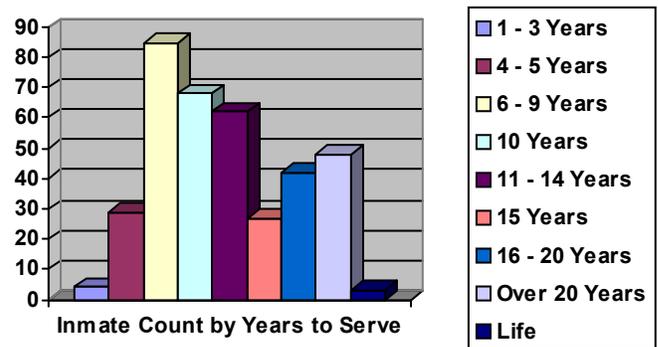
Race	Count	Percentage
BLACK	139	37.77%
WHITE	222	60.33%
OTHER	3	0.82%
TOTAL	368	100%



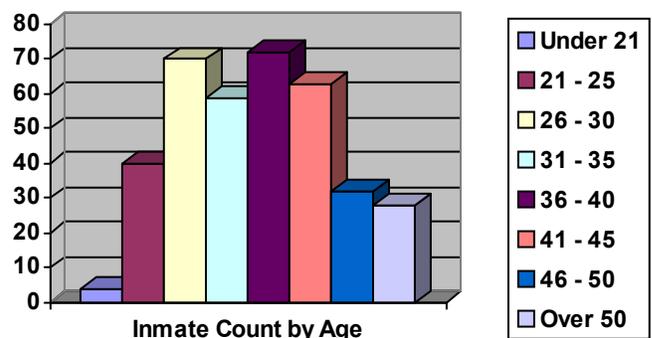
Type of Offense	Count	Percentage
DRUG	144	39.13%
MISC	10	2.71%
VIOLENT	119	32.34%
PROPERTY	90	24.46%
SEX	5	1.36%
TOTAL	368	100%



Years to Serve	Count	Percentage
1 - 3 Years	4	1.08%
4 - 5 Years	29	7.88%
6 - 9 Years	85	23.10%
10 Years	68	18.48%
11 - 14 Years	62	16.85%
15 Years	27	7.34%
16 - 20 Years	42	11.41%
Over 20 Years	48	13.04%
Life	3	0.82%
TOTAL	368	100%



Age Range	Count	Percentage
Under 21	4	1.08%
21 - 25	40	10.87%
26 - 30	70	19.02%
31 - 35	59	16.03%
36 - 40	72	19.57%
41 - 45	63	17.12%
46 - 50	32	8.70%
Over 50	28	7.61%
TOTAL	368	100%



Western Kentucky Correctional Complex



Warden

Bryan K. Henson

Deputy Warden-Security
Vanessa Kennedy

Deputy Warden-Programs
Steve Woodward

Administrative Assistant
Kim Odom

Address
374 New Bethel Road
Fredonia, KY 42411

2010 Average Daily Population
597

Total Number of Staff
223

Security Level
Medium

Overview

The Western Kentucky Correctional Complex (WKCC) was constructed in 1968 as a satellite facility of the Kentucky State Penitentiary (KSP). In 1977, this facility became a separate minimum institution called the Western Kentucky Farm Center. In 1989, the institution was converted to a medium security facility. The name of the institution was changed to Western Ken-

tucky Correctional Complex in July 1990. The institution houses 693 inmates and includes a 200 bed minimum security unit, 44 cells (with two additional Observation Cells) in a Special Management Unit and 477 medium security inmates housed in three open wing dormitories within the secured perimeter.

Inmates are offered academic and vocational programs along with employment opportunities that prepare them to be capable of contributing to society in a positive manner upon release. The facility has been accredited by the American Correction Association since 1988. The institution has successfully completed re-accreditation eight times, with the most recent audit in May 2009, achieving our second consecutive 100% compliance, a first ever in Kentucky for a medium security institution.

Highlights

WKCC Conversion

On January 8, 2010 Governor Steve Beshear announced the conversion of WKCC from an Adult Male Institution to an Adult Female Institution. The conversion was, in part, the result of troubling allegations and incidents that a private prison experienced in managing a female population. The Governor stated that the Department of Corrections has the experience and successful record of managing female inmates and that it was the right thing to do.

The conversion brought about many changes for WKCC. There



Warden Bryan K. Henson

were the obvious changes to the physical plant, which included the construction and remodeling of all living unit restrooms to meet the needs of the female population.

Due to the dedication and hard work of our maintenance staff, we were able to do much of the work in-house and complete a significant amount of the changes to the physical plant without outside contracting. This resulted in a savings of thousands of dollars to the state and enabled us to receive our first transfer of female offenders on April 5, 2010, some three months ahead of schedule.

The conversion also brought new challenges and a new mission for



Remodeled bathrooms for female offenders

Western Kentucky Correctional Complex



First female inmate transferred to WKCC in April 2010

our staff. In February 2010, all staff attended NIC training which included components such as Effective Management of Female Offenders and PREA. In March 2010, many of our staff attended an OJT Program at Kentucky Correctional Institutions for Women (KCIW) to see first hand the differences in dealing with the new female population.

With the task of supervising a female population, we also had to make significant changes to our Staffing Patterns. As a result of a working post analysis, we increased the percentage of female officer's from 25% to 40%. By September of 2010, we had increased it an additional 11% to reach a total of 51% female officers, which remains our current target.

Other staffing changes involved our Medical Department. With a significant increase in medical records for the female population,

we have added seven additional LPN's and two CMT's. We have also added two ARNP's specializing in Women's Health. We have also expanded the size of our Medical Department by relocating the pharmacy and optometrist office, which allowed more space within the existing clinic area.

Effective April 1, 2010 WKCC became a tobacco free institution. This change was initiated considering the many benefits from a health and sanitation standpoint as well as knowing that KCIW, the only other female prison, was already tobacco free.

Programmatic and Operational Areas

The conversion also demanded changes in our programs to better meet the needs of the female population.

In August of 2010, we converted our Malachi Dad Program, to a faith based program called "Rubies for Life". This program focuses on helping females with children be better mothers even while they are in prison. In September 2010, the Substance Abuse Program (SAP)



Rubies for Life inmates interact with children at Celebrate to Life event

wing was opened. SAP participants learn how to be accountable for their actions, to recognize and reduce criminal thinking errors, to begin working through the Alcoholics Anonymous 12 step process, as well as learn appropriate ways to cope with problems and addiction.

WKCC has a farming operation situated on 2,200 acres, with 739



New female inmates being taught how to operate farm implements by WKCC staff

head of beef cattle, 405 acres of corn, 212 acres wheat and 355 acres of soybeans. There is also a 15 acre garden, a 275 tree apple orchard and a composting operation.

WKCC also operates a Regional Recycling Center that partners with six (6) surrounding counties that results in an average landfill savings of 500 tons per year.

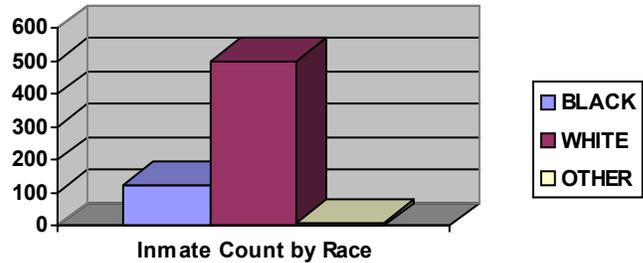


Inmate works with Glass Pulverizer at WKCC Recycling Center

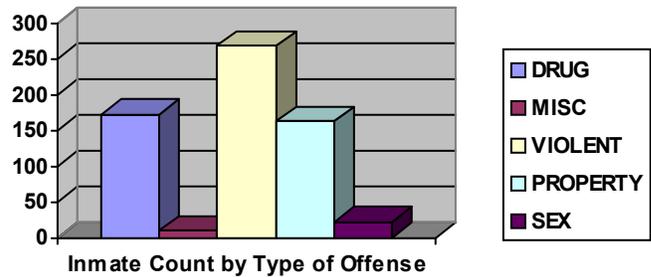
Western Kentucky Correctional Complex

Demographic Data

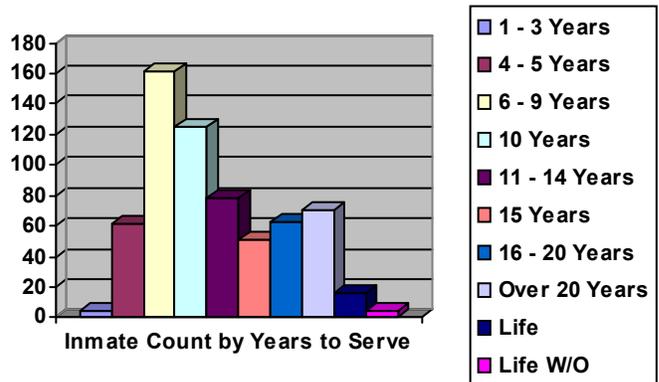
Race	Count	Percentage
BLACK	124	12%
WHITE	501	79.02%
OTHER	9	1.42%
TOTAL	634	100.00%



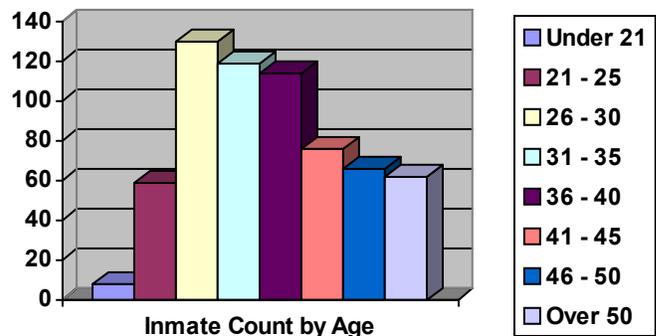
Type of Offense	Count	Percentage
DRUG	172	27.13%
MISC	10	1.58%
VIOLENT	268	42.27%
PROPERTY	162	25.55%
SEX	22	3.47%
TOTAL	634	100.00%



Years to Serve	Count	Percentage
1 - 3 Years	4	0.65%
4 - 5 Years	62	7.78%
6 - 9 Years	161	25.39%
10 Years	125	19.72%
11 - 14 Years	78	12.30%
15 Years	51	8.04%
16 - 20 Years	63	9.93%
Over 20 Years	70	11.04%
Life	16	2.52%
Life W/O	4	0.63%
TOTAL	634	100.00%



Age Range	Count	Percentage
Under 21	8	1.265%
21 - 25	59	9.31%
26 - 30	130	20.50%
31 - 35	119	18.77%
36 - 40	114	17.98%
41 - 45	76	11.99%
46 - 50	66	10.41%
Over 50	62	9.78%
TOTAL	634	100.00%



Lee Adjustment Center



Warden

Grady Perry

Deputy Warden - Security
Donna Stivers

Deputy Warden – Programs
David Frye

Address

2648 Fairgrounds Ridge
Road
Beattyville, KY 41311

2010 Average Daily Population

Total - 643
Kentucky - 103
Vermont - 540

Total No. of Staff

179

Security Level

Medium

Overview

The Lee Adjustment Center (LAC) opened in September 1990 to house adult males for the Kentucky Department of Corrections and continued to house inmates for the department in varying numbers through June 2010.

America (CCA) began operating the facility in April 1998 following acquisition of the facility from U.S. Corrections Corporation.

LAC has also housed inmates for the Vermont Department of Corrections since February 2004.

Highlights

The 2010 calendar year began with the facility operating near capacity of 842 inmates; however, the population decreased significantly throughout the remainder of the year.

In April, the Kentucky DOC began removing inmates that had been transferred to LAC following the August 2009 disturbance at Northpoint Training Center. In an April letter signed by Commissioner LaDonna Thompson,



Warden Grady Perry

the Kentucky Department of Corrections gave CCA its notice of intent to terminate services with LAC. All Kentucky DOC inmates were transferred out of the facility by July 1, 2010.

This action was necessitated by growing budget constraints and



Lee Adjustment Center

Lee Adjustment Center



Lee Adjustment Center

was part of the Department's efforts to reduce its financial burdens. It was in no way indicative of the level of service provided by the Lee Adjustment Center.

The Vermont population gradually decreased throughout 2010 also as the state of the economy forced many government agencies to impose drastic cost-cutting measures.

In early 2010, Chief of Security, Thomas Bell, passed away following a battle with cancer and Warden Randy Stovall, who had served in the position since September 2004, was transferred to CCA's Otter Creek Correctional Center.

Jeff Little was appointed as Chief of Security and Grady Perry, from CCA's Whiteville Correctional Facility in Tennessee, was named Warden at LAC.

Programmatic and Operational Areas

The population decrease was also reflected in the number of educational diplomas and certificates awarded at Lee Adjustment Center in 2010. The number of educational programs offered was

also adjusted accordingly.

Fifty-five (55) inmates earned their GED diplomas in 2010. The vocational program awarded thirty-two (32) diplomas and one hundred seventeen (117) certificates during the 2010 calendar year. The DSST College program is challenged by a lack of inmates wishing to participate, however six (6) inmates successfully completed a class during 2010. Several unit-based programs were also available to the inmate population during 2010.

In conjunction with the Lexington Humane Society, Lee Adjustment Center operates a dog obedience training program to improve the chances of dogs being adopted by individuals in the community. While at LAC, the dogs receive the best of care. Upon graduation from the pro-



Lee Adjustment Center - Life Principles Program

gram the dogs possess obedience skills and are well suited for adoption. There are twelve inmates assigned as trainers and an additional twelve inmates serve as alternate trainers. This program has proven beneficial to not only the dogs, but also to the inmates.

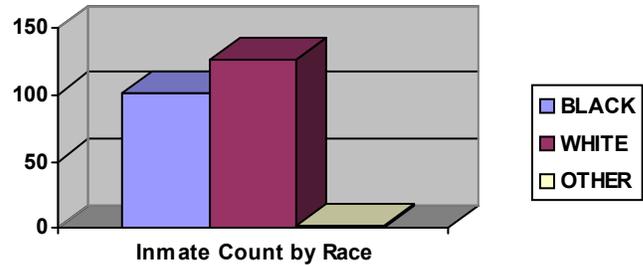


Lee Adjustment Center Canine Program

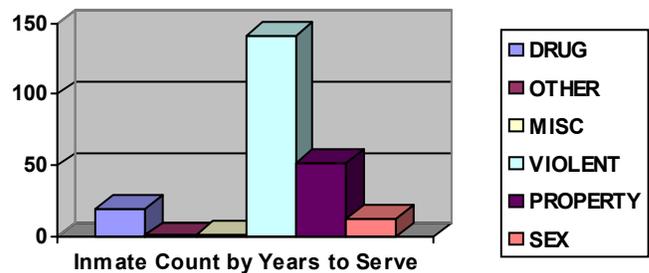
Lee Adjustment Center

Demographic Data

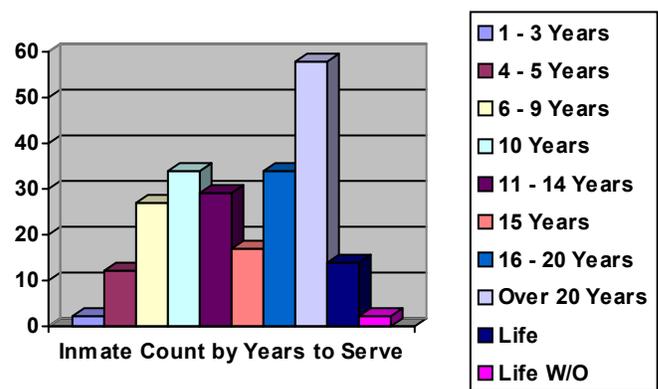
Race	Count	Percentage
BLACK	101	44.10%
WHITE	126	55.02%
OTHER	2	0.88%
TOTAL	229	100%



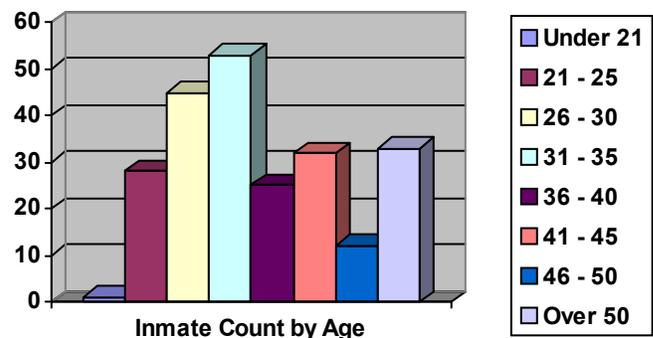
Type of Offense	Count	Percentage
DRUG	20	8.73%
OTHER	1	0.44%
MISC	2	0.87%
VIOLENT	141	61.57%
PROPERTY	52	22.71%
SEX	13	5.68%
TOTAL	229	100%



Years to Serve	Count	Percentage
1 - 3 Years	2	0.87%
4 - 5 Years	12	5.25%
6 - 9 Years	27	11.79%
10 Years	34	14.85%
11 - 14 Years	29	12.66%
15 Years	17	7.42%
16 - 20 Years	34	14.85%
Over 20 Years	58	25.33%
Life	14	6.11%
Life W/O	2	0.87%
TOTAL	229	100%



Age Range	Count	Percentage
Under 21	1	0.44%
21 - 25	28	12.23%
26 - 30	45	19.65%
31 - 35	53	23.14%
36 - 40	25	10.92%
41 - 45	32	13.97%
46 - 50	12	5.24%
Over 50	33	14.41%
TOTAL	1984	100%



Marion Adjustment Center



Warden

Daniel Akers

Assistant Warden

Harrell Gray

Chief of Security

Cabrina Gadberry

Chief of Unit Management

Ralph Clifton

Quality Assurance

Ronda Hughes

Address

95 Raywick Road
St. Mary, KY 40063

2010 Average Daily Population

816

Total Number of Staff

194

Security Level

Medium

Overview

Marion Adjustment Center (MAC) was established through U.S. Corrections Corporation in 1986. It was the first privately owned and operated adult male correctional facility in America. The facility is located in St. Mary Kentucky five miles from Leba-

non in Marion County. The building and grounds were once home to a catholic college in 1821 and St. Mary's Catholic Seminary in 1929.

MAC sits on 120 acres and has the capacity to house 826 inmates. It is comprised of three housing units along with various other buildings that are utilized for recreation, food service, medical, academic and substance abuse and other programs.

Highlights

The facility has successfully maintained American Correctional Association Accreditation for the past 21 years. In 2010 MAC was awarded a 100% rating. This is MAC's seventh successful re-accreditation and the fourth consecutive score of 100% compliance with all standards.

This is a direct reflection of the commitment of the staff and inmates to meet the goals and philosophy of ACA toward making productive citizens of our inmates.

Significant changes have been made at MAC regarding key staff. On March 26, 2010 Daniel Akers became the new Warden of MAC. With the promotion of Warden Akers, the process began to find a new Assistant Warden for MAC. Harrell Gray became the Assistant Warden for Marion Adjustment Center in May of 2010.

MAC continues to support a recognition program through the certifications offered from the



Warden Daniel Akers

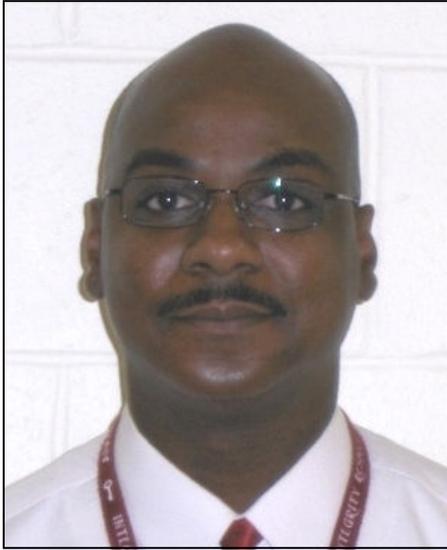
American Correctional Association. During 2010 we had one staff member successfully become a Certified Corrections Officer, and three staff members successfully become Certified Corrections Managers.

Programmatic and Operational Areas

The goal of the facility is to prepare our clients to return to their communities as responsible and law abiding citizens. Through the programs offered it is hoped that the inmate will become responsible, productive members of society. The programs currently offered at MAC are:

Educational Programs - MAC provides a comprehensive educational program, ranging from adult basic education to G.E.D. preparation. We also provide vocational training for inmates in the areas of building, construction, maintenance and horticulture. Optional college courses are offered and are coordinated with

Marion Adjustment Center



Assistant Warden Harrell Gray

Campbellsville University. MAC also offers a Microsoft Office Specialist program that certifies its students upon completion.

Inmate Work Programs – Inmates work inside and outside the institution in areas such as food service, laundry, maintenance and other jobs throughout the facility. Inmates gain experience and develop work habits that will prove beneficial when returning to mainstream society. Inmates with level one custody may participate in the community service programs outside the perimeter of the institution, such as set-up and clean-up for the annual Marion County Country Ham Days festival, the “adopt-a-highway” program where thousands of bags of litter have been picked up throughout the county, and working with the Transportation Cabinet to clear brush and debris off of highways and bridges.

MAC's second chance dog program has proven to be very successful. This program saves dogs

which would have been euthanized and places them with loving families. The inmates assigned to this program train and teach the dogs proper social and interaction skills. Since its inception, 85 dogs have been adopted through the program.

During 2010 an additional training program was initiated. In addition to obedience training, some animals are being trained as service dogs. The dogs chosen for this program will be trained for numerous services such as diabetic alert, PTSD, Autistic children, cadaver search and rescue, and disabled assistance. MAC currently has a dog program in both the minimum and medium units.

Life Line Substance Abuse Program – This program assists inmates in dealing with substance abuse problems. This behavior modification program strives to provide participants with the necessary tools to return to the community and have the ability to remain sober and make a positive contribution to their families and society. This is achieved through physiological detoxification, behavior modification and spiritual evolution. The program effects positive and permanent changes in the lives of offenders suffering from alcohol and drug addiction. Life Line offers its residents the opportunity to develop pro-social values and behavior by learning to live together in a highly structured therapeutic community environment.

Narcotics Anonymous and Alcoholics Anonymous – These are

on-going support groups with the purpose of giving encouragement to recovering drug addicts and alcoholics.

A new program called Thinking 4 a Change (T4C) was implemented in 2010 in the medium security unit. This program focuses on cognitive restructuring and social skills. It teaches problem solving and helps offenders identify thinking errors that will assist them in taking charge of their life.

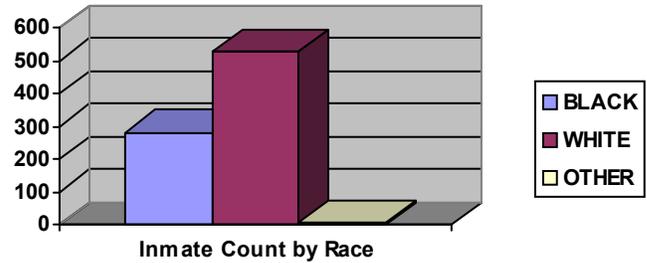
Religious Programs – MAC offers a variety of religious programming to the inmate population in order to meet their many diverse needs. We employ a full time chaplain and also have volunteers and religious authority figures that donate their time to our religious programs. There are numerous programs offered throughout the week. Special services are also scheduled and many times include outside religious authority figures that visit the chapel and hold services

Recreational Programs – Inmates at MAC are provided opportunities to participate in indoor and outdoor recreational activities throughout the day, seven days a week. Special recreational activities are periodically offered under the direct supervision of the Recreation Supervisor and their staff. Inmate workers are utilized to assist with the recreational programs. Some special activities include outside community resources participating in recreational events along with inmate tournaments.

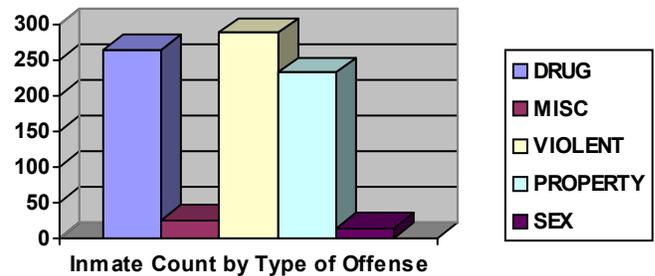
Marion Adjustment Center

Demographic Data

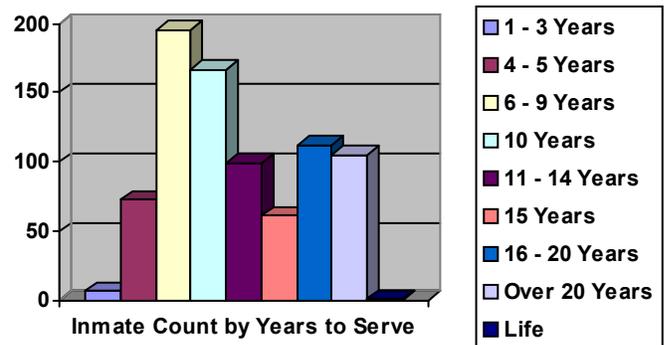
Race	Count	Percentage
BLACK	281	34.15%
WHITE	527	64.03%
OTHER	7	0.85%
TOTAL	823	100%



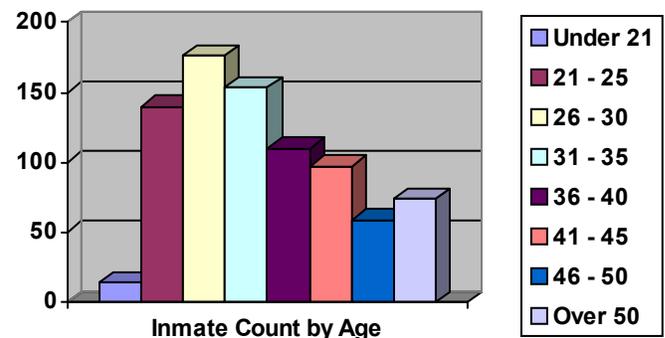
Type of Offense	Count	Percentage
DRUG	264	32.08%
MISC	26	3.16%
VIOLENT	287	34.87%
PROPERTY	232	28.19%
SEX	14	1.70%
TOTAL	823	100%



Years to Serve	Count	Percentage
1 - 3 Years	7	0.85
4 - 5 Years	73	8.87%
6 - 9 Years	195	23.70%
10 Years	167	20.29%
11 - 14 Years	99	12.03%
15 Years	61	7.41%
16 - 20 Years	112	16.60%
Over 20 Years	105	12.76
Life	4	0.49%
TOTAL	823	100%



Age Range	Count	Percentage
Under 21	14	1.70%
21 - 25	140	17.01%
26 - 30	176	21.37%
31 - 35	153	18.59%
36 - 40	110	13.37%
41 - 45	97	11.79%
46 - 50	59	7.17%
Over 50	74	9.00%
TOTAL	823	100%



Otter Creek Correctional Center



Warden

Randy Stovall

Assistant Warden

Charlotte Klutey

Administrative Assistant

Linda Little

Address

P.O. Box 500
Wheelwright KY, 41669

2010 Average Daily Population
516

Total Number of Staff
170

Security Level
Medium

Overview

In 1993 construction began on Otter Creek Correctional Center. Otter Creek was originally built by U.S. Corrections Corporation of Louisville. In April of 1998 USCC was purchased by Corrections Corporation of America.

In August of 2005 the facility began accepting female inmates from the states of Kentucky and Hawaii. In April 2010 the facility began a transition from housing female Kentucky inmates to

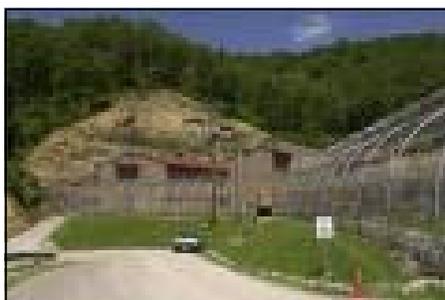
housing male Kentucky inmates.

The America Correctional Association (ACA) first accredited this institution in 1996. The facility has been re-accredited four times with the most recent occurring in March 2008.

Leadership of Otter Creek Correctional Center is under Warden Randy Stovall. Following a 20-year career with the Texas Department of Criminal Justice, Warden Stovall joined Corrections Corporation of America in 1995. Previously, he has served as warden at Lee Adjustment Center. He has also served as assistant warden of CCA's Mineral Wells Pre-Parole Transfer Facility and warden of Brownfield Intermediate Sanction Facility in Texas.

Highlights

In April of 2010 Otter Creek Correctional Center had a mission change from housing female inmates to housing male inmates. The average population for 2010 was 516. Through 2010 Otter Creek Correctional Center has grown in the concept of Unit Management. The unit teams are in the units and actively work with the inmates. They conduct



Otter Creek Correctional Center



Warden Randy Stovall

investigations on each incident that occurs in the unit. They also monitor the chow hall, dorms, and all hallways. The unit team's schedules have been altered to cover more evening hours. This concept has resulted in an increase in the frequency and involvement of the unit team on a one-to-one basis. To increase the effectiveness of the unit teams, permanent officers are assigned to each of the housing units. This allows the officers to have an active role in the units they are running.

Security enhancements at Otter Creek during 2010 involved the installation and improvement of the fence system. Bullpens were added to the front of the inmate living areas to allow for break times once the yard is closed. A parcel scanner was also added to the entrance in the central control area. This scanner allows the check-point officer the ability to identify contraband that could be brought into the facility by a visitor.

Otter Creek Correctional Center

Programmatic and Operational Areas

Otter Creek Correctional Center offers many different programs for an offender to learn new skills and training that will help them to prepare for integration back into society.

The facility offers "Ideas for Better Communication". This program includes a collection of materials on ways to improve relationships through communication. There were 187 graduates of the programs last class.

During 2010 the facility also began a new program called "Thinking for a Change". The first class had 9 participants who successfully completed to program.

Other programs that are offered at OCCC are Alcoholics Anonymous and Narcotics Anonymous (72), Parenting (58), Anger Management (63), Life without a Crutch (110), and Prison to the Streets (36).

In 2010, the Otter Creek Correctional Center Education Department had an inmate daily attendance average of 125. The facility has eight teachers, one clerk and one education supervisor. During 2010, we had 38 inmates earn their G.E.D. certificates and 82 inmates completed the vocational programming.

Otter Creek's Residential Drug Abuse Program (RDAP) is a six month program, which has been specifically customized for particular facilities and their inmate

population. This program provides a therapeutic community environment, discussion and counseling groups and post release follow-up support to transitioning offenders. The program is voluntary, and offenders choose to enter a "community" within the facility where everyone shares the common goal of breaking their addictions. In 2010 there were a total of 189 inmates in the program and 135 graduated.

Otter Creek Correctional Center staff knows that a safe and secure institution is the most important aspect in corrections and facility management. Otter Creek will continue to strive to implement new programs and to make operational change to enhance our customer satisfaction to the highest level.

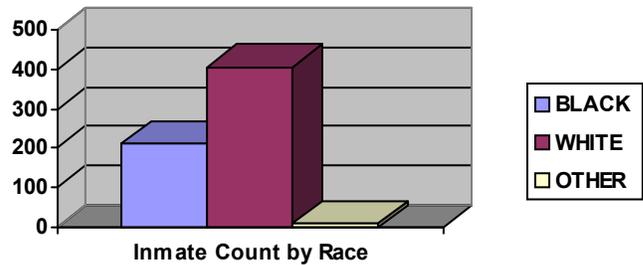


Housing Unit at Otter Creek Correctional Center

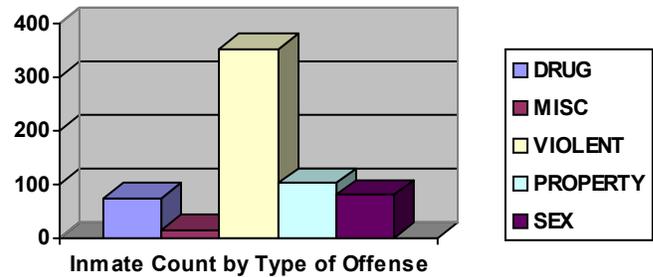
Otter Creek Correctional Center

Demographic Data

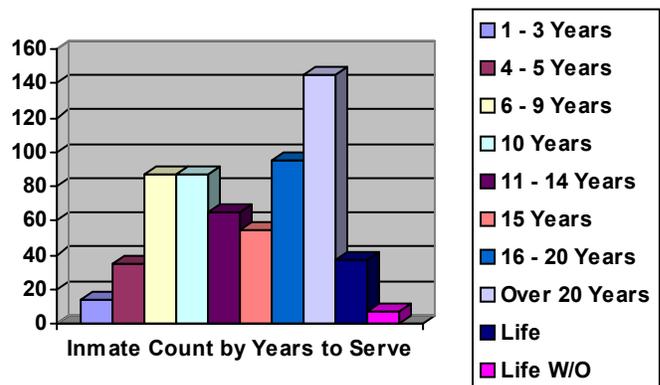
Race	Count	Percentage
BLACK	211	33.71%
WHITE	407	65.02%
OTHER	8	1.27%
TOTAL	626	100%



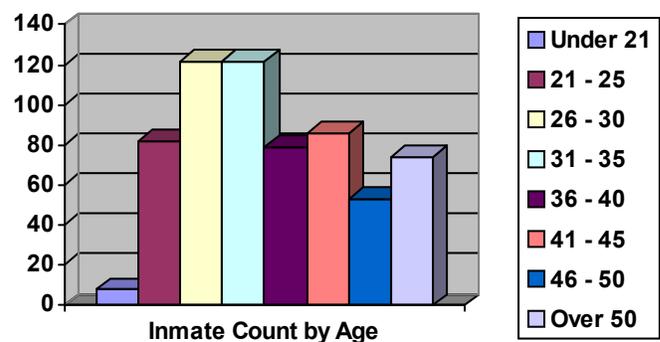
Type of Offense	Count	Percentage
DRUG	75	11.98%
MISC	16	2.56%
VIOLENT	352	56.23%
PROPERTY	103	16.45%
SEX	80	12.78%
TOTAL	626	100%



Years to Serve	Count	Percentage
1 - 3 Years	14	2.23%
4 - 5 Years	35	5.59%
6 - 9 Years	87	13.90%
10 Years	87	13.90%
11 - 14 Years	65	10.38%
15 Years	54	8.63%
16 - 20 Years	95	15.18%
Over 20 Years	145	23.16%
Life	37	5.91%
Life W/O	7	1.12%
TOTAL	626	100%



Age Range	Count	Percentage
Under 21	8	1.28%
21 - 25	82	13.10%
26 - 30	122	19.49%
31 - 35	122	19.49%
36 - 40	79	12.62%
41 - 45	86	13.73%
46 - 50	53	8.47%
Over 50	74	11.82%
TOTAL	626	100%



Office of Community Services and Local Facilities

Deputy Commissioner Rodney Ballard

Division of Local Facilities
Director
Jeff Burton

Division of Probation and Parole
Director
Tim Carman

Assistant Director
Michael Bolcas

Central Region
Gary Barnes

Western Region
Renee Maness

Eastern Region
Becky Carter



*Rodney Ballard
Deputy Commissioner
Offices of Community Services
and Local Facilities*

Local Facilities provided inmate labor for many local community projects. This labor was provided free of charge for community-service-related projects. Inmate labor can be used by the Commonwealth or an agency of the Commonwealth, a county or agency of the county, or by a nonreligious-sponsored nonprofit, charitable, or service organization.

Local Facilities provided technical assistance in overall jail operations, policy and procedures, and staff development trainings.



*Jeff Burton, Director
Local Facilities*

ing. In addition, we worked with many county governments to provide guidance during the architectural drawing phase for jail renovation and new construction projects. The Division also monitors the construction process.

The Local Facilities Division conducted jail inspections on all jail facilities across the Commonwealth. These inspections provided vital information of the operations of the local detention centers. These inspections also provided the detention centers with guidance for providing safer housing of the inmates and ways to keep the communities that they serve safer.

The Local Facilities Division acts as the agent for the Community Corrections Program. This program provided funding to community based agencies for alternatives to incarceration. These programs include home incarceration, community service projects, drug testing, home visits, counseling, and many other types of services.

State Inmate Population in County Jails

In most years, the state inmate population has rhythmic cycles throughout the year but this has not been the case in the last two years. The downturn in the nation's economy has put an ever increasing burden on state and county budgets. These budgetary constraints have forced the state and the Department to look at ways to reduce the number of state inmates in prisons and county jails. Between September

Division of Local Facilities

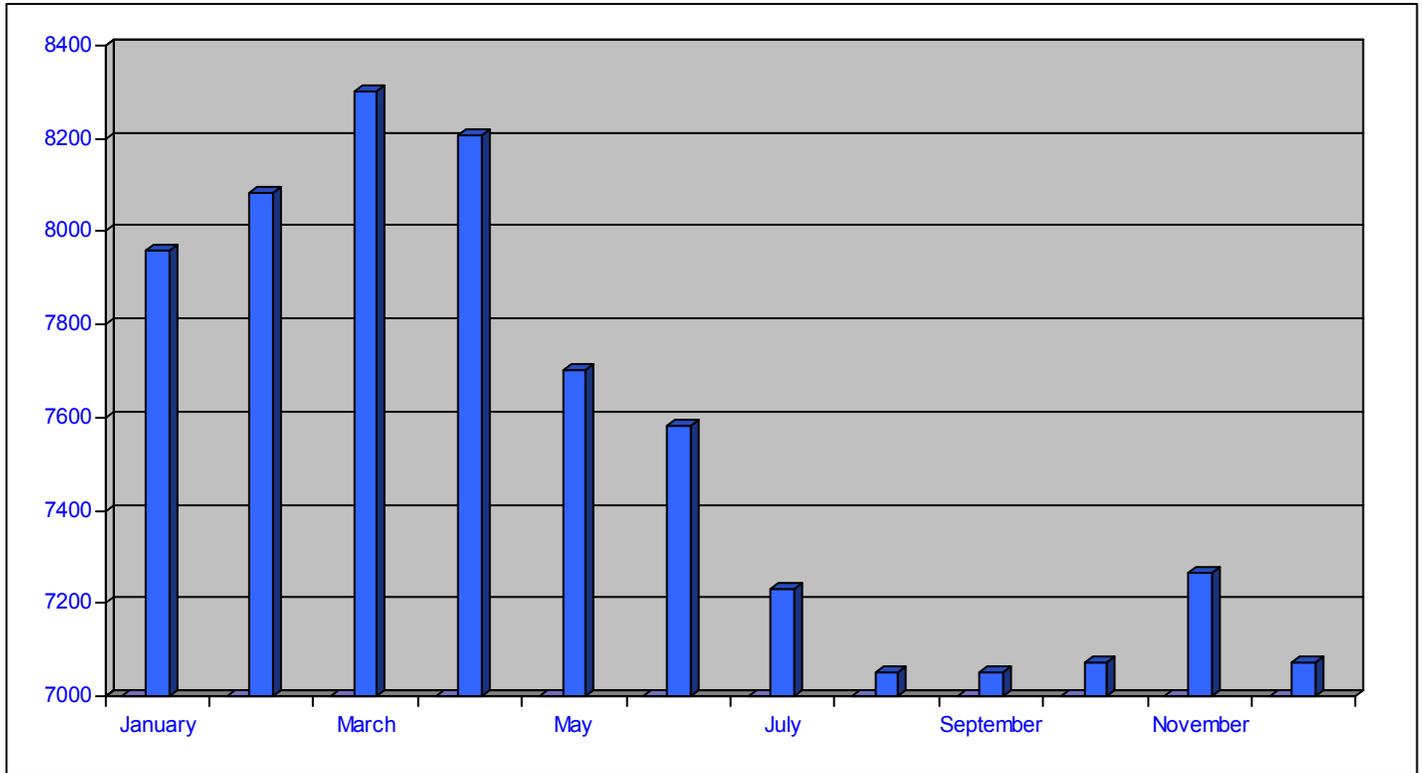
The Division of Local Facilities is charged with overseeing community-based residential programs for inmates, enforcement of minimum jail standards, guidance with construction and renovation matters, and investigations.

The Division of Local Facilities provided funding to the 120 Counties across the Commonwealth. These funds were provided through many programs, such as direct payment for housing state inmates, medical allotment payments, bed allotment payments, pay for inmate labor, and payments for catastrophic medical claims.

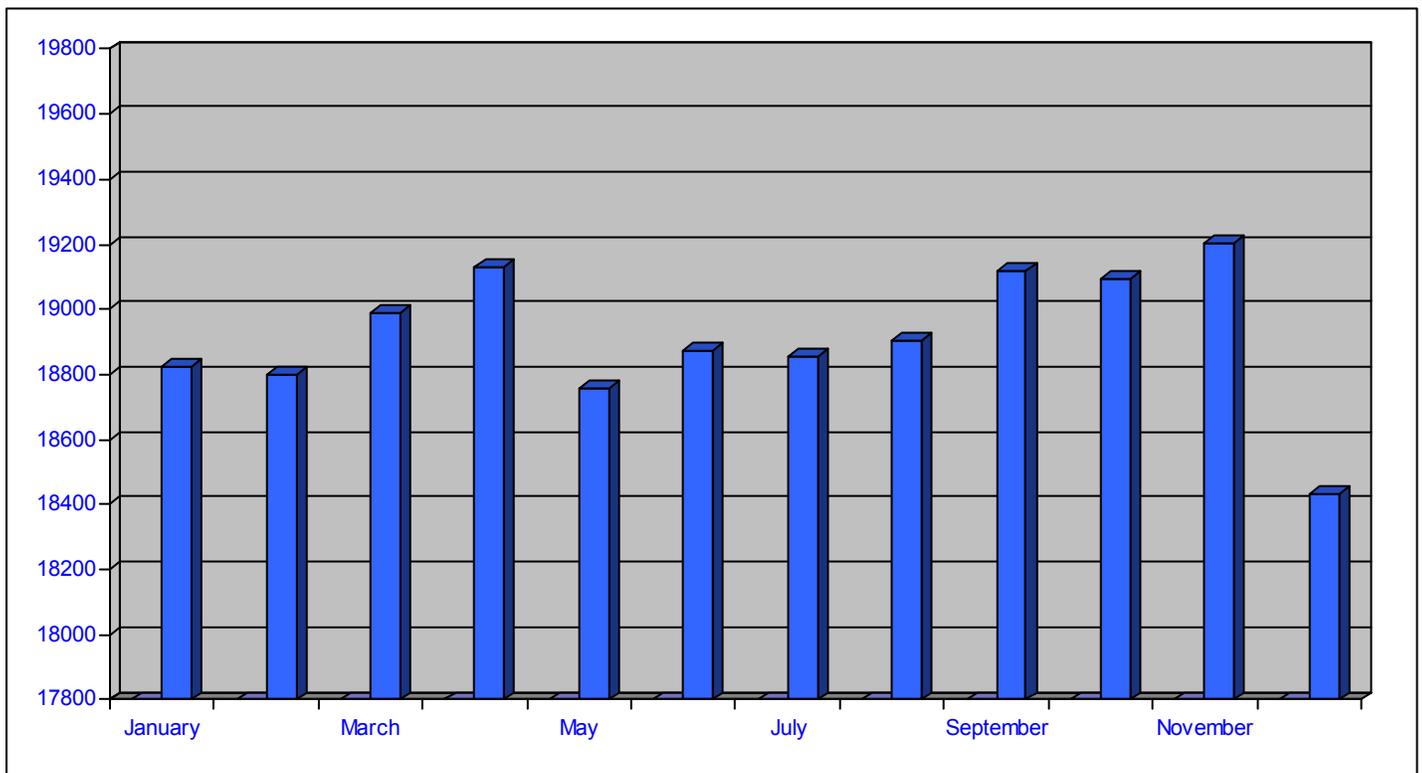
Division of Local Facilities

Average State Inmate Population Housed in County Jails as reported for January - December 2010

The numbers in this chart reflect all classes of state inmates housed in county jails; Including Controlled Intake, Class D & C, SB4 and Alternative Sentence Inmates.

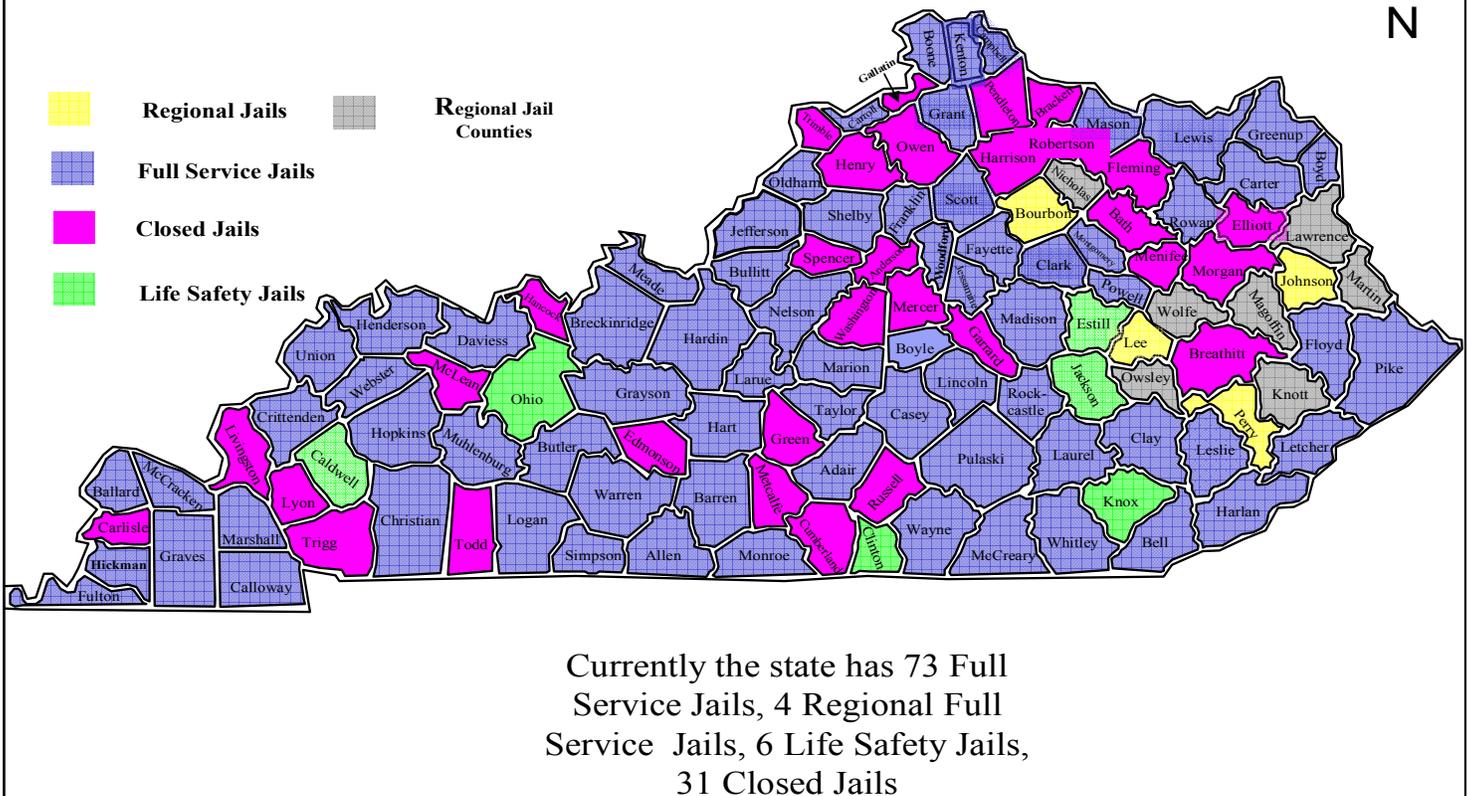


Average County Jail Inmate Total Population as reported for January - December 2010



Division of Local Facilities

2010 Jail Classifications



2009 and April 2010, the average population of state inmates in county jails was 8,147. In May 2010, the number of state inmates dropped by over 500 inmates and has steadily decreased since that time. The average number of state inmates in county jails declined to 7,254 for the remainder of 2010.

This drop can be attributed to a combination of factors including: The transitioning of females to WKCC (April) accompanied by female CC inmates in local jails being transitioned into the Institution to fill empty beds (August); Contract Inmates in Fulton County being transitioned back into the institution (April); The expansion of the SAP program in the community for parolees who

were previously awaiting a bed at a jail SAP program or Institutional SAP program (April); The reopening of a dorm at Northpoint Training Center (April); Expansion of the Home Incarceration Program (July); Normal Administrative releases (monthly); and Increases in credits for MGT and Educational Awards previously approved by the legislature (HB 564).

In November, as a result of House Bill 1, the Department expanded the Class D program to allow inmates who are serving more than five years on a Class D felony in the Institution, but who have less than five years remaining on their sentence, to be housed in county jails. This is an effort to help county jails fill their

empty beds. The first jails selected to receive inmates include: Shelby, Henderson, Marion, Hopkins, and Daviess.

Initially sixty (60) inmates were selected and sent to these jails in November with another sixty (60+) plus inmates sent to these jails in December. The program is still in the experimental phase but is expected to be expanded in 2011; however, the downward trend in the number of state inmates available to be housed in county jails is expected to continue in 2011.

While the average number of state inmates in local jails has been declining overall in the past two years, the number of county inmates in local jails has been

Division of Local Facilities

increasing. This is at least one reason the overall population of all inmates in county jails has remained virtually the same in 2009-2010. The reduction in the available number of state inmates and the steady increase in county inmates have further hampered the local jails abilities to remain solvent.

The county jails in the Eastern part of the state remained the most overcrowded with county inmates. The department has continued its policy of moving state inmates from these overcrowded jails to jails where beds are available. Initially, when the jail population exceeded 140% of its rated capacity, this triggered the removal of Class D inmates from the overcrowded facility. This threshold was reduced in late 2010 to 125%. This is expected to expand the number of jails who actually receive state inmates from the overcrowded jails.

Finance

The Division of Local Facilities provided more than \$106,000,000 funding to the 120 Counties

across the Commonwealth in Fiscal Year 2010. These funds were provided through many funding programs, such as direct payment for housing state inmates, medical allotment payments, bed allotment payments, pay for inmate labor, and payments for catastrophic medical claims.

During Fiscal Year 2010 the Department of Corrections paid Kentucky county jails a total of 87,709,816.15. This amount was paid at a per diem rate of \$31.34 dollars per inmate; \$29.43 for housing and \$1.91 for medical.

The Division of Local Facilities has the responsibility of auditing housing bills on a monthly basis and reimbursing county jails within 30 working days of receipt of their housing bills.

Currently KOMS generates invoices that are compared with submitted invoices from county jails. These invoices are thoroughly audited by Local Facilities staff for accuracy prior to amounts being submitted for payment. Changes made to housing invoices are clearly documented for reference and submitted for final payment within 30 days.

Inmate Labor

Local Facilities provided inmate labor for many local community projects. This labor was provided free of charge for community-service-related projects. Inmate labor can be used by the Commonwealth or an agency of the Commonwealth, a county or agency of the county, or by a nonreligious-sponsored nonprofit, charitable, or service organization. Community level inmates can be found working at local recycling centers, performing road side cleanup, collecting garbage, and working in the local animal shelters.

More than 5.4 million hours of free labor were provided through this program. When multiplied by the current minimum wage of \$7.25, the organizations saved more than \$39,150,00.00 in wages.

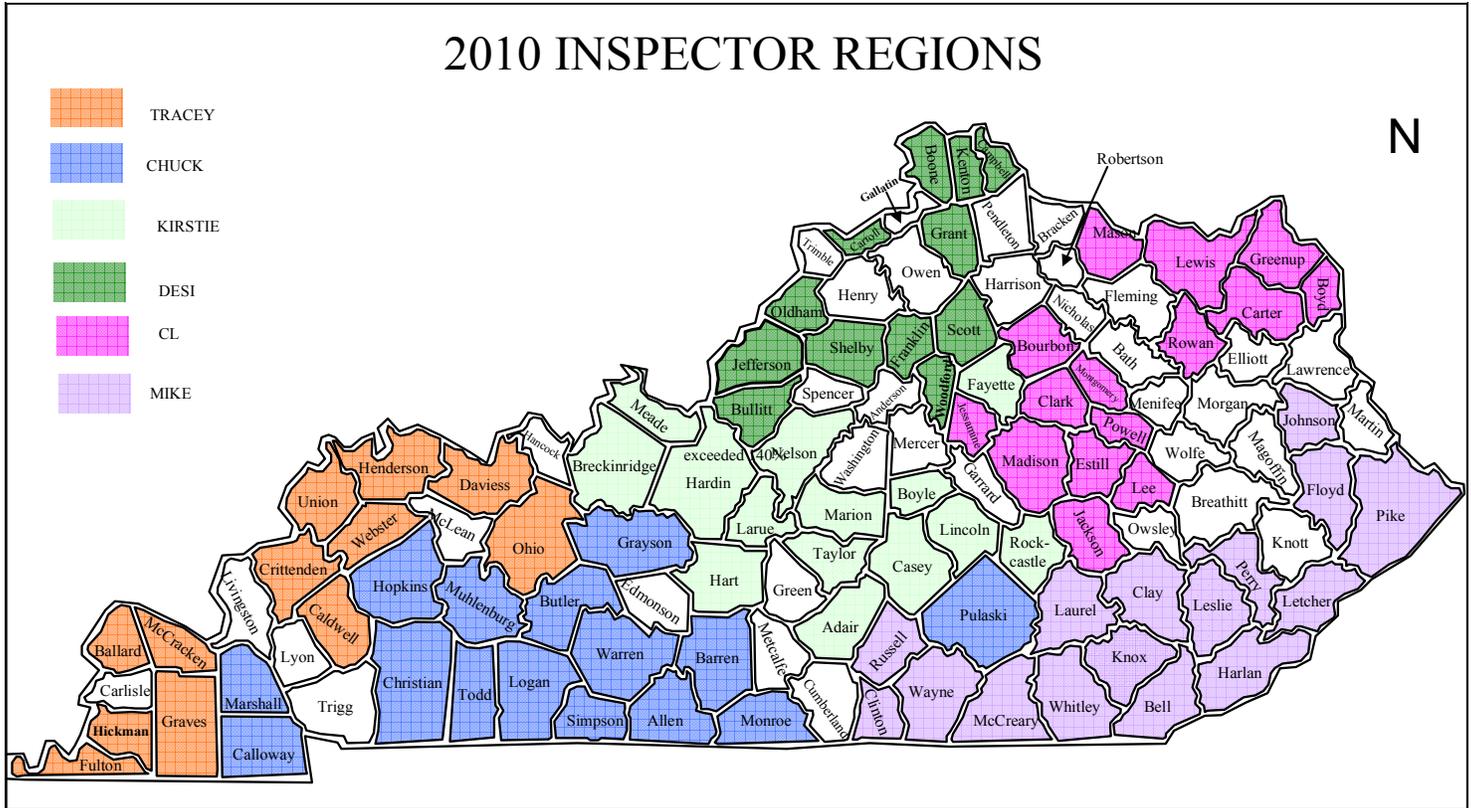
Inspections

Local Facilities Division conducted 168 jail inspections on all jail facilities across the Commonwealth. These inspections provide vital information pertaining to the

Department of Corrections Funding to Kentucky Counties

State Inmates	\$ 87,709,816.15
Bed Allotment	\$ 14,061,600.00
Medical	\$ 3,302,804.42
Labor	\$ 481,104.14
Other (Jailer Training Allowance)	\$ 476,745.47
Total	\$106,032,070.18

Division of Local Facilities



jail’s physical plant condition, jail operations, prisoner program availability and compliance with the Kentucky Jail Standards. These inspections also provided the detention centers with guidance for providing safer housing for the inmates, and ways to keep the communities that they serve safer.

The first inspection of each year is an announced inspection. During this inspection every aspect of the detention center is reviewed and evaluated to see if the facility is in compliance with the Kentucky Jail Standards.

The inspection for a Full-Service facility contains 190 critical items including administrative functions, information systems, personnel, physical plant, security areas, emergency procedures,

sanitation, medical services, food service, classification, admission, release, prisoner programs and prisoner rights.

The second yearly inspection is an unannounced inspection. By not announcing this inspection, the Kentucky Department of Corrections staff has the ability to see how the jail operates on a standard day or night. This inspection also provides a review of any non-compliance items from the first inspection.

Construction

In the calendar year 2010 the Department of Corrections continued the monitoring of four ongoing jail construction projects that began in 2009 in addition to one new construction project that began in 2010. These construction

projects are as follows:

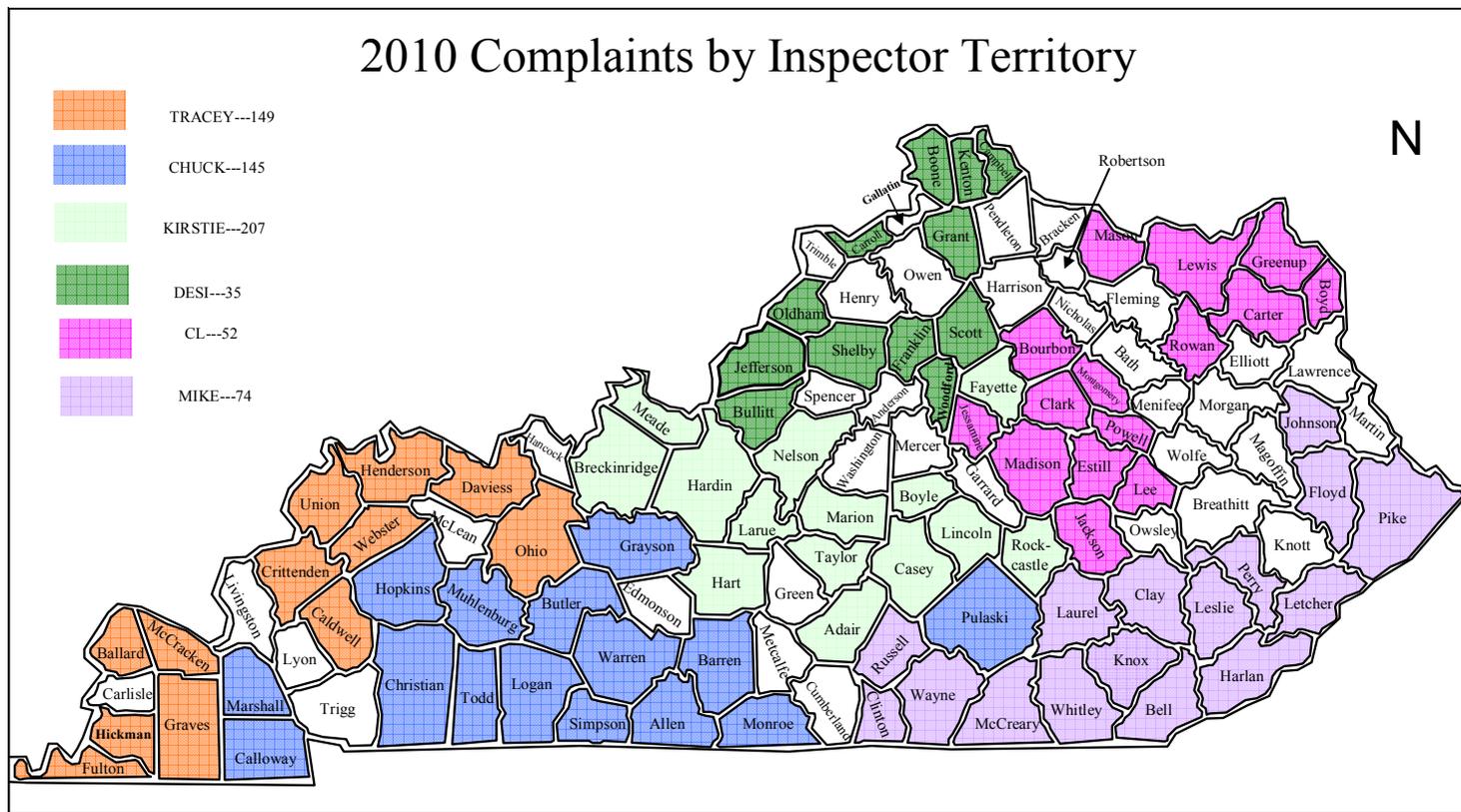
Jackson County Jail - Construction began on an 86 bed jail in September 2009 and is expected to be completed in February 2011;

Kenton County Jail - Construction of a 602 direct supervision bed multi housing unit began in June 2009 and was completed in October 2010;

Russell County Jail - Construction began on an 81 bed facility in April 2010 and is expected to be completed in February 2011;

Webster County Jail - Construction of 160 bed expansion was approved July 2008 with phase I being completed in February 2010 and phase II completed March 2010.

Division of Local Facilities



Barren County Jail – (New 2010 Project) Construction began on a 172 bed facility on April 27, 2010 and is expected to be completed in the September 2011.

Investigations

During 2010 the Division of Local Facilities investigated 652 complaints concerning local detention centers. These complaints consisted of medical issues, physical plant issues, condition of the jail, inmate treatment issues, inappropriate staff/inmate relationships, food services issues and others.

Training

The Division of Local Facilities conducts training for all non-profit organizations as well as for all governmental agencies that

utilize Class D inmates for work details.

Community Corrections

The Kentucky State Corrections Commission was implemented by KRS Chapter 196 in 1992. The Commission currently consists of 23 members and its primary function is to award grants to community corrections programs.

The purpose of these grants is to reduce jail/prison populations, reduce revocations of probationers and to provide treatment, rehabilitation, vocational training and other needs of offenders.

As a result, the programs funded through these grants target individuals who have been convicted of misdemeanors or felonies that are eligible for probation or sus-

pending sentences under the law.

The Commission’s current budget is \$1,056,000. All grant recipients must submit quarterly reports to the Department of Corrections. These reports contain information concerning program statistics and expenditures for the quarter.

All reports are kept on file in the Department’s Central Office in Frankfort, in accordance with the current retention schedule.

There are currently 16 programs funded for the 2010 – 2011 grant period.

Note: Please refer to list of currently funded Community Corrections Programs on the following page.

Division of Local Facilities

Currently Funded Community Corrections Programs

1st Judicial District

Counties: Ballard, Carlisle, Fulton & Hickman
 Grant Award: \$50,125
 Programs Offered: Electronic Monitoring; Community Service

3rd Judicial District

Counties: Christian
 Grant Award: \$30,000
 Programs Offered: Home Incarceration, Drug/Rehab Classes, Transportation

9th Judicial Circuit

Counties: Hardin
 Grant Award: \$75,000
 Programs Offered: Mental Health Court

15th Judicial Circuit

Counties: Carroll, Grant & Owen
 Grant Award: \$22,370
 Programs Offered: Supervision; Drug Testing; Electronic Monitoring; Job Assistance/Educational; Alcohol/Drug/Mental Health Treatment

16th Judicial District

Counties: Kenton
 Grant Award: \$168,684
 Programs Offered: Electronic Monitoring

17th Judicial Circuit

Counties: Campbell
 Grant Award: \$50,285
 Programs Offered: Electronic Monitoring; GED/Technical School; Drug/Alcohol testing & treatment; Life skills training; Scheduling child support payments

18th Judicial Circuit

Counties: Harrison, Nicholas, Pendleton & Robertson
 Grant Award: \$29,258
 Programs Offered: Employment referral, Community Service Monitoring; Provide intensive supervision, home incarceration, monitor and coordinate payments, treatment referrals

21st Judicial Circuit

Counties: Bath, Menifee, Montgomery & Rowan
 Grant Award: \$29,718
 Programs Offered: Community Service, Shocked Straight, GED/Adult Learning, Counseling Referrals, Drug Treatment Referrals

24th Judicial District

Counties: Johnson, Lawrence & Martin
 Grant Award: \$70,255
 Programs Offered: Electronic Monitoring, Community Service; Drug Testing; GED

28th Judicial Circuit

Counties: Lincoln, Pulaski & Rockcastle
 Grant Award: \$49,846
 Programs Offered: Electronic Monitoring; Community Service; Restitution Monitoring; COPE

36th Judicial District

Counties: Knott & Magoffin
 Grant Award: \$65,000
 Programs Offered: Case Management; Drug Screening; Educational Groups; Substance Abuse Counseling; GED; AA/NA

49th Judicial District

Counties: Allen & Simpson
 Grant Award: \$32,325
 Programs Offered: Electronic Monitoring for Drug Court Participants

51st Judicial Circuit

Counties: Henderson
 Grant Award: \$16,525
 Programs Offered: Electronic Monitoring; Restitution; Drug Court; Drug Testing; Community Service

56th Judicial District

Counties: Caldwell, Livingston, Lyon & Trigg
 Grant Award: \$45,000
 Programs Offered: Drug Court Monitoring; Community Service Coordination; Electronic Monitoring

Chrysalis House

Counties: Adair, Allen, Anderson, Ballard, Barren, Bath, Bell, Boone, Bourbon, Boyd, Boyle, Bracken, Breathitt, Breckinridge, Bullitt, Butler, Caldwell, Calloway, Campbell, Carlisle & Carroll
 Grant Award: \$4,500
 Programs Offered: Alcohol & Drug Screening

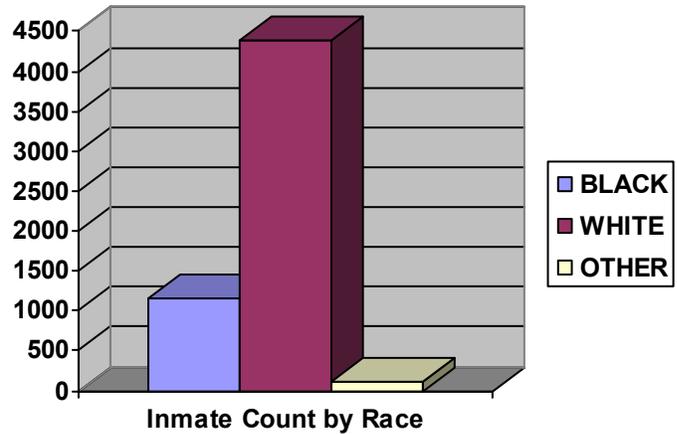
Northern KY Mental Health Court

Counties: Boone, Campbell & Kenton
 Grant Award: \$176,432
 Programs Offered: Mental Health Court

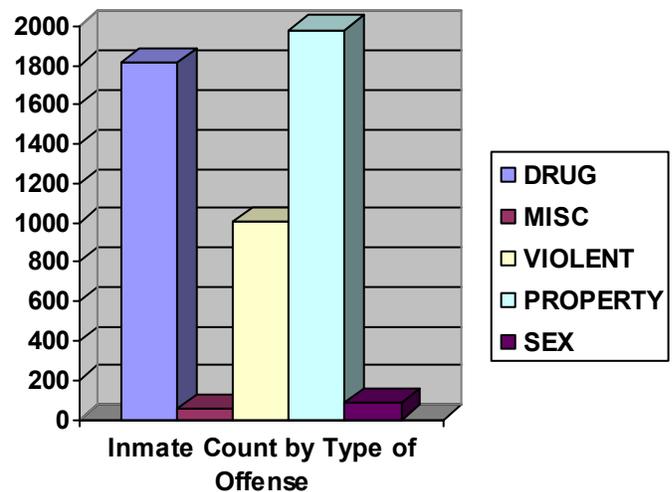
Division of Local Facilities

Demographic Data - Class D Felons in County Jails

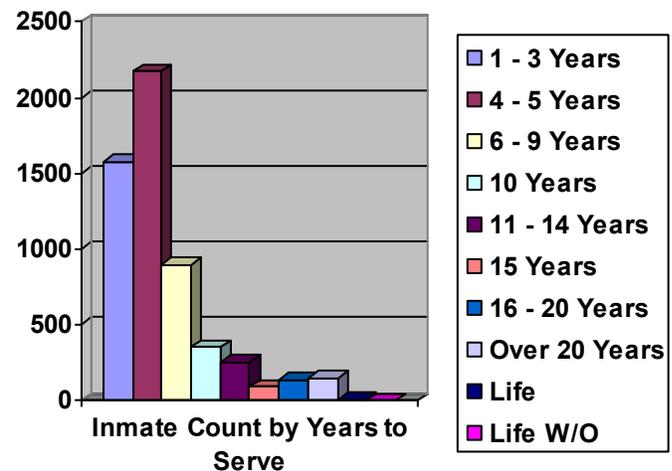
Race	Count	Percentage
BLACK	1145	20.32%
WHITE	4386	77.82%
OTHER	105	1.86%
TOTAL	5636	100%



Type of Offense	Count	Percentage
DRUG	1811	32.13%
MISC	55	0.98%
VIOLENT	1002	17.78%
PROPERTY	1981	35.15%
SEX	88	1.56%
TOTAL	5636	100%



Years to Serve	Count	Percentage
1 - 3 Years	1572	27.89%
4 - 5 Years	2171	38.52%
6 - 9 Years	902	16.00%
10 Years	354	6.28%
11 - 14 Years	252	4.47%
15 Years	92	1.63%
16 - 20 Years	138	2.45%
Over 20 Years	147	2.61%
Life	6	0.11%
Life W/O	2	0.04%
TOTAL	5636	100%



Division of Probation and Parole

Division of Probation and Parole

Director

Tim Carman

Assistant Director

Michael Bolcas

Central Region Branch Manager

Gary Barnes

Western Region Branch Manager

Renee Maness

Eastern Region Branch Manager

Becky Carter

Overview

The primary function of the Division of Probation and Parole is to protect the citizens of the Commonwealth through the supervision of offenders who have been placed on probation by the courts or released to parole supervision by the Parole Board. Officers serve each of the 120 counties in the Commonwealth and the counties are now organized into 3 operating regions and 19 supervisory districts.

At the end of 2010 the Division of Probation and Parole employed 432 sworn officers, who supervised 41,585 offenders. This is an increase of 2,545 offenders from the previous year. With the assistance of 29 dedicated Pre-Sentence Investigators, the Division completed over 20,000 pre-sentence investigations in 2010.

The Division also provides additional services to over 6,000 jail

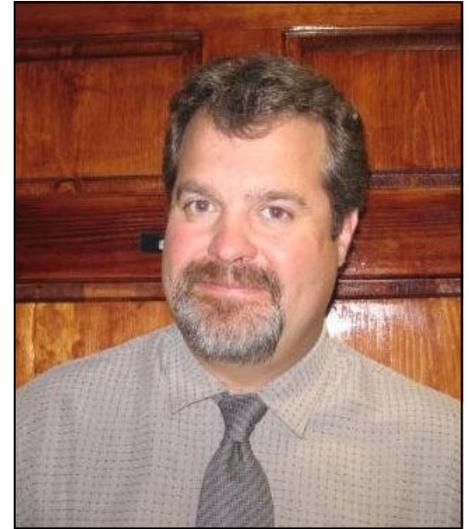
based inmates, including Class D programs and community custody programs. Additionally, the Division provides supervision services to over 400 offenders in Recovery Kentucky treatment programs and 1,500 offenders in contract half way house facilities.

In addition to the supervision of probationers and parolees, Probation and Parole Officers provide investigative services to the courts and Parole Board, rehabilitation services to offenders, and assistance in employment and home placement. Various duties include court appearances and testimony, reports to the releasing authorities, home visits, drug and alcohol testing, transportation of prisoners, referral of offenders to appropriate resources, and monitoring payment of fees, restitution and community service work.

The Division is working towards the department's goal of increasing the successful re-entry of offenders. Staff members have participated in several different types of training to enhance awareness on re-entry efforts.

The Division of Probation and Parole is also responsible for the administration of the Interstate Compact which transfers cases between states and the placement office which assists incarcerated offenders with proper home placements. In 2010 the Interstate Compact processed 1,895 transfer requests from other states to Kentucky and 2,282 transfer requests from Kentucky to other states.

In accordance with KRS 17.170 and in conjunction with the Ken-



*Tim Carman, Director
Probation and Parole*

tucky State Police, Probation and Parole is completing DNA testing of all convicted felony offenders. The Department of Corrections submitted 18,334 completed DNA kits to the Kentucky State Police database in 2010. The Division assists in both the distribution and collection of those samples. The Division also processes Civil Rights Restoration requests submitted by ex-offenders. In 2010, the Division received 1,478 applications and 1,005 applicants



*Michael Bolcas, Asst. Director
Probation and Parole*

Division of Probation and Parole



Gary Barnes, Central Region Branch Manager

were granted restoration. The Placement Office processed 11,608 parole plan investigations in 2010.

With the number of offenders increasing, the Department continues its focus on re-entry efforts. Focused supervision has had a direct affect on caseload numbers, with a regular caseload average of 93.16 by the end of 2010.

The Division has held a specific focus on the increasing number of sex offenders coming under supervision. Thirty officers statewide specialize in sex offender supervision. As of December 2010, the Division supervised 1,716 sex offenders, with a caseload average of 57.20.

The Division has also implemented administrative and low supervision caseloads to effectively manage time and resources. In an effort to more adequately address offender needs, the Division also continues to seek other sentencing alternatives such as electronic monitoring,



Renee Maness, Western Region Branch Manager

home incarceration and placements in residential treatment programs and drug courts.

The Re-Entry Branch has established four Re-Entry Coordinator positions in Jefferson County to work with high risk offenders upon release. The Division also works with community re-entry councils such as the Bluegrass Re-Entry Council, the Jefferson County Re-Entry Program, and the Southeastern Kentucky Re-Entry Task Force.

The Division participates in offender education programs, employer trainings, and job fairs.



PORTAL to Success class taught at the Bowling Green office.



Becky Carter, Eastern Region Branch Manager

Statewide, supervision districts conduct a parolee PORTAL to Success re-entry program (Parolee Orientation, Rehabilitation, Training, Assimilation Lesson Plan to Success). The PORTAL program confronts the problem of offender re-entry through a continuation of institutional programming by augmenting community services. PORTAL includes instruction in the areas of parenting, financial issues, health and wellness, relationship issues, housing issues, employment/career workshops, as well as stress and anger management. To date, 200 parolees have graduated from the program and 800 parolees are currently enrolled.

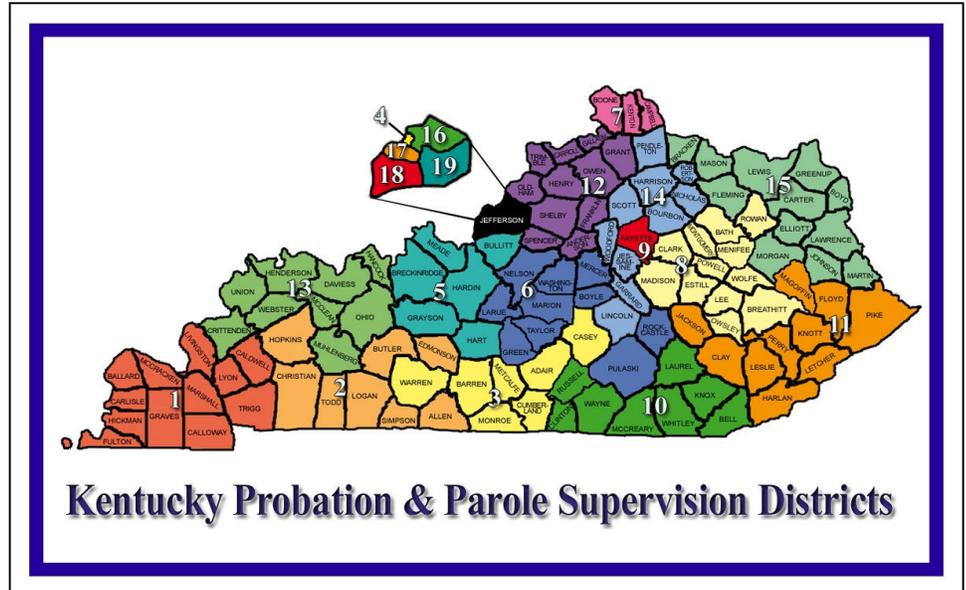
Probation & Parole Districts are involved with a number of community stakeholders to provide services to offenders. The Newburgh Justice Reinvestment Project in Louisville is a data driven strategy to increase public safety and reduce crime by engaging local agencies and neighborhood residents in facilitating the successful reintegration of individuals returning to their home com-

Division of Probation and Parole

munities following release from jail or prison. Weed and Seed, a community-based strategy sponsored by the U.S. Department of Justice, is a comprehensive multi-agency approach to law enforcement, crime prevention, and community revitalization. Northern Kentucky has a partnership with AmeriCorps Volunteers to work with offenders and holds the state's first mental health court. The Division is engaged in research projects with the University of Kentucky and the University of Louisville and utilizes interns and volunteers to assist in community programs.

Highlights

The supervision of offenders in the community provided by the Division of Probation and Parole save the citizens of Kentucky thousands of dollars every day. Community supervision saves the state \$56.80 per offender, per day. Offenders on probation and parole supervision are required to obtain and maintain full-time employment as a condition of their release, thus adding intangible benefits to the economy of the Commonwealth. Offenders may also be required to pay towards the cost of supervision and make restitution to their victims. During 2010 offenders paid in excess of 3 million dollars in supervision fees. The Department also collected over 1 million in drug testing fees, paid specifically by offenders to deflect the costs of drug testing. Probationers and paroles also paid over 5 million dollars in restitution in addition to completing over 12,000 hours of community service work.



Kentucky Probation & Parole Supervision Districts

The Division of Probation and Parole continues to expand re-entry programming by remaining involved in the Department's training and implementation of the LS/CMI risk/needs assessment tool. Seven Probation & Parole staff members are master LS/CMI trainers. The Division assisted with piloting the tool and at the close of 2010, half of probation & parole field staff members have completed the four day training course. To date, Probation & Parole staff members have completed over 1300 LS/CMI assessments. In addition to the LS/CMI, the Division implemented a day long motivational interviewing training component for new employees at the basic academy. At annual in-service training, the Division conducted training in evidence based practices for the field of community corrections. Training topics also focused on offender re-entry and supervision planning.

The Division earned their first American Correctional Associa-

tion re-accreditation in January 2010, with a 99% compliance score. The Division continues to implement national best practices standards with a move toward evidence based practices and outcome measures.

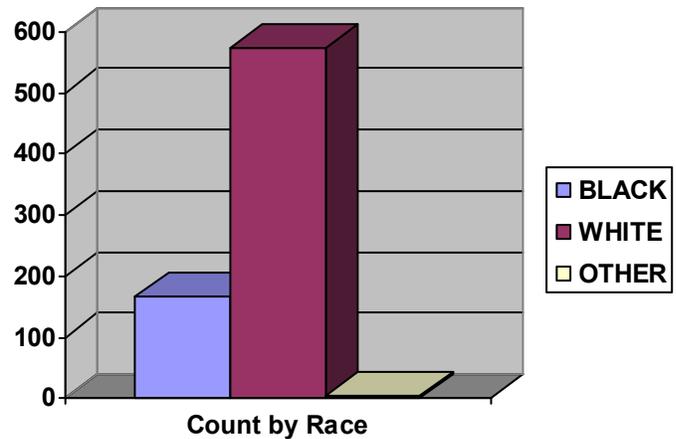
In addition to their service to the Commonwealth, many employees within the Division of Probation and Parole lend their talents to volunteer organizations in their respective communities. Probation and Parole employees are active supporters of Kentucky Special Olympics, Susan G. Komen Race for a Cure, American Cancer Society Relay for Life, Shop with a Cop, the Children's Advocacy Center, and Crusade for Children, to name just a few.

Throughout the year, all levels of staff and administration have been diligent and dedicated to providing professional services to the offenders and citizens of the Commonwealth amid tumultuous budgetary times. The Division of Probation & Parole looks forward to what lies ahead for 2011.

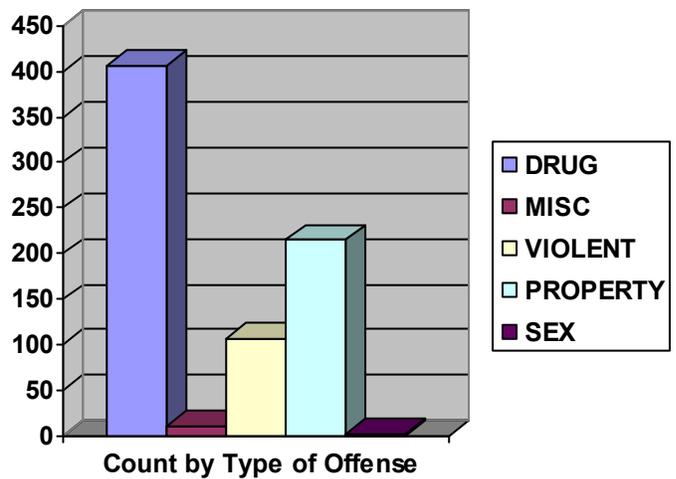
Division of Probation and Parole Population Data

Demographic Data (Halfway Houses)

Race	Count	Percentage
BLACK	166	22.34%
WHITE	573	77.12%
OTHER	4	0.54%
TOTAL	743	100%



Type of Offense	Count	Percentage
DRUG	406	54.64%
MISC	12	1.62%
VIOLENT	108	14.54%
PROPERTY	215	28.93%
SEX	2	0.27%
TOTAL	743	100%



Years to Serve	Count	Percentage
1 - 3 Years	9	1.21%
4 - 5 Years	29	3.92%
6 - 9 Years	62	8.34%
10 Years	192	25.84%
11 - 14 Years	136	18.30%
15 Years	80	10.76%
16 - 20 Years	95	12.79%
Over 20 Years	139	18.71%
Life	1	0.13
TOTAL	743	100%

